



US 20210359875A1

(19) **United States**(12) **Patent Application Publication**
HONG(10) **Pub. No.: US 2021/0359875 A1**(43) **Pub. Date: Nov. 18, 2021**(54) **ARTIFICIAL INTELLIGENT
REFRIGERATOR AND CONTROL METHOD
OF THE SAME***G06F 21/31* (2006.01)*G06K 9/20* (2006.01)*G06N 3/08* (2006.01)(71) Applicant: **LG ELECTRONICS INC.**, Seoul
(KR)(52) **U.S. Cl.**CPC *H04L 12/282* (2013.01); *G06F 21/32*
(2013.01); *H04L 2012/285* (2013.01); *G06K*
9/20 (2013.01); *G06N 3/08* (2013.01); *G06F*
21/316 (2013.01)(72) Inventor: **Seunbum HONG**, Seoul (KR)(73) Assignee: **LG ELECTRONICS INC.**, Seoul
(KR)

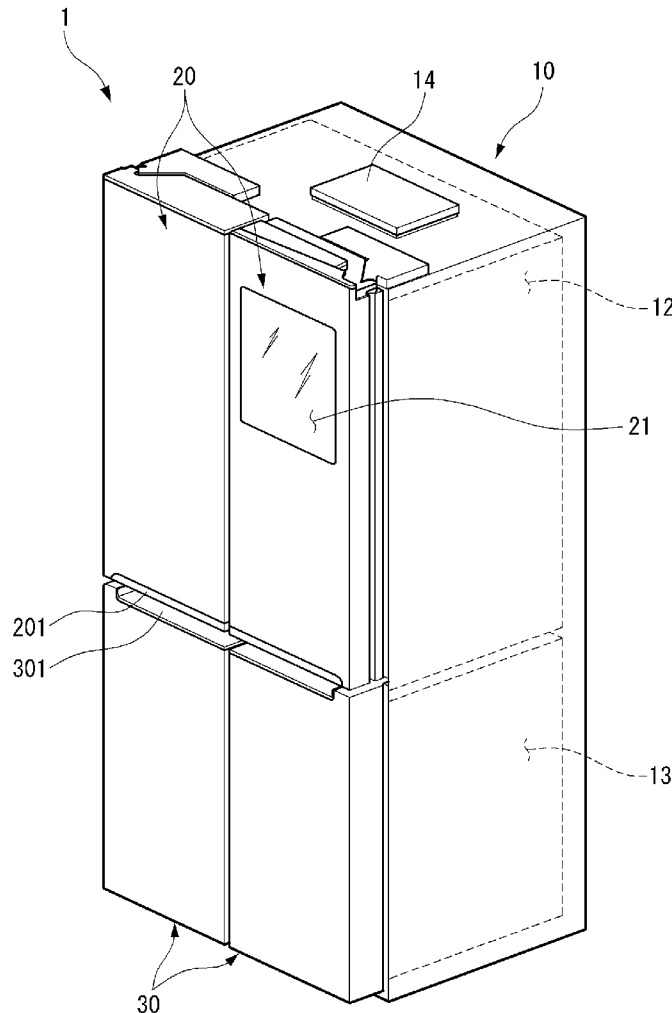
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ABSTRACT(21) Appl. No.: **16/487,380**(22) PCT Filed: **May 2, 2019**(86) PCT No.: **PCT/KR2019/005249**

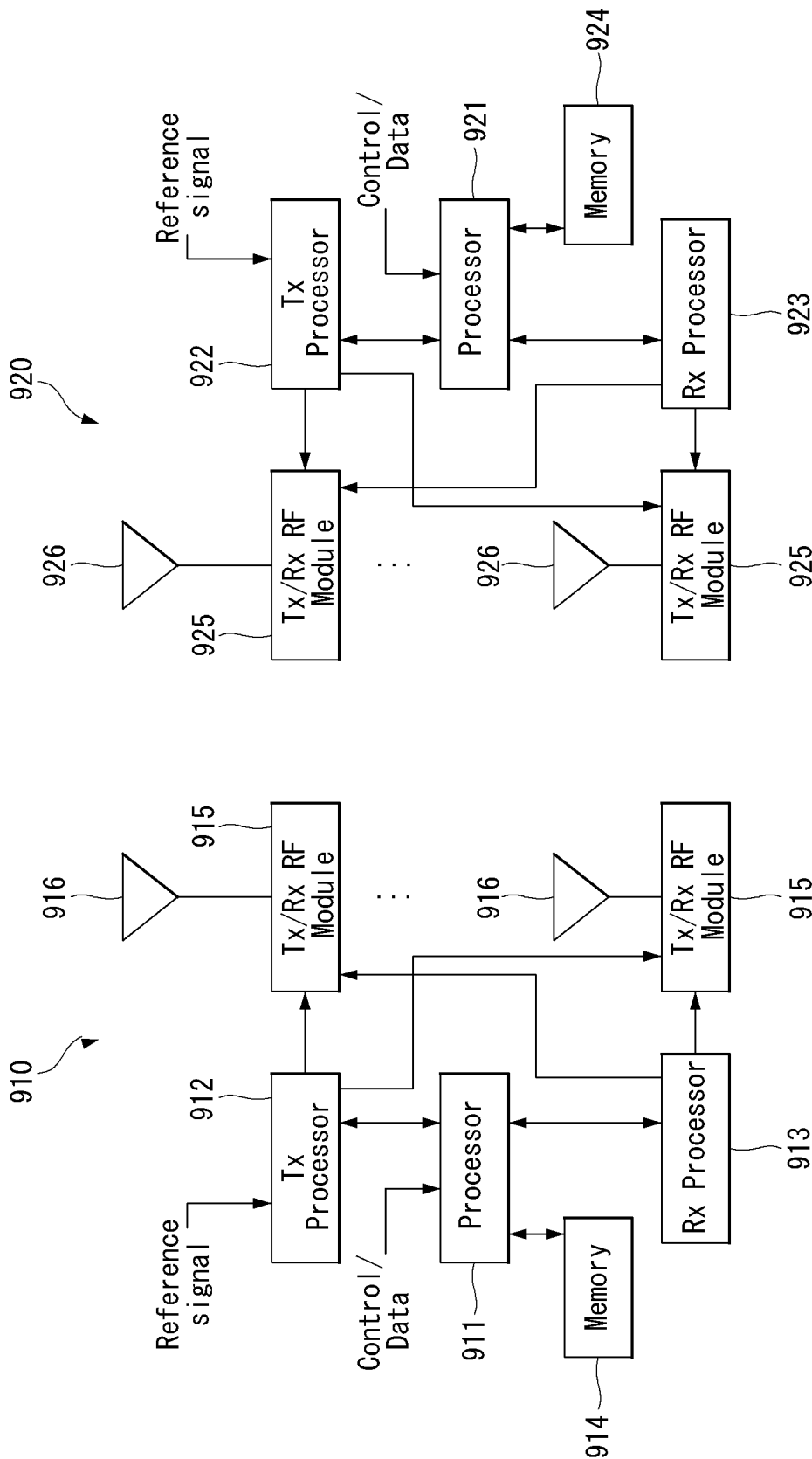
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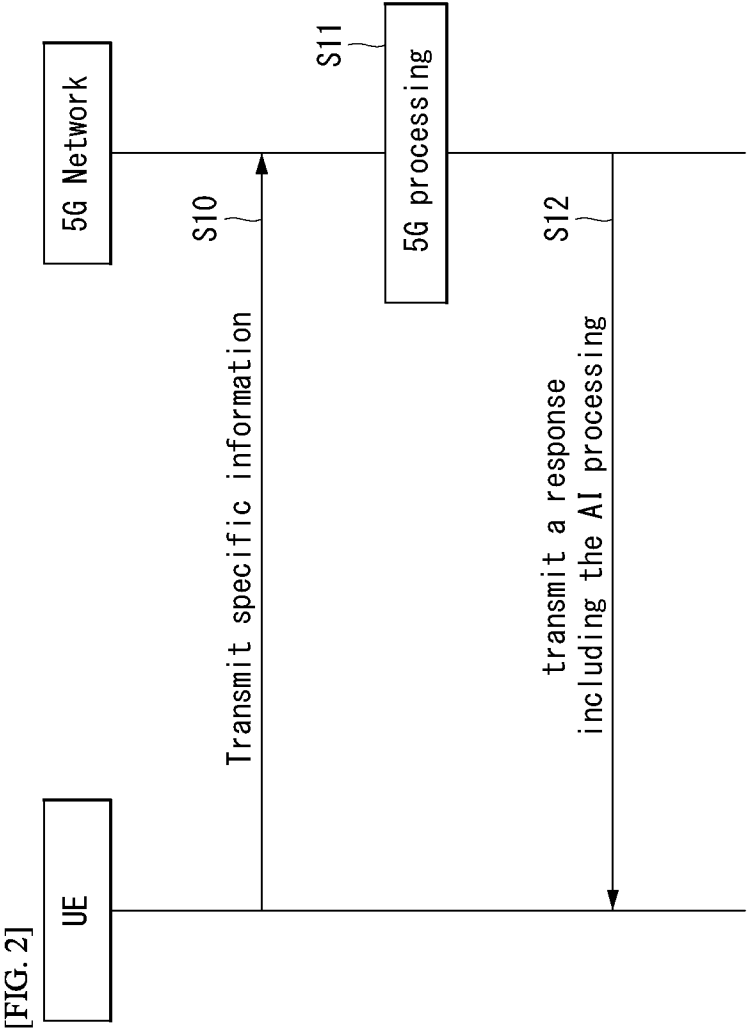
(2) Date: **Aug. 20, 2019****Publication Classification**(51) **Int. Cl.***H04L 12/28* (2006.01)*G06F 21/32* (2006.01)

An artificial intelligent refrigerator includes: a main body; a storage compartment provided inside the main body and comprising a plurality of separate storage sections; a door part for opening and closing the storage compartment; a memory in which user authentication information corresponding to each of the storage sections is registered; and a controller that, once a user is authenticated through a pre-determined authentication means, opens the door part and unlocks a storage section belonging to the authenticated user, among all of the storage sections, to make the corresponding storage section available.

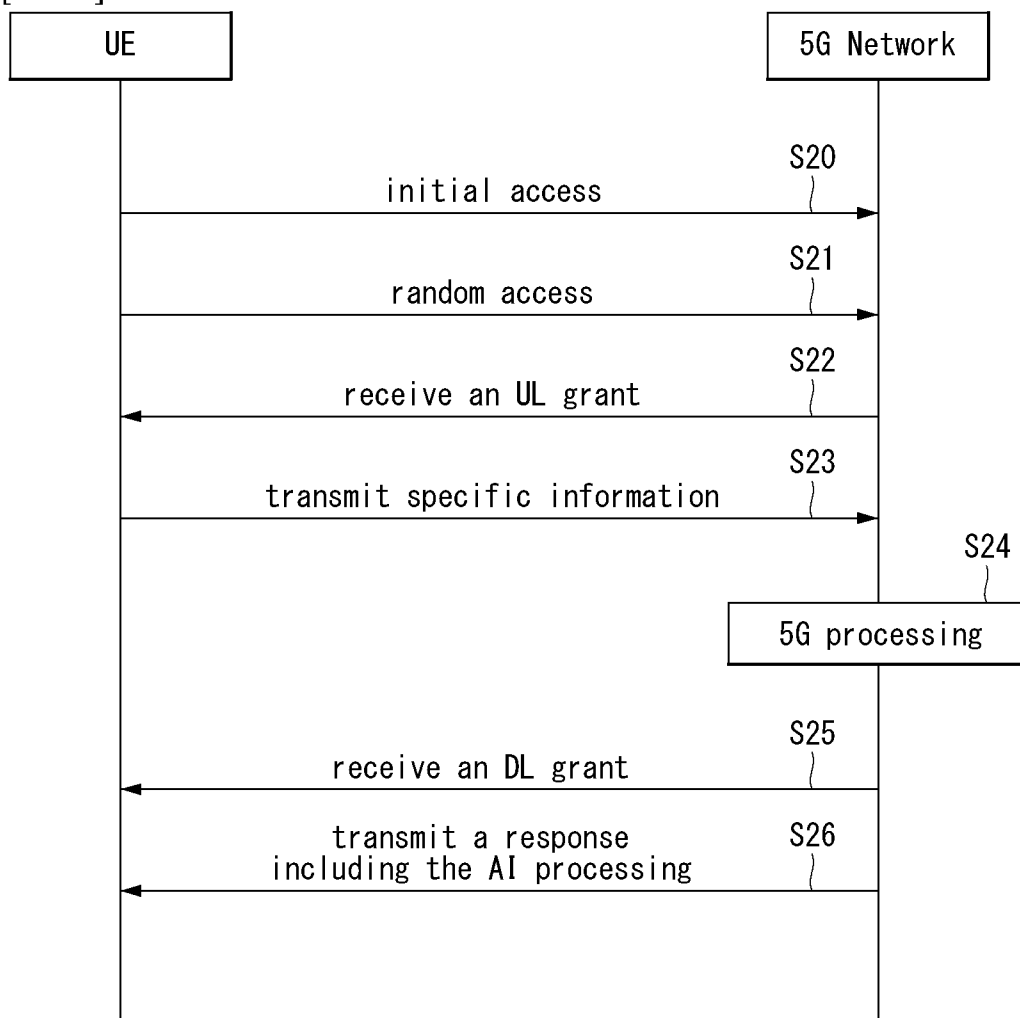


[FIG. 1]

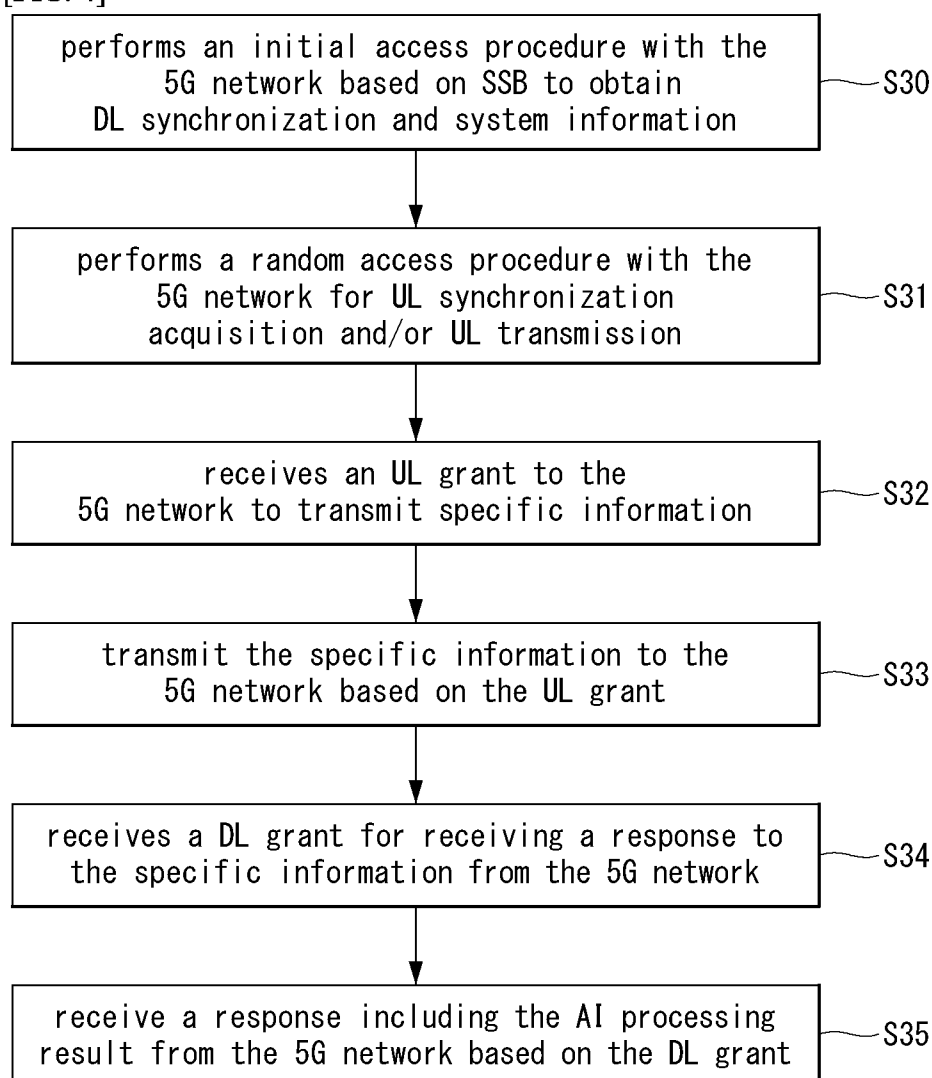




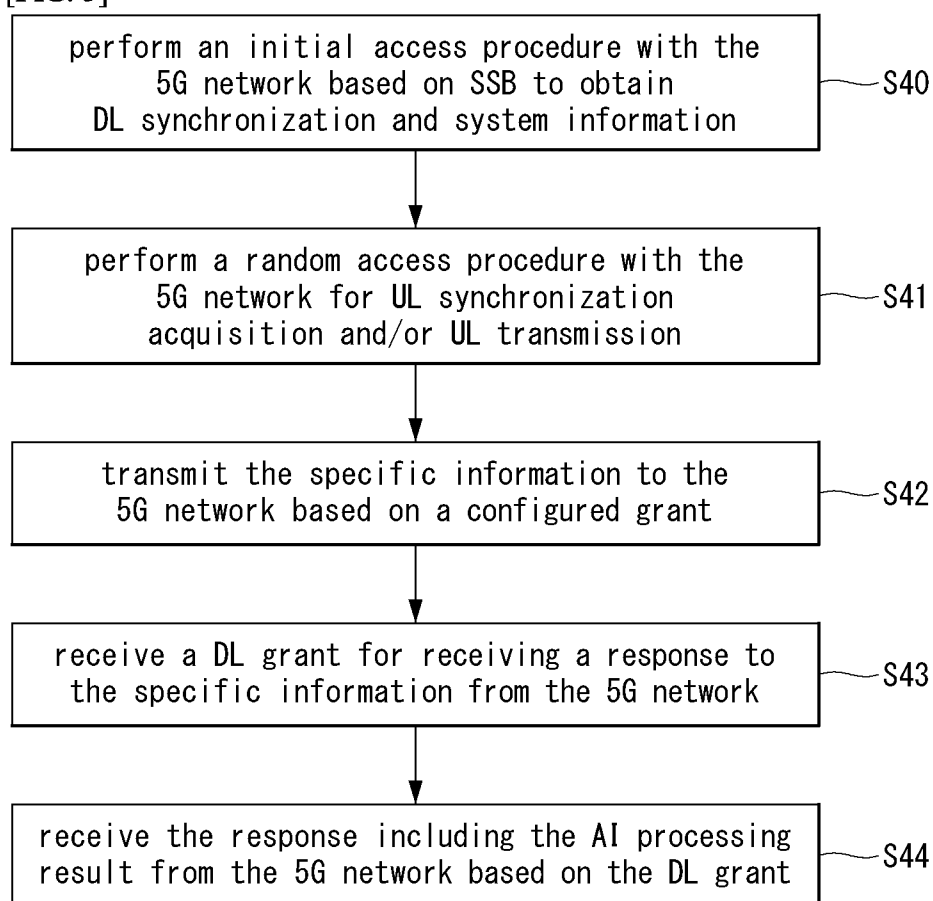
[FIG. 3]



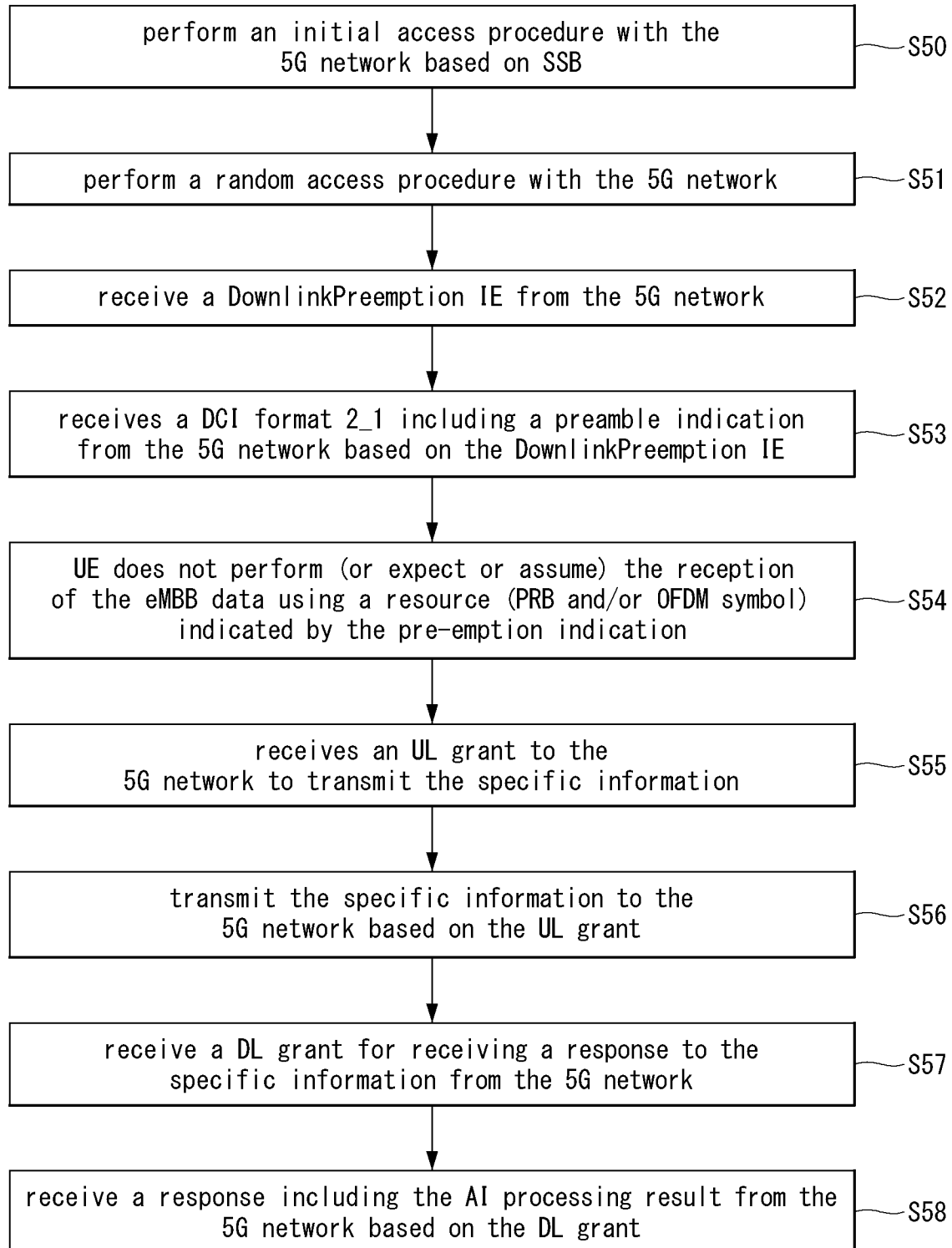
[FIG. 4]



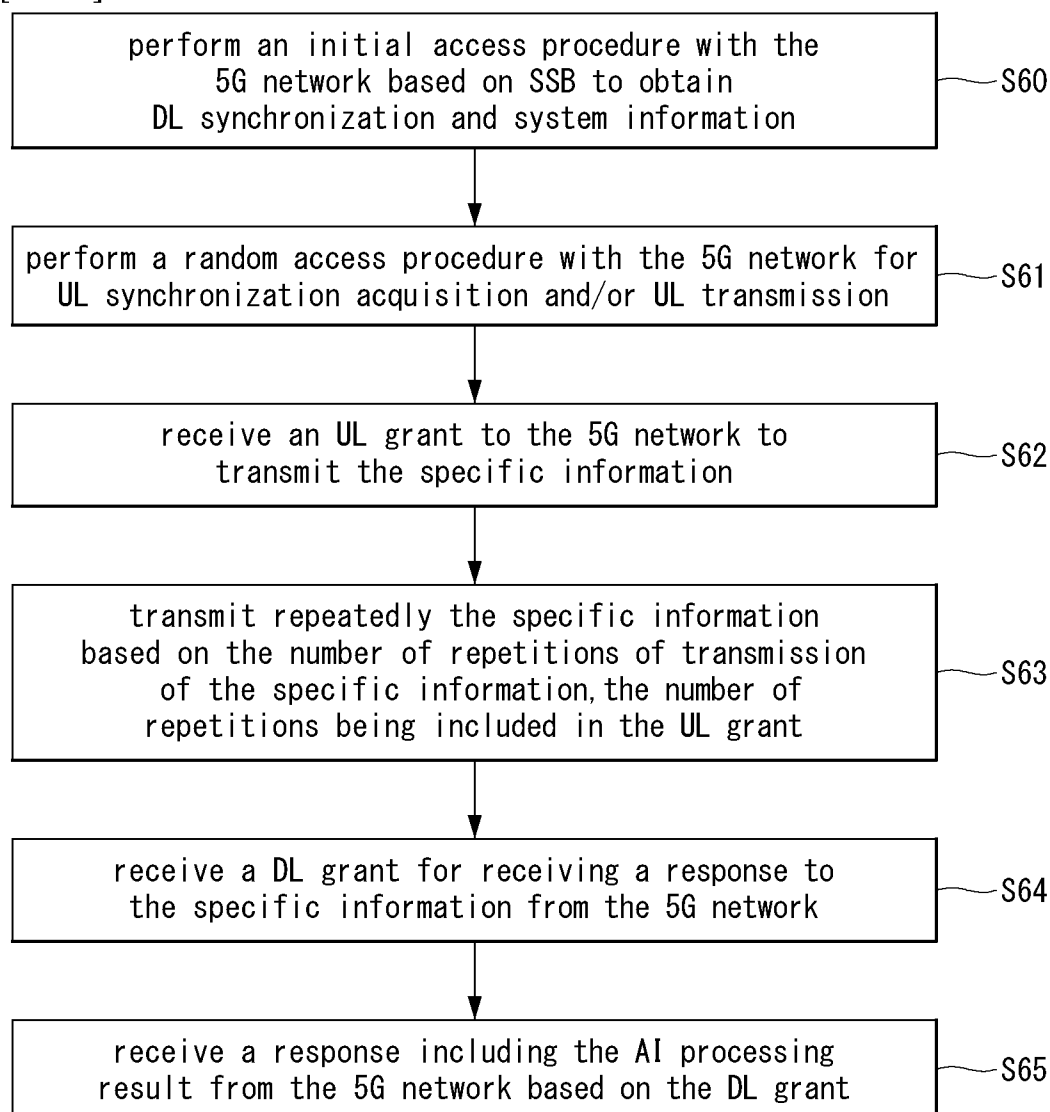
[FIG. 5]



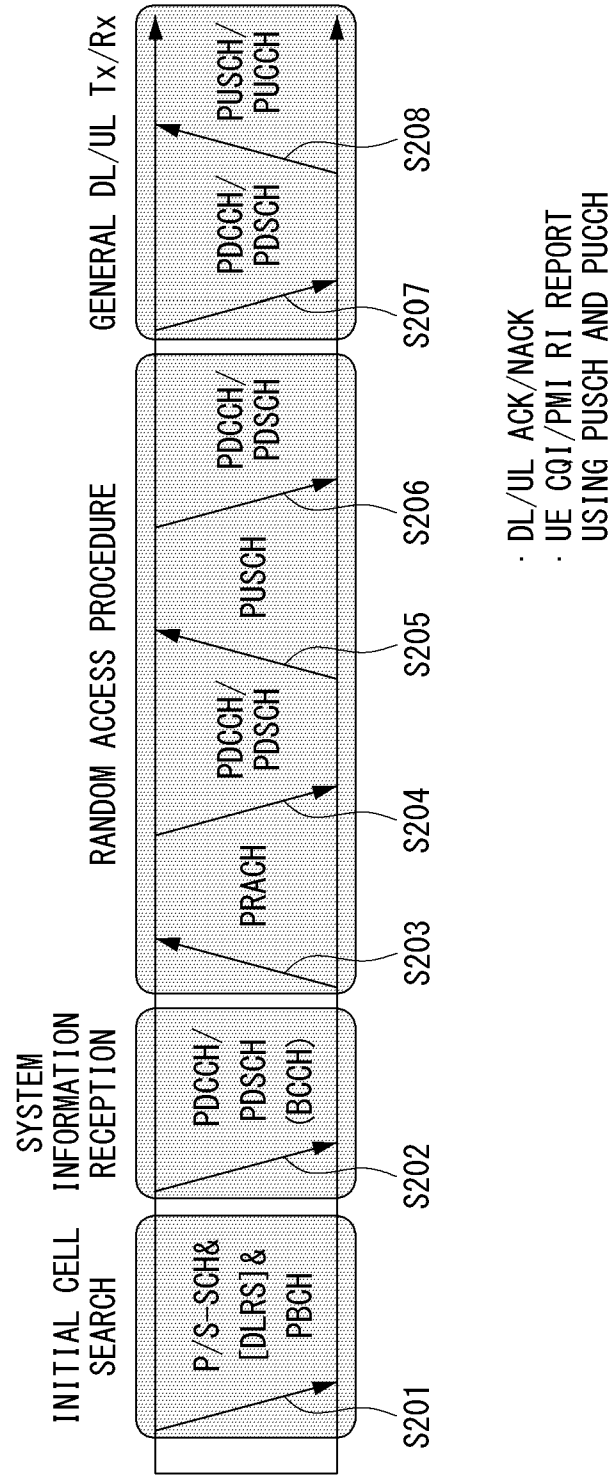
[FIG. 6]



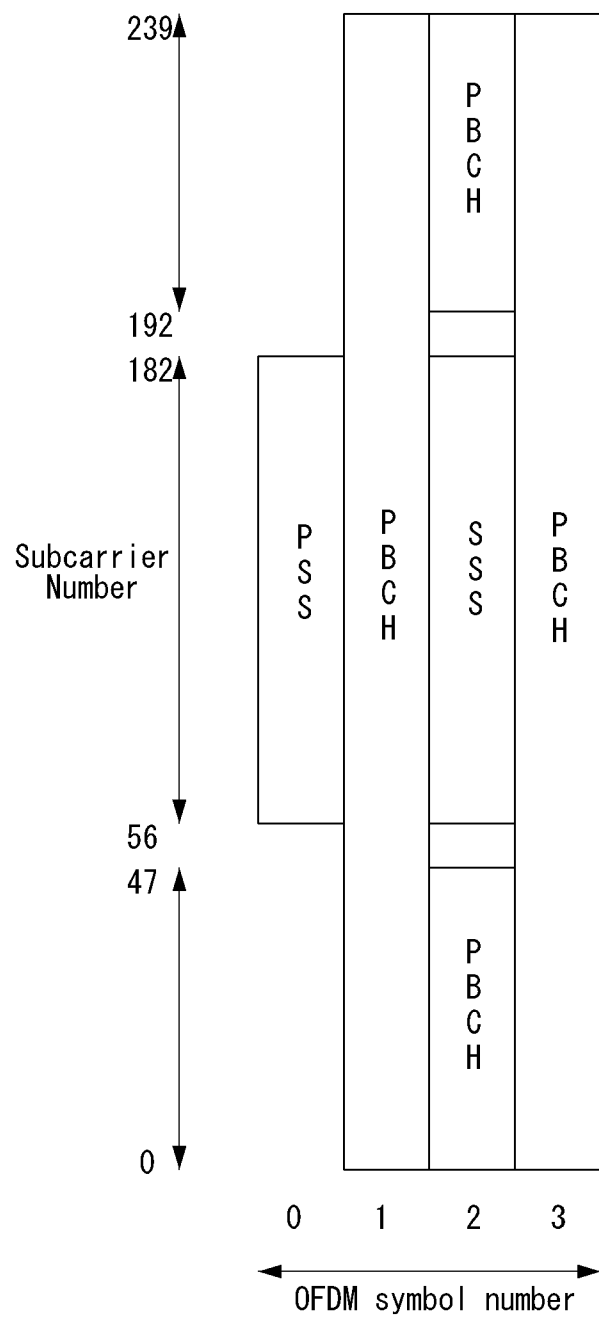
[FIG. 7]

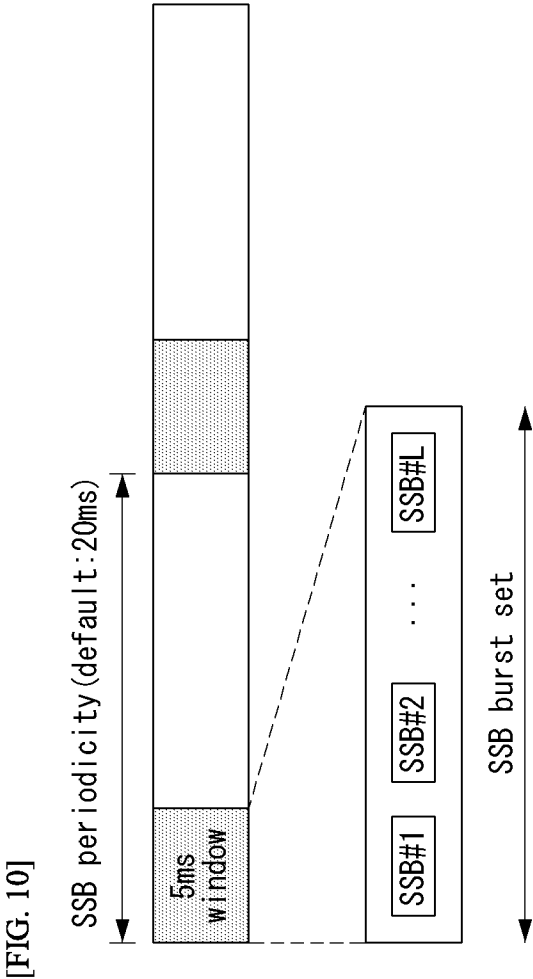


[FIG. 8]

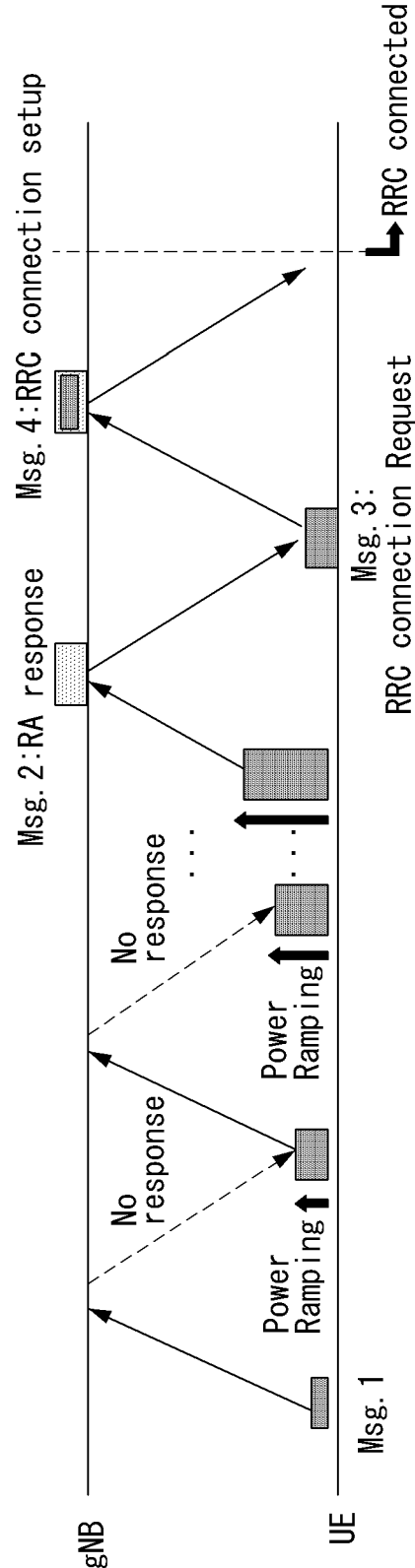


[FIG. 9]





[FIG. 11]



[FIG. 12]

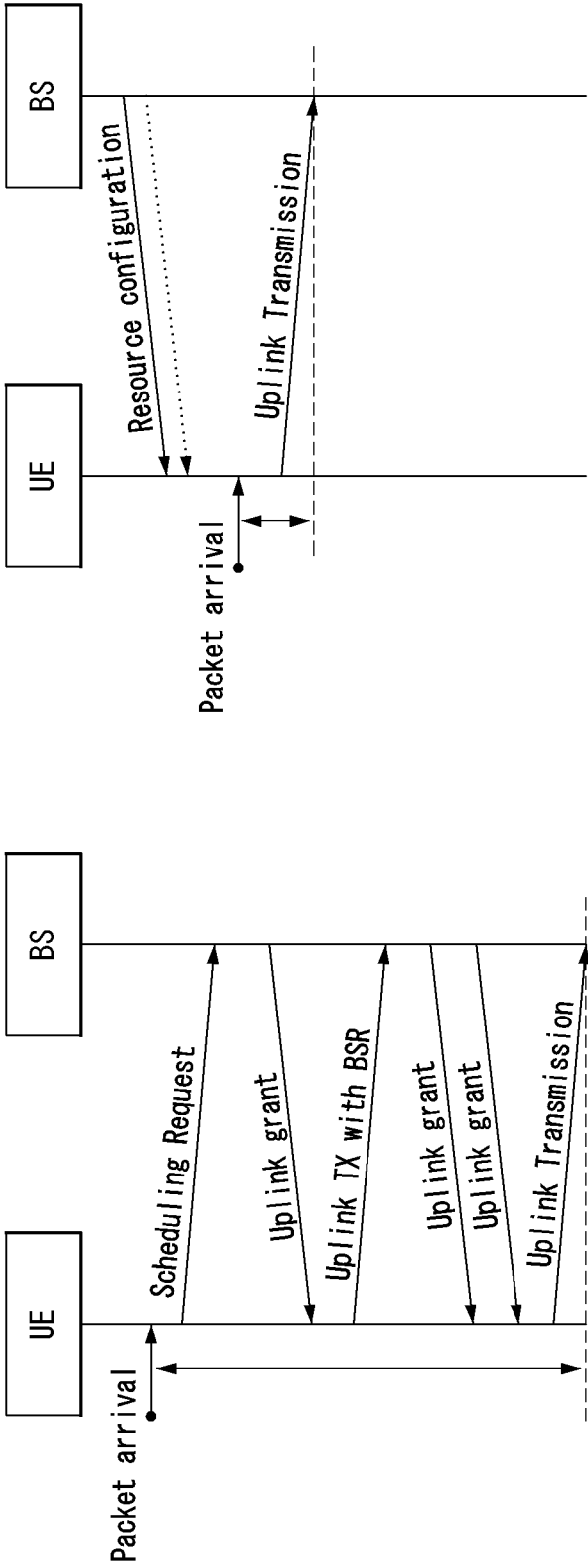


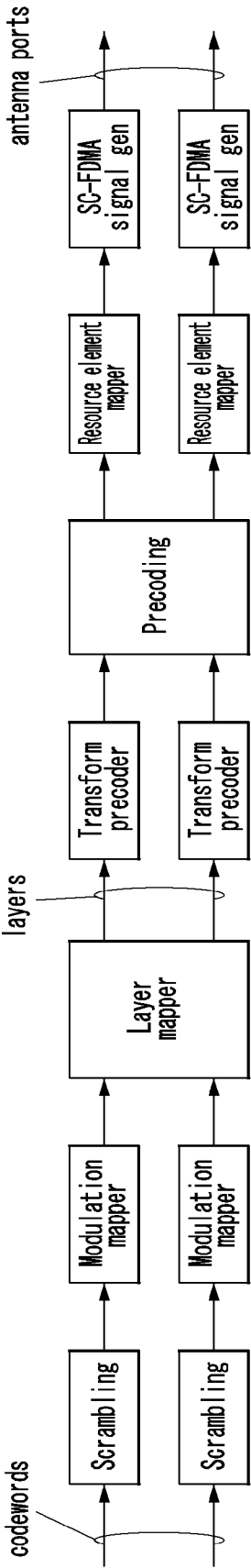
Fig. Uplink TX procedure with grant

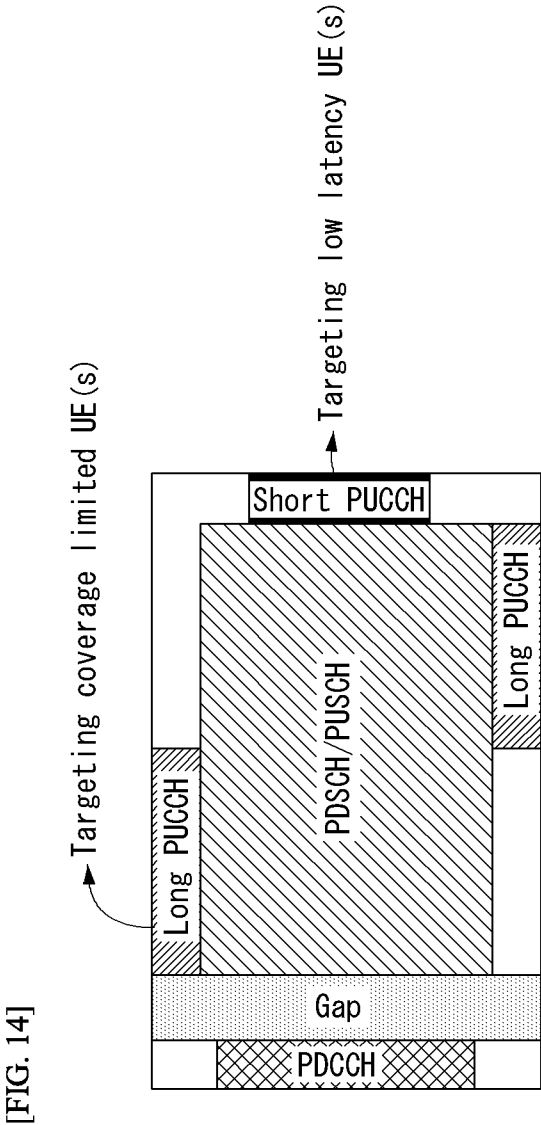
(a)

Fig. Uplink TX procedure without grant

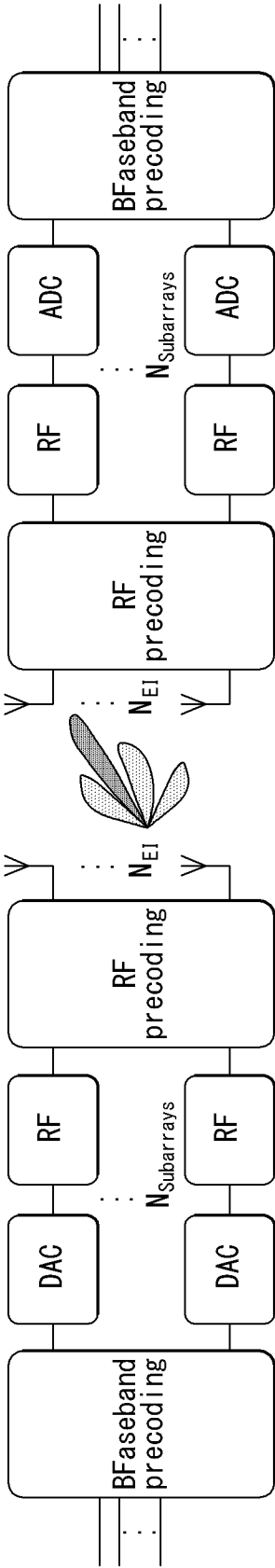
(b)

[FIG. 13]

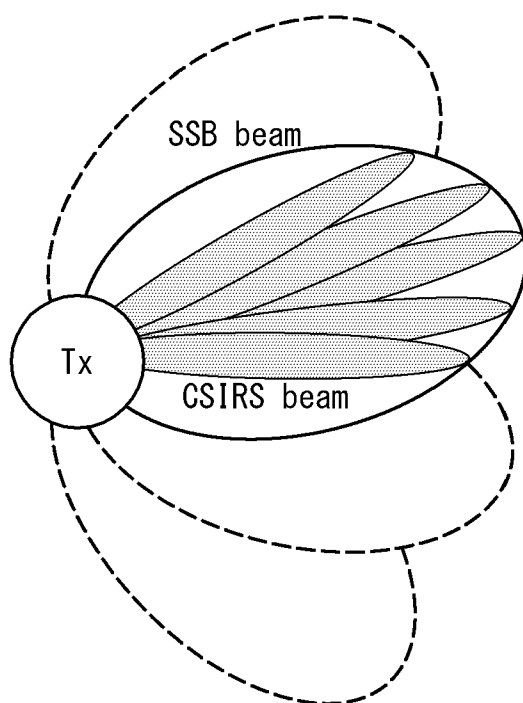




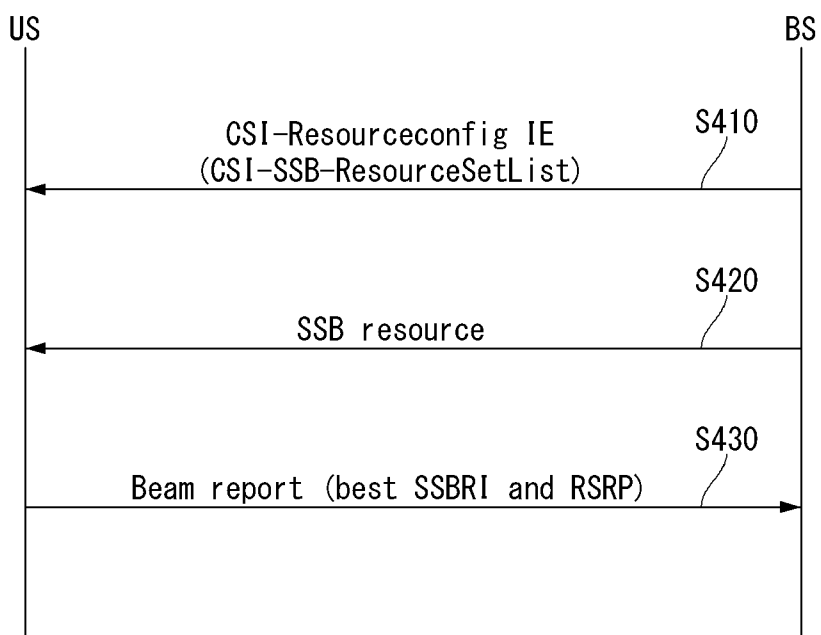
[FIG. 15]



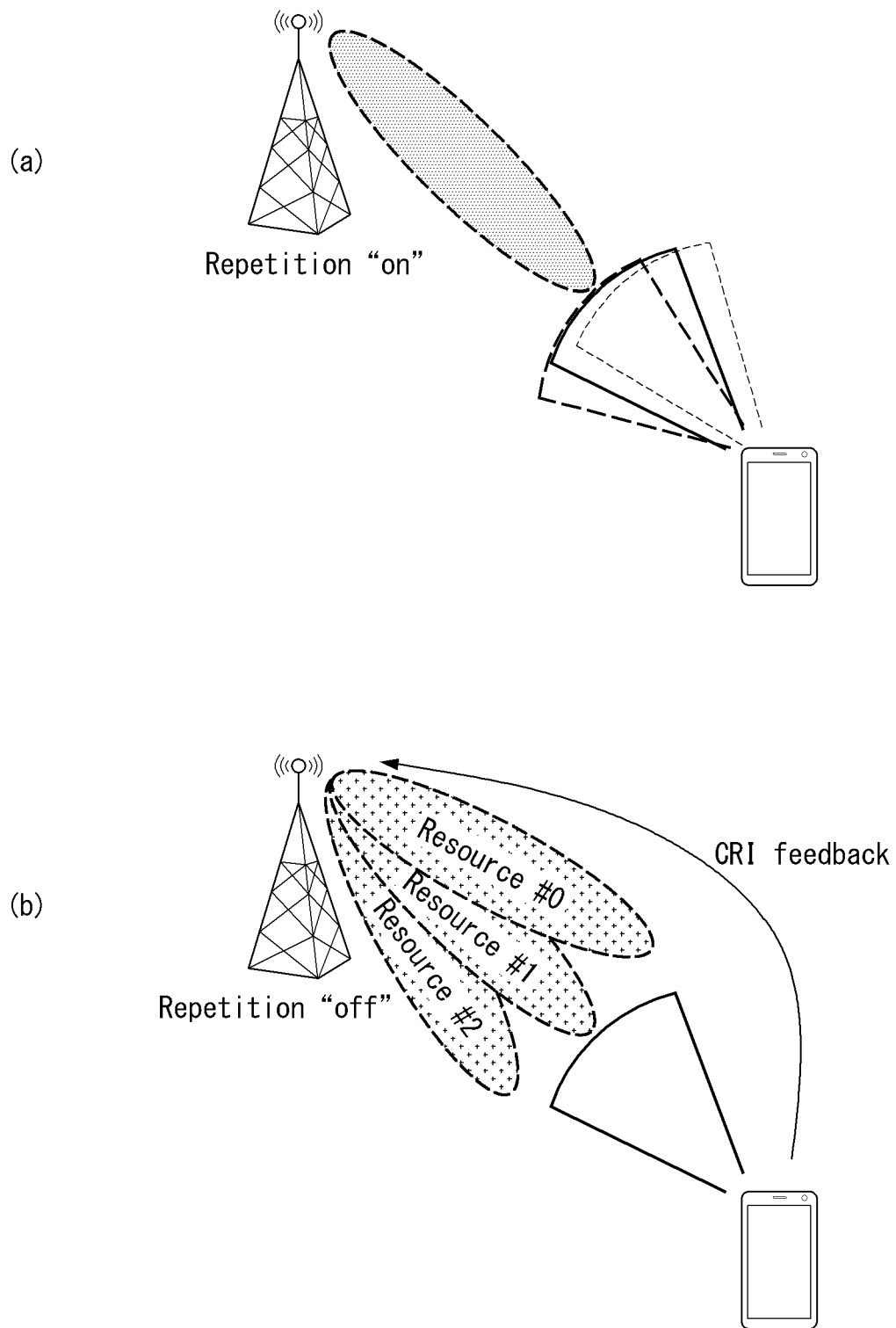
[FIG. 16]



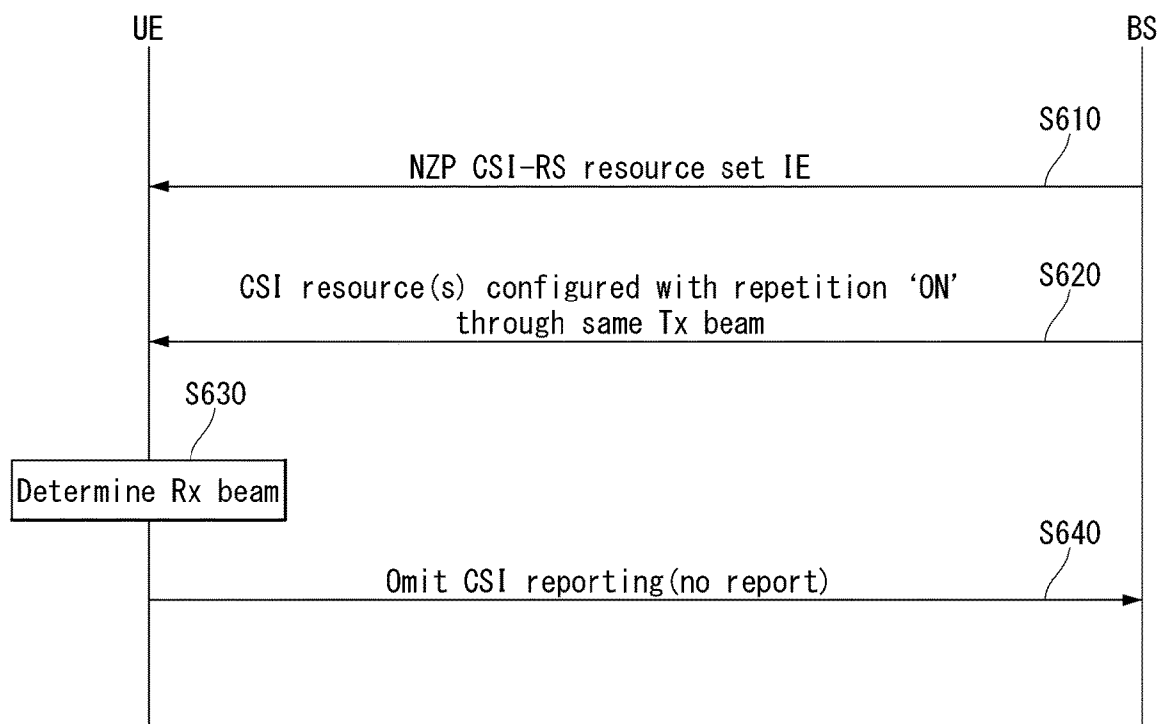
[FIG. 17]



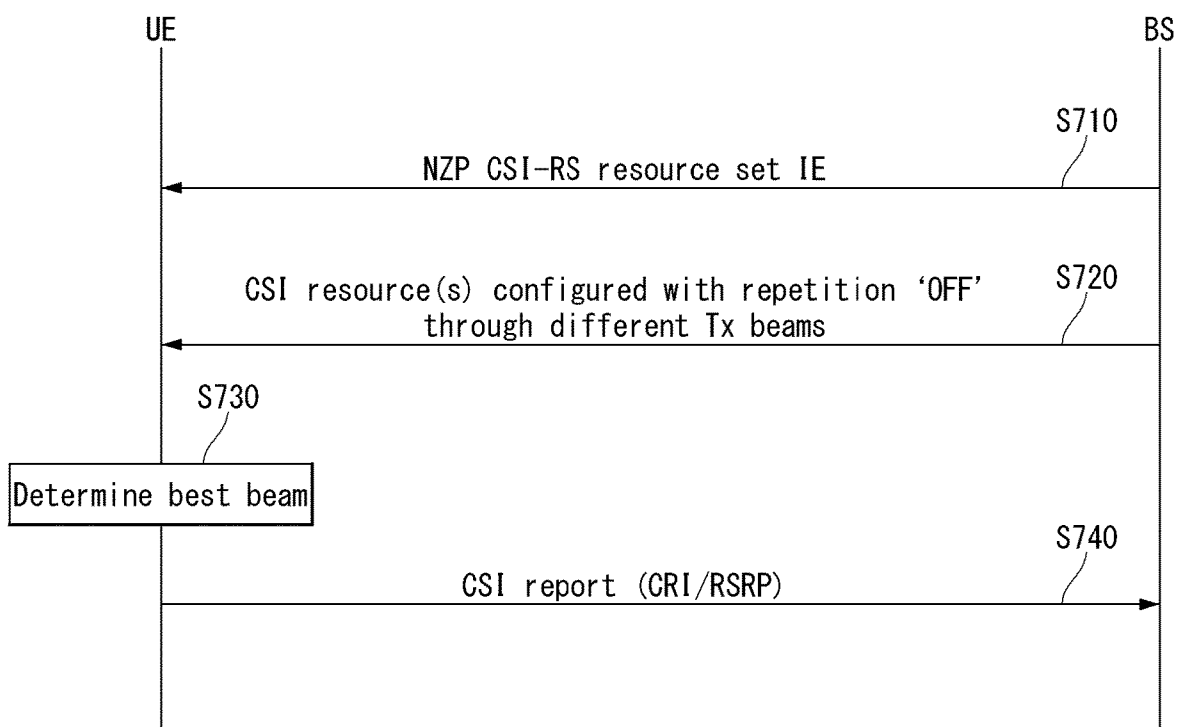
[FIG. 18]



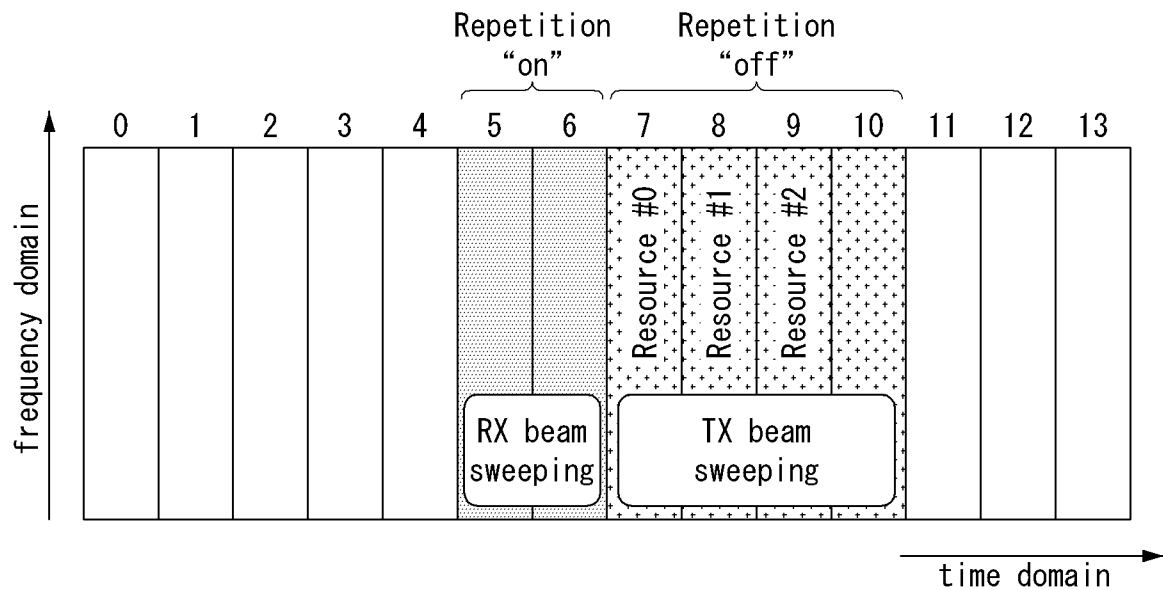
[FIG. 19]



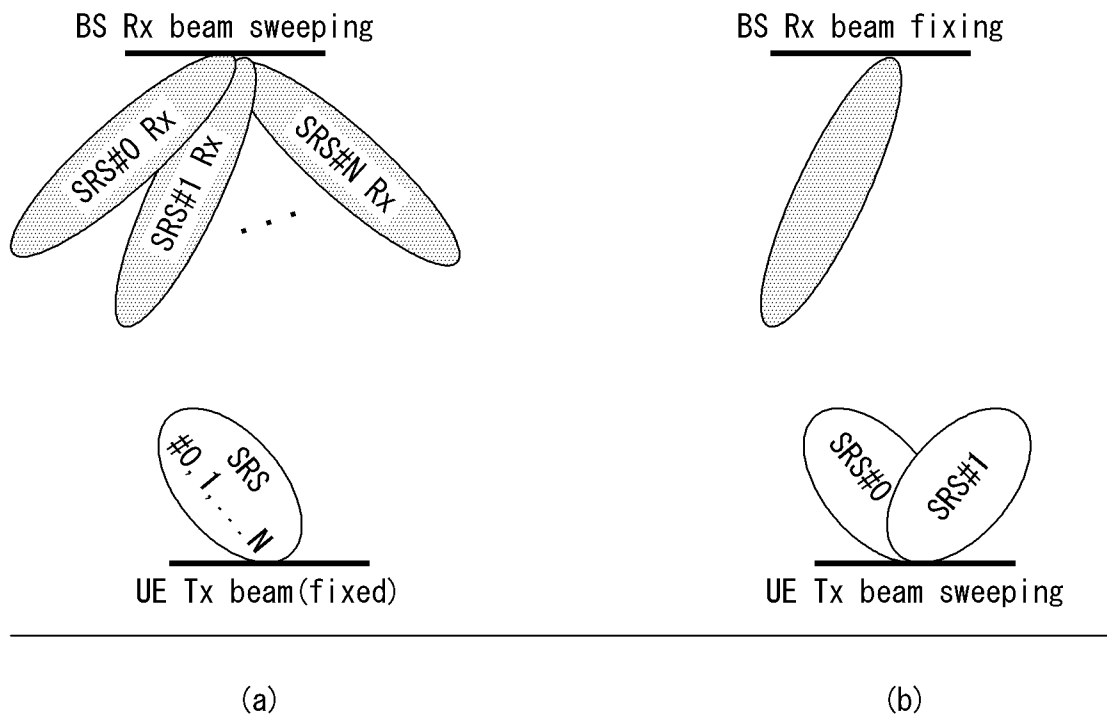
[FIG. 20]

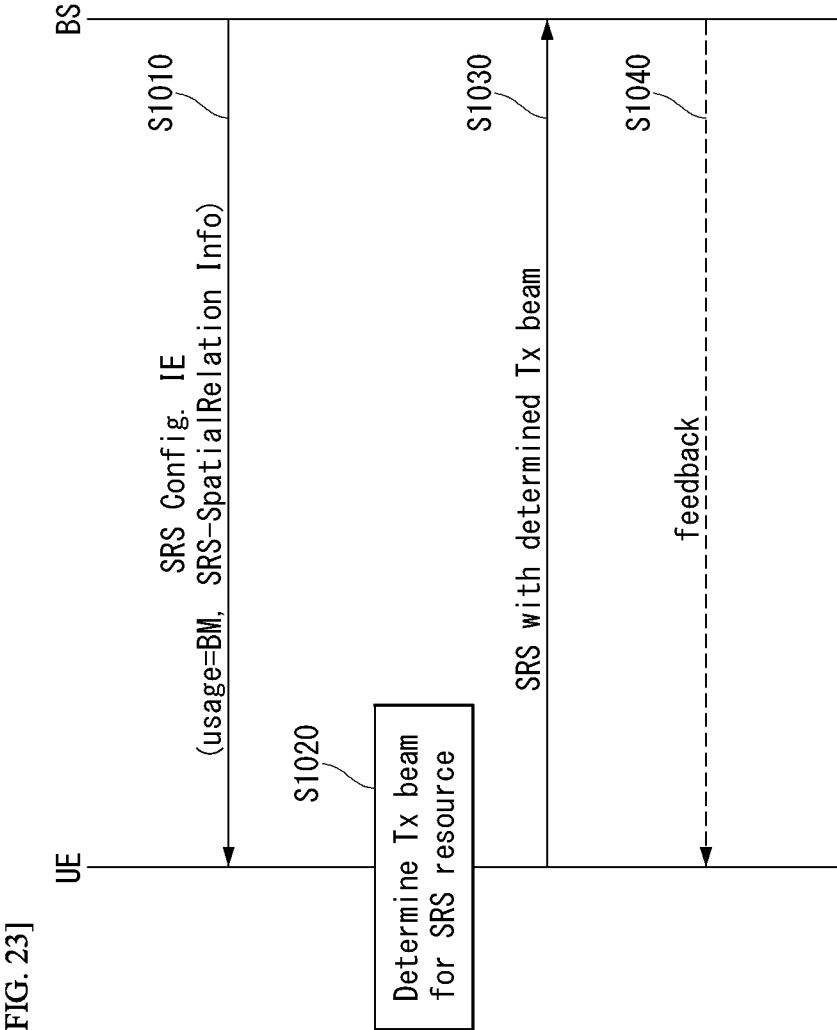


[FIG. 21]

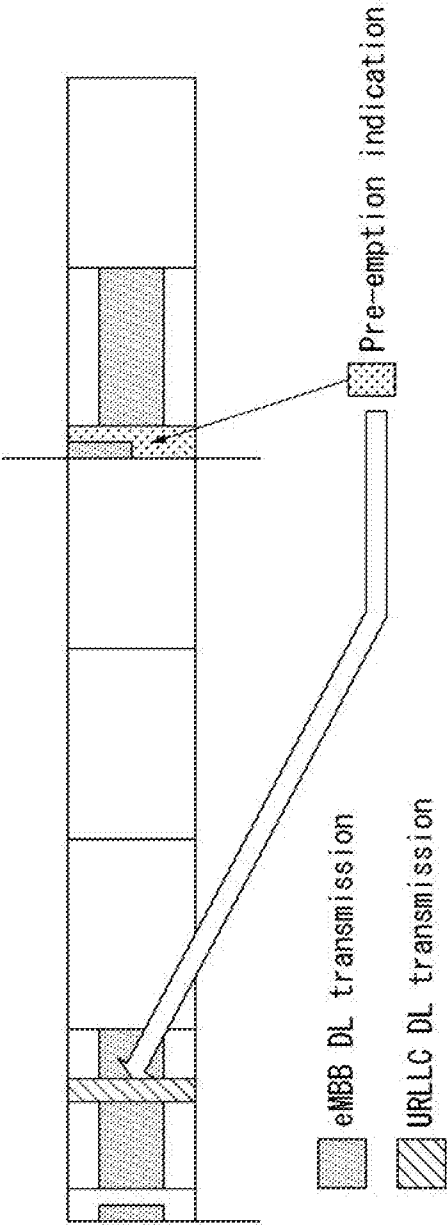


[FIG. 22]

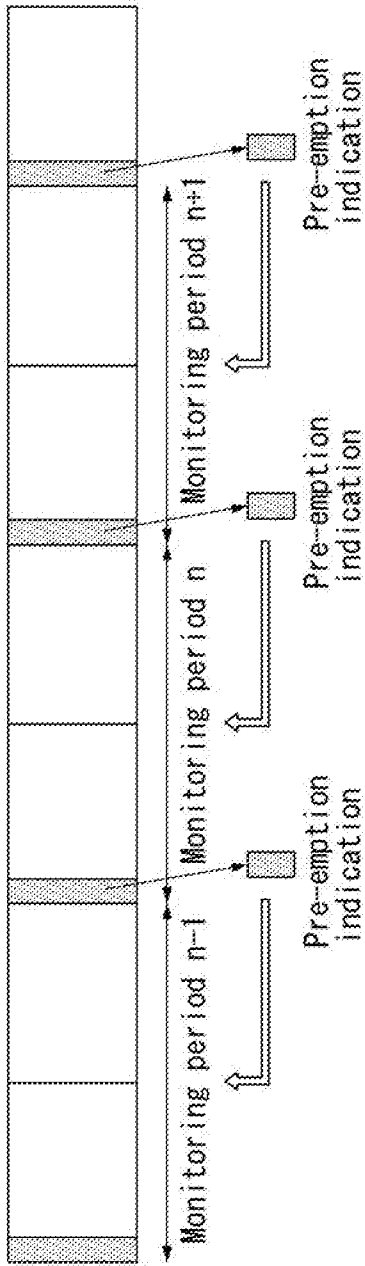




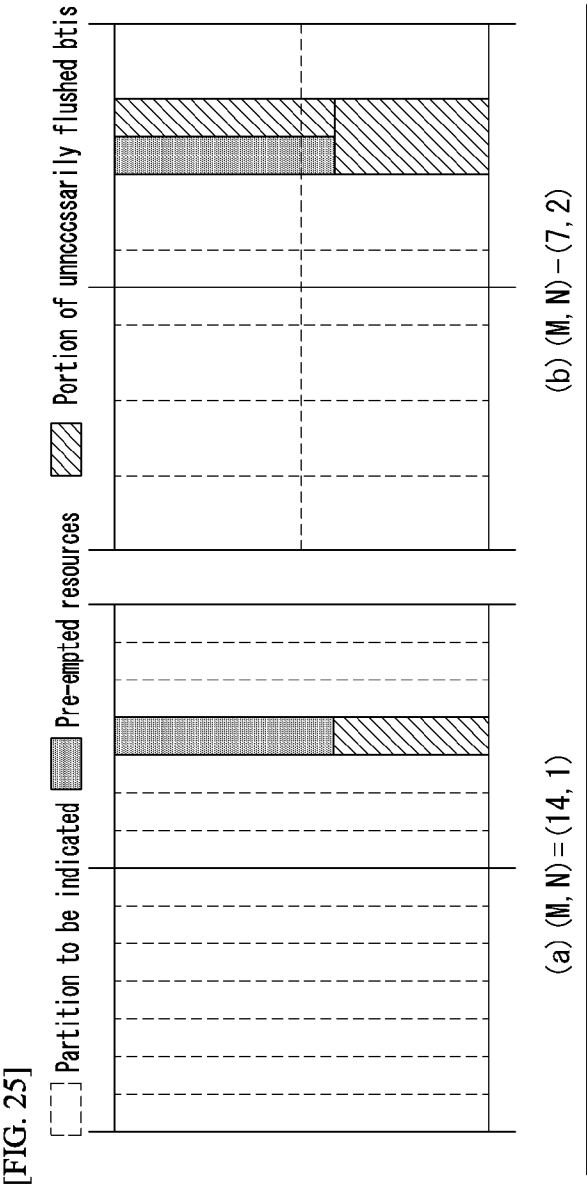
[FIG. 24]



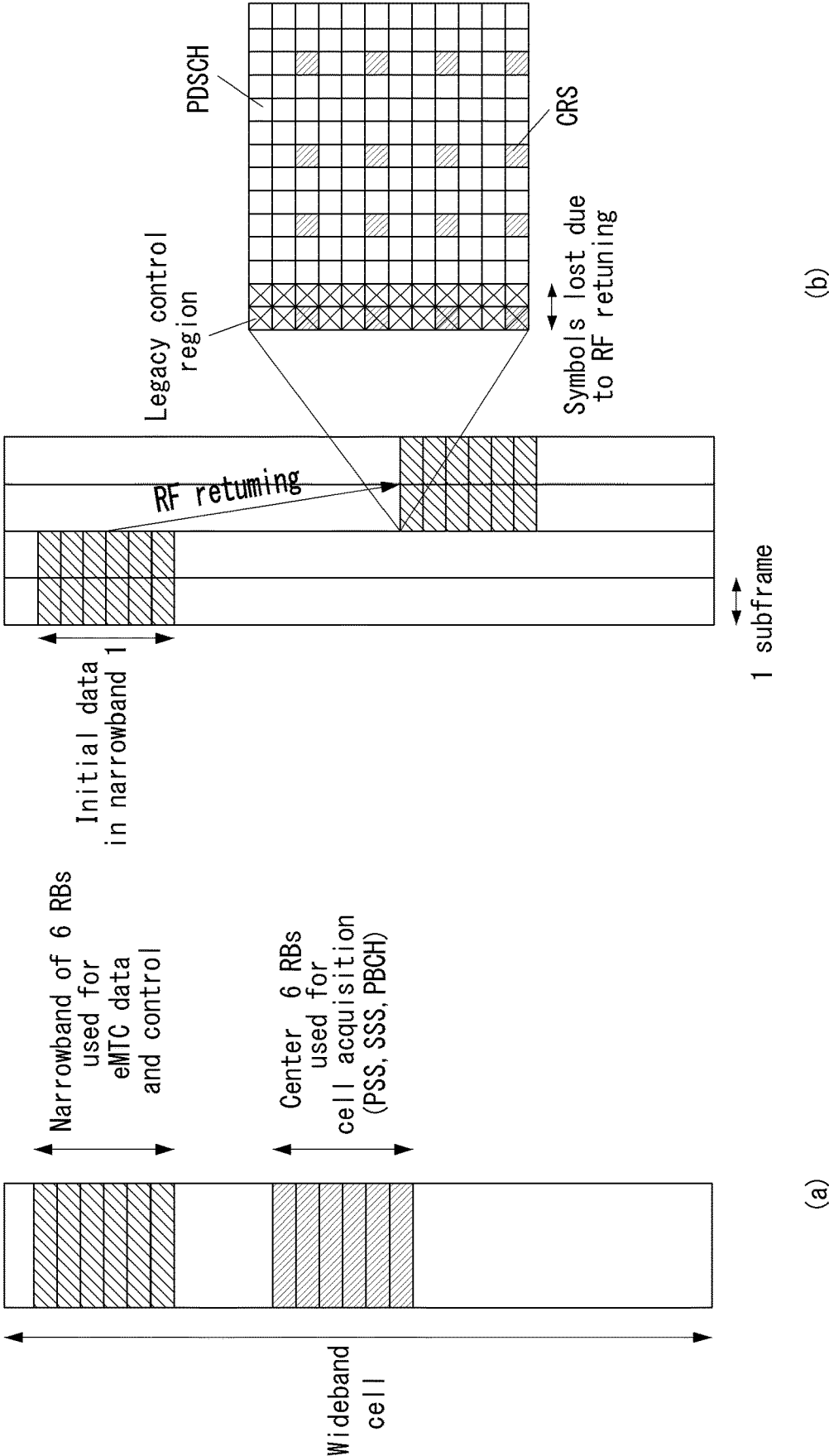
(a)



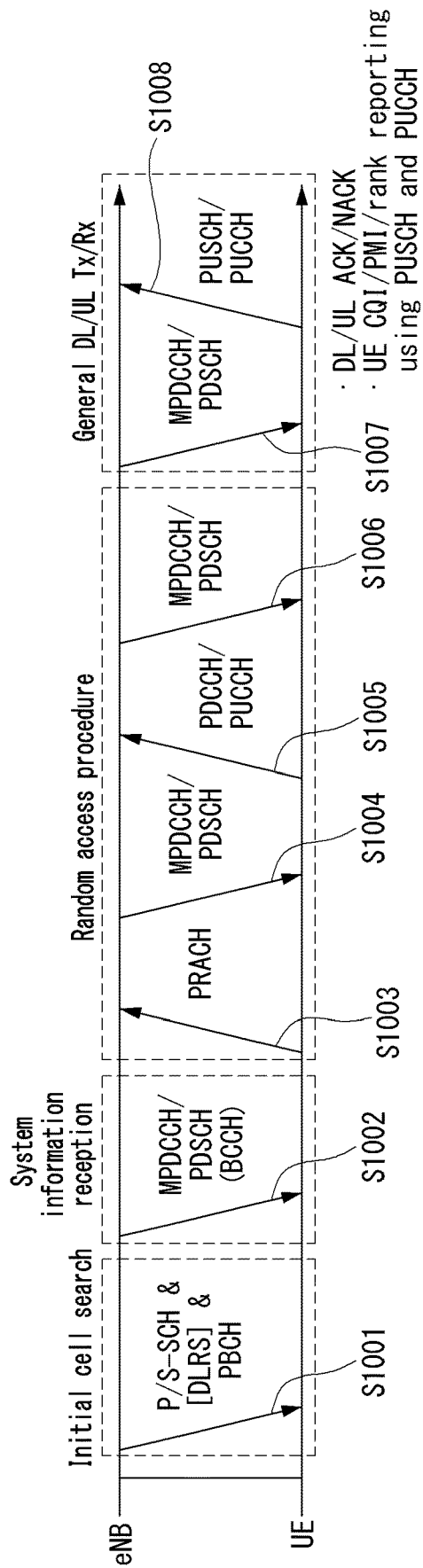
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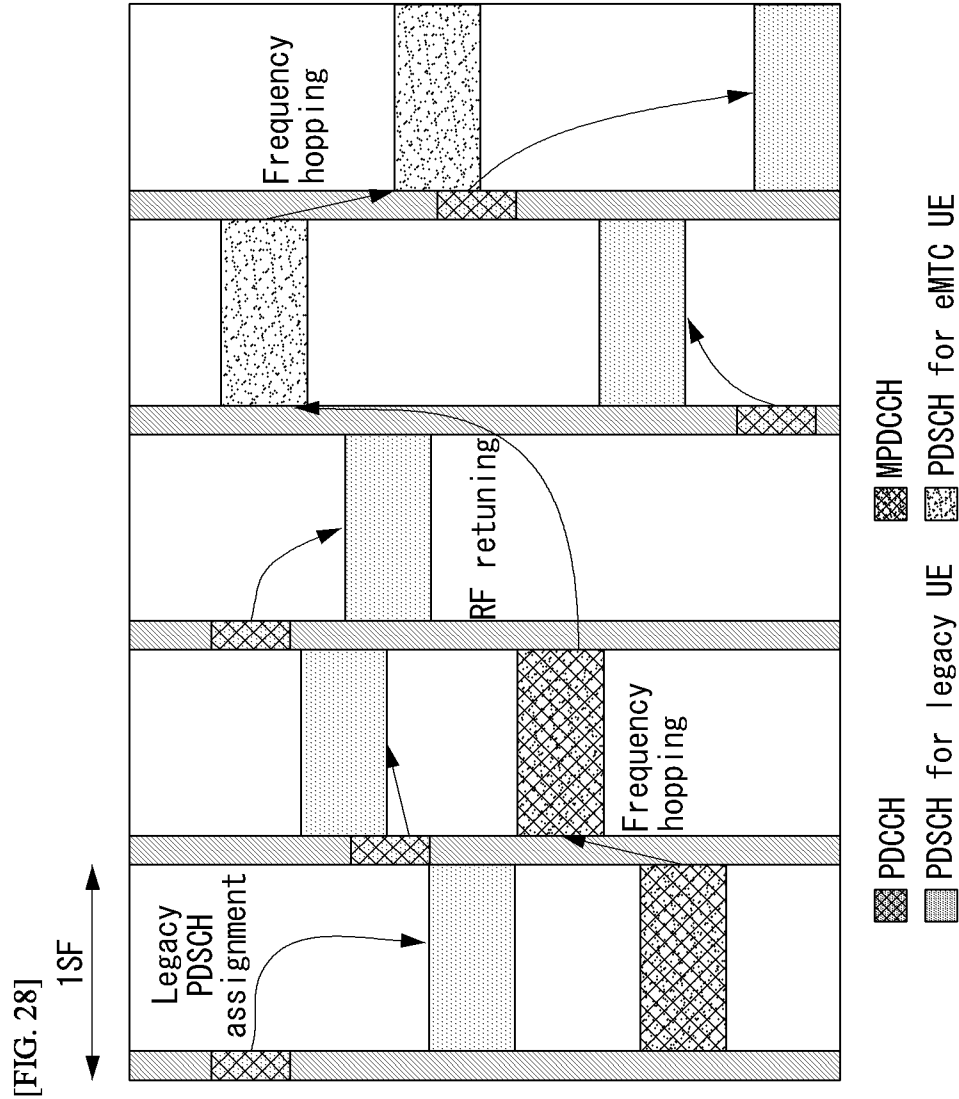


[FIG. 26]

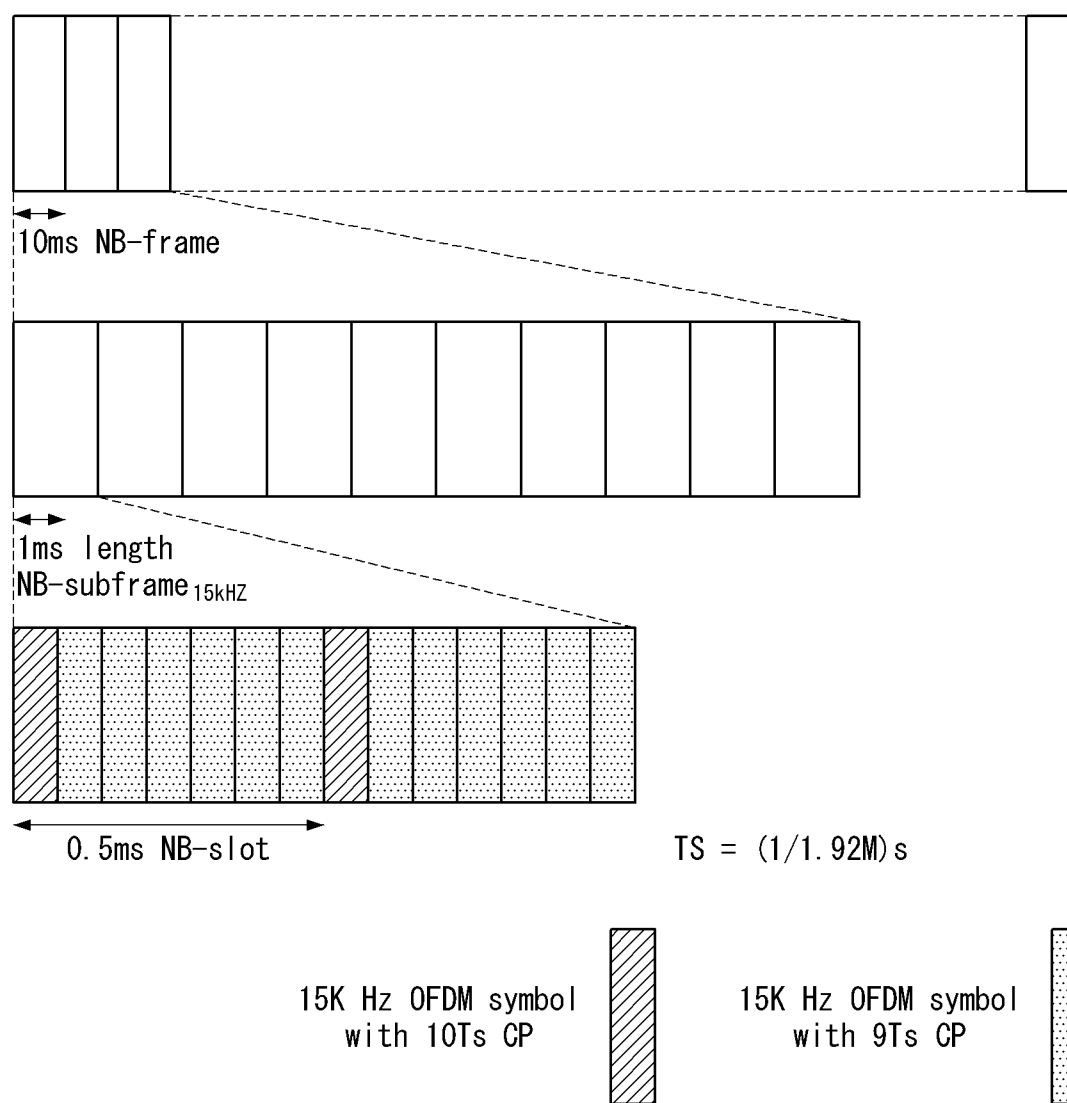


[FIG. 27]

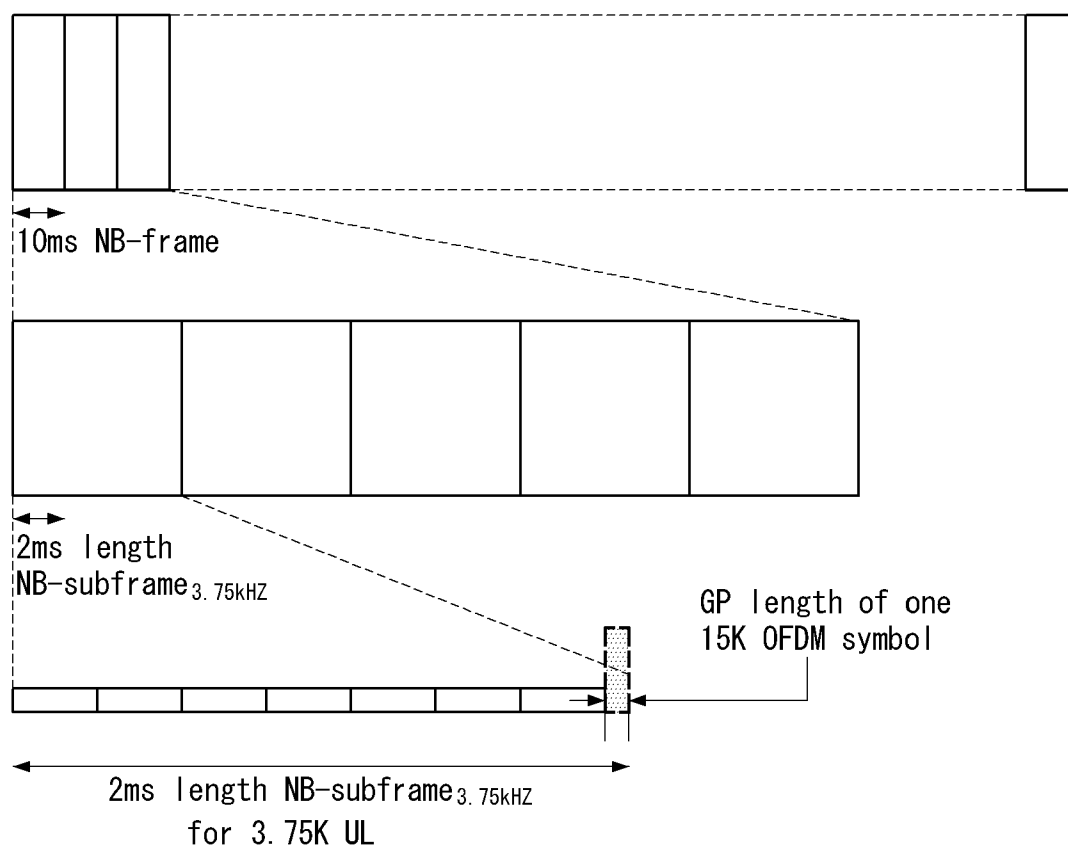




[FIG. 29]



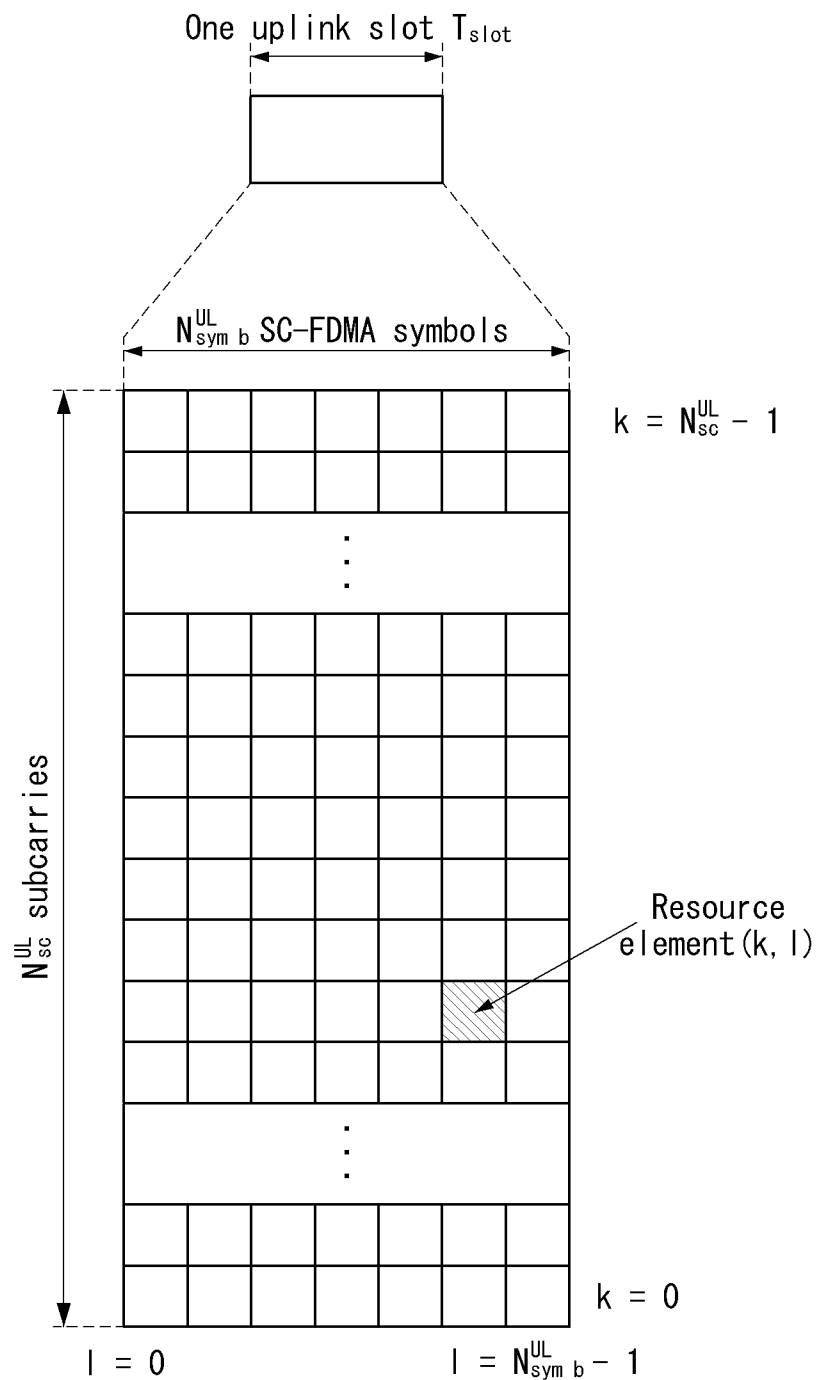
[FIG. 30]



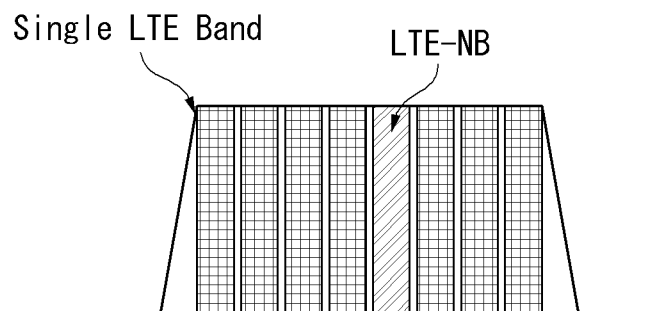
$$TS = (1/1.92M)s$$

Potential SRS of Legacy LTE  3.75K Hz OFDM symbol 

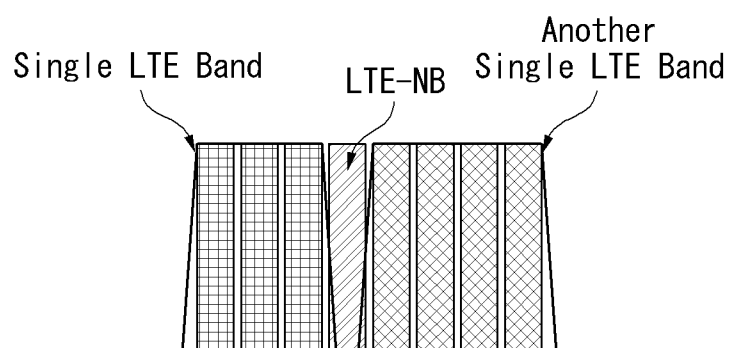
[FIG. 31]



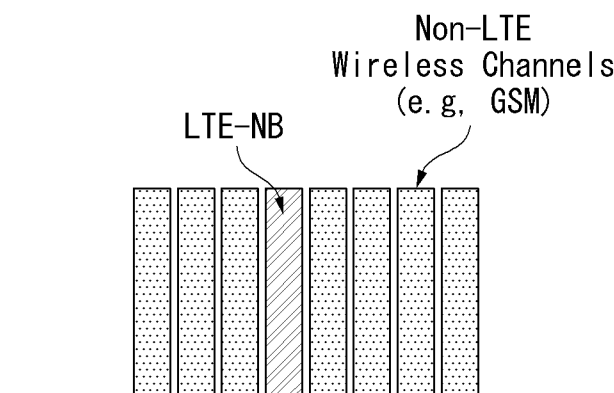
[FIG. 32]



(a) In-band system

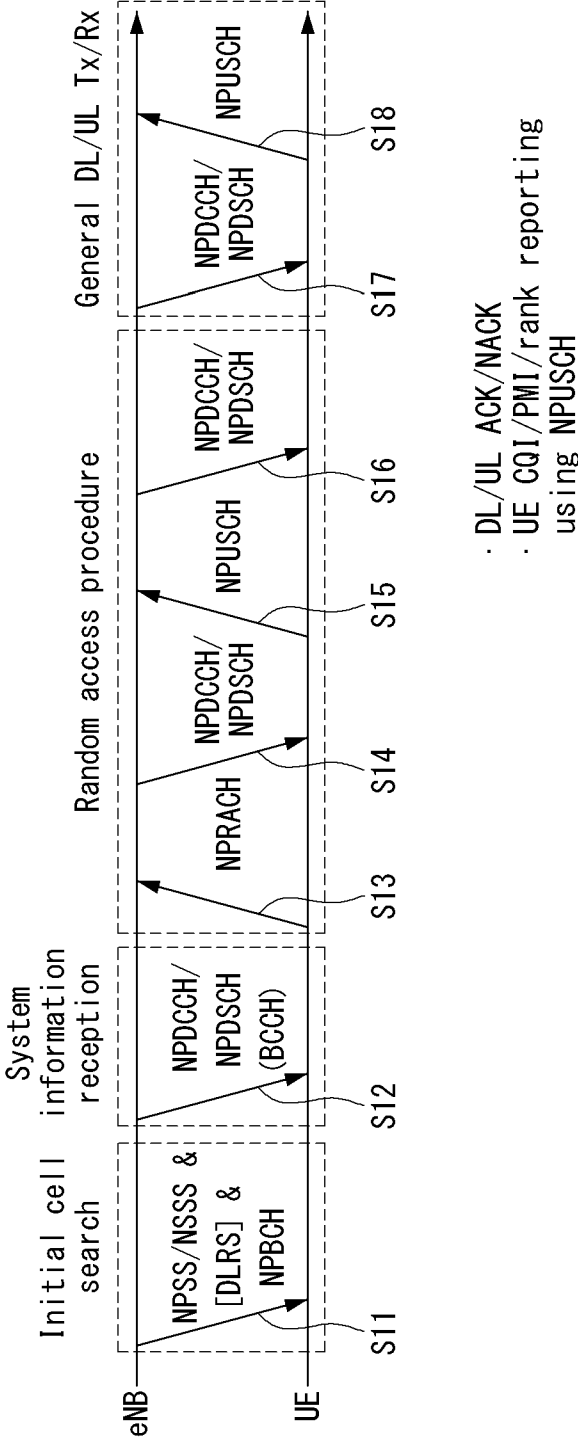


(b) Guard-band system

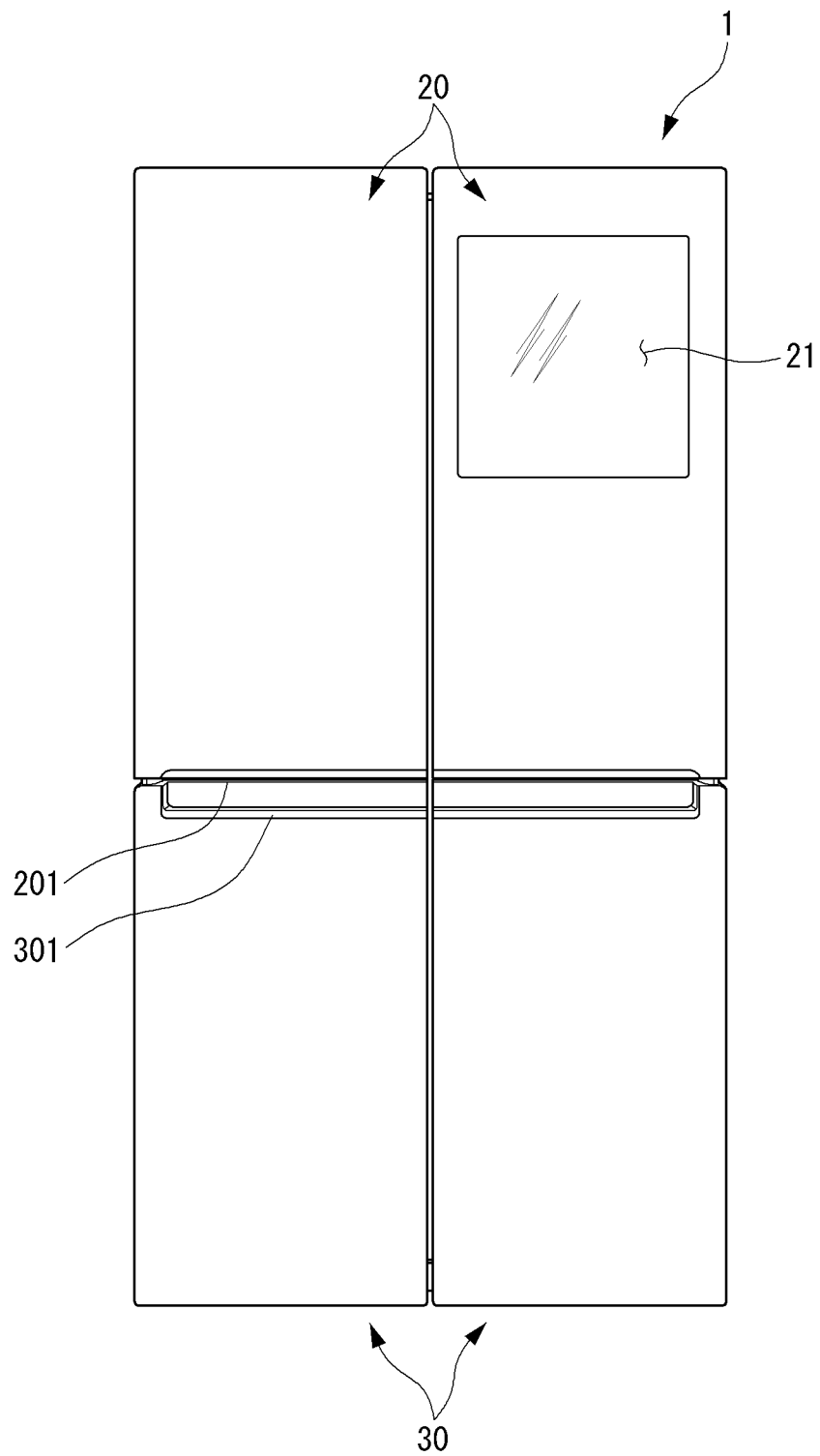


(c) Stand-alone system

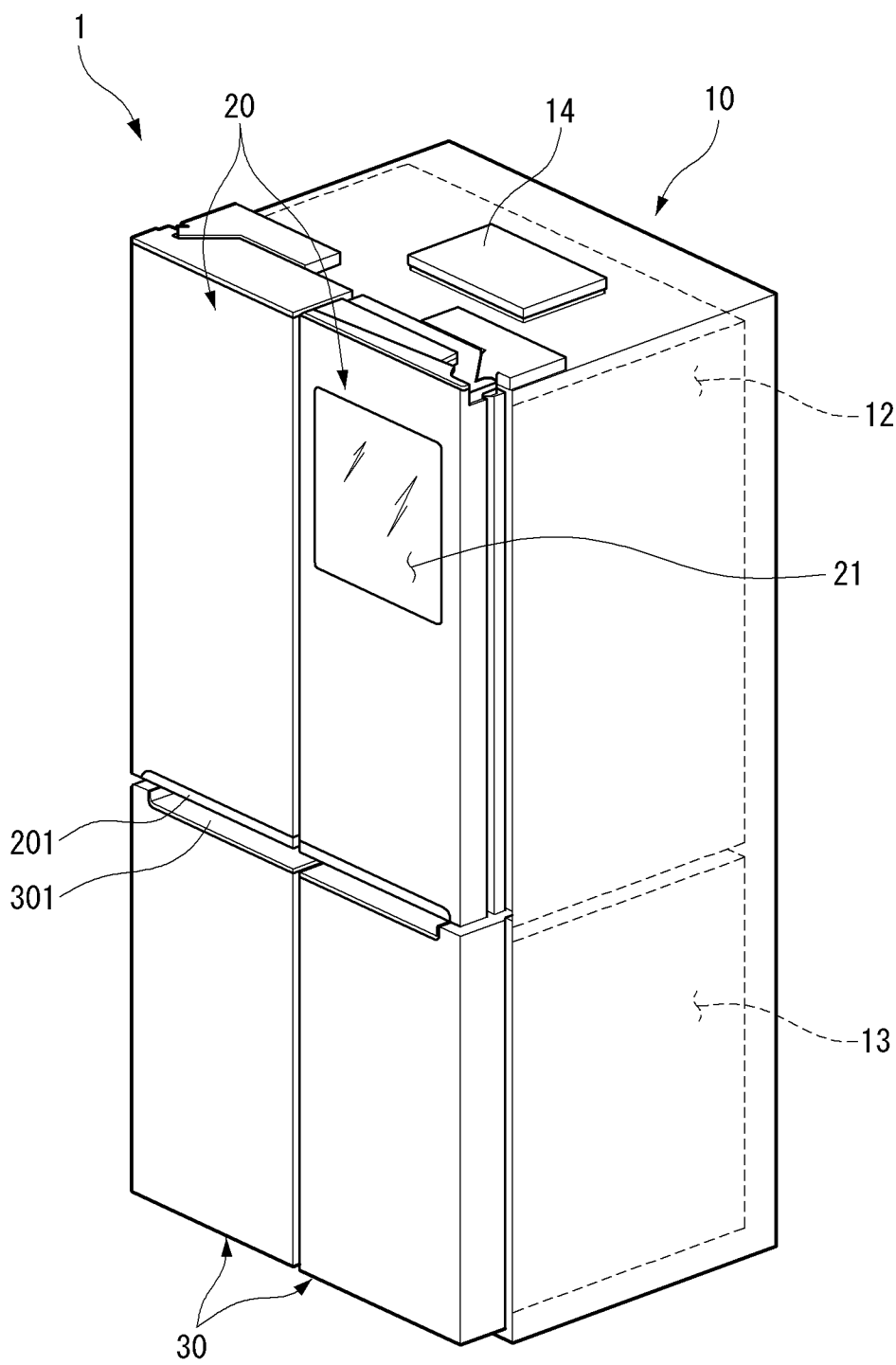
[FIG. 33]



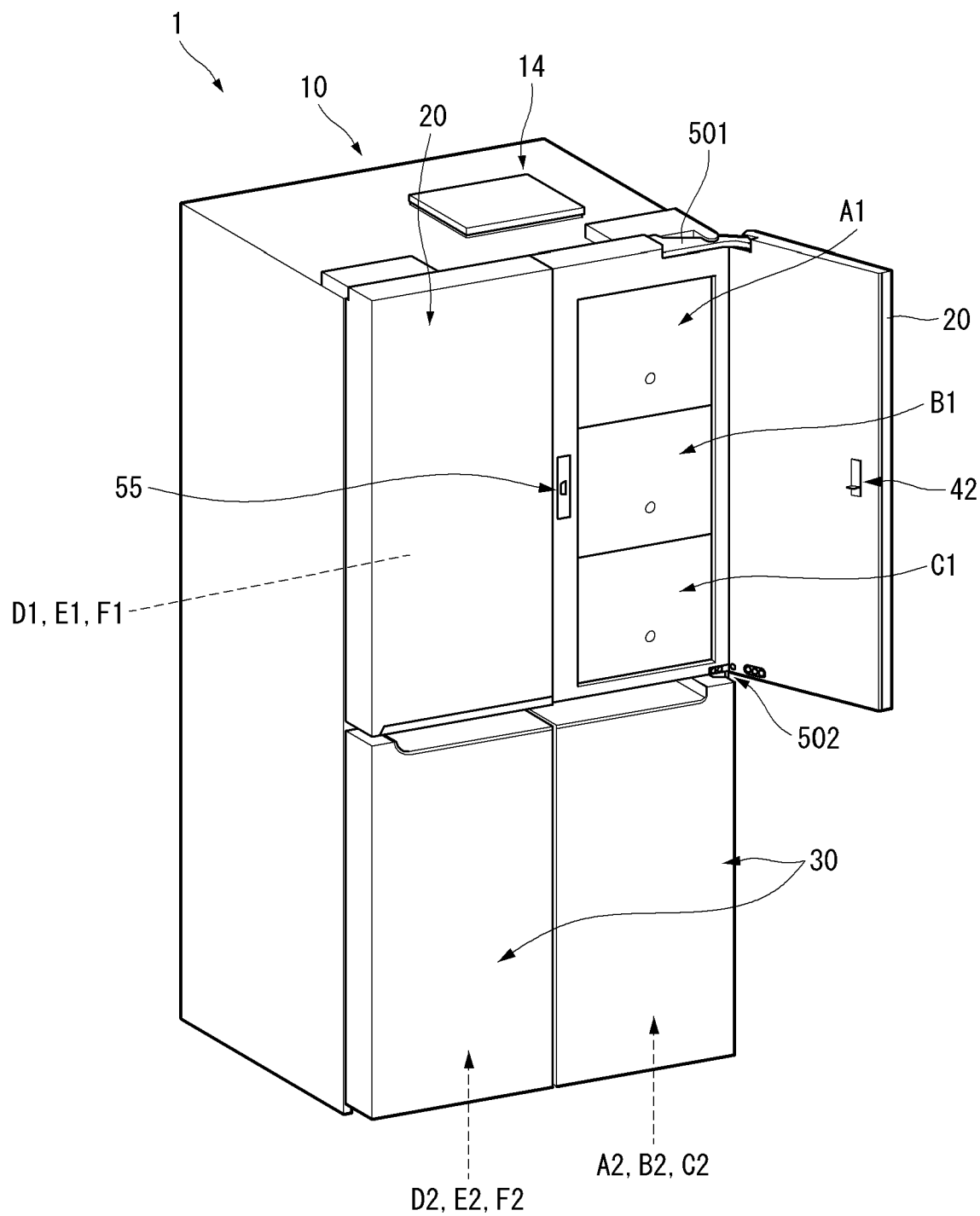
[FIG. 34]



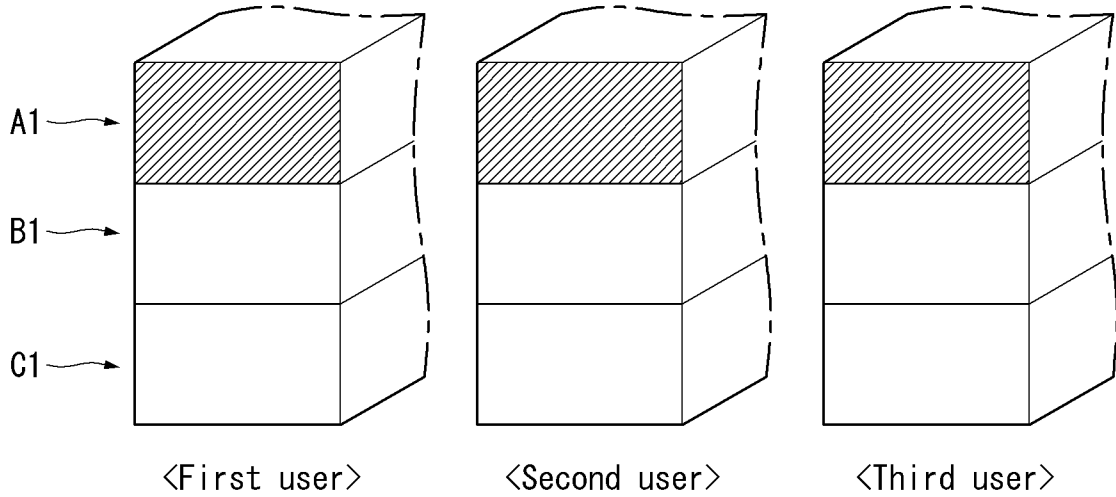
[FIG. 35]



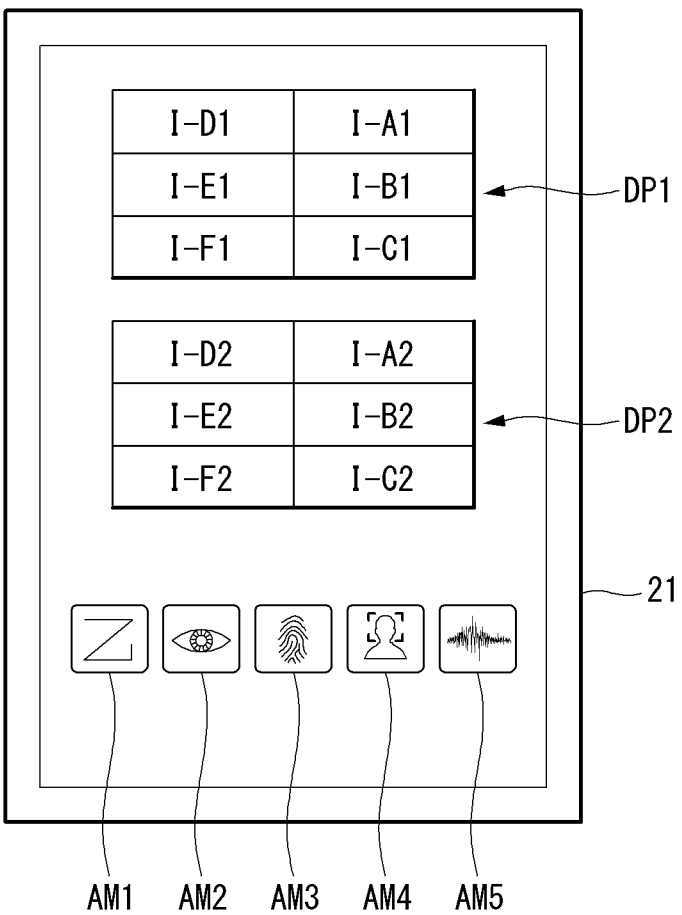
[FIG. 36]



[FIG. 37]



[FIG. 38]



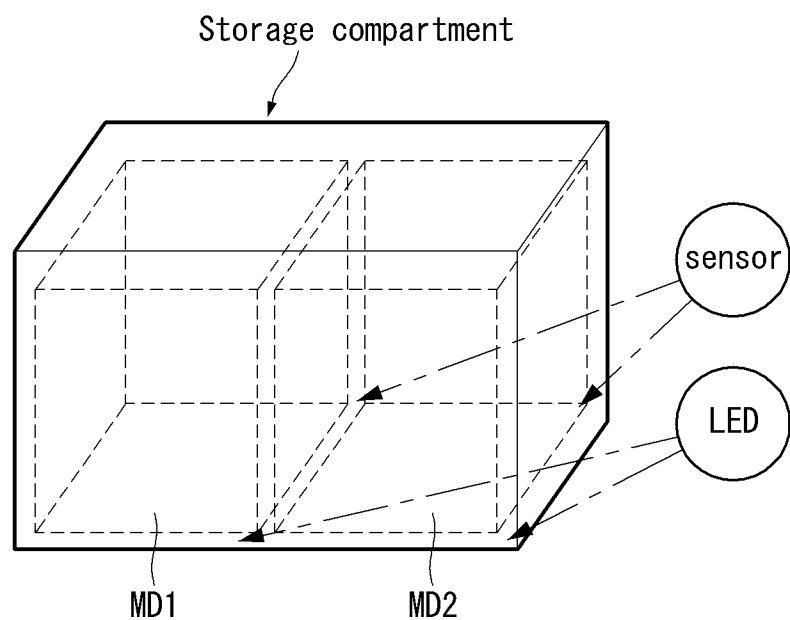
[FIG. 39]

I-D1	I-A1
I-E1	I-B1
I-F1	I-C1

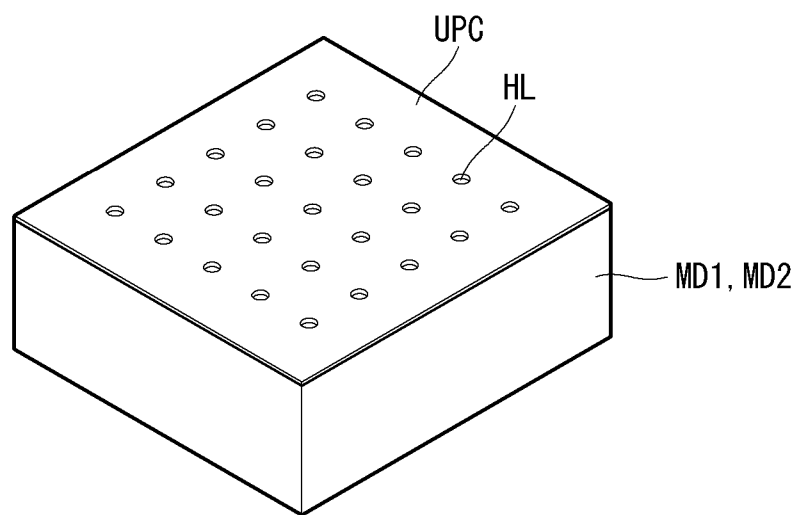
[FIG. 40]

I-D1	I-A1
I-E1	I-B1
I-F1	I-C1

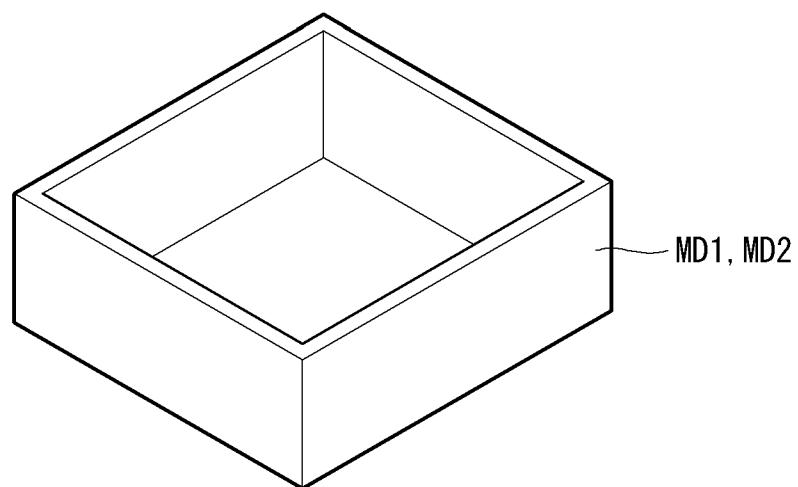
[FIG. 41]



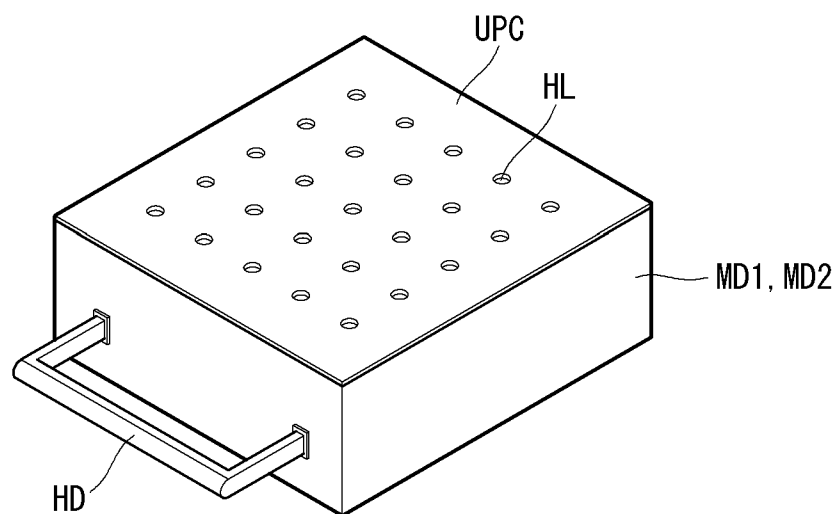
[FIG. 42]



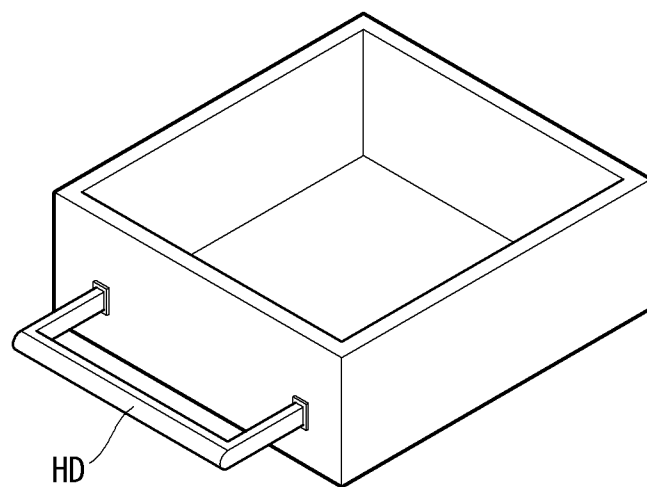
[FIG. 43]



[FIG. 44]

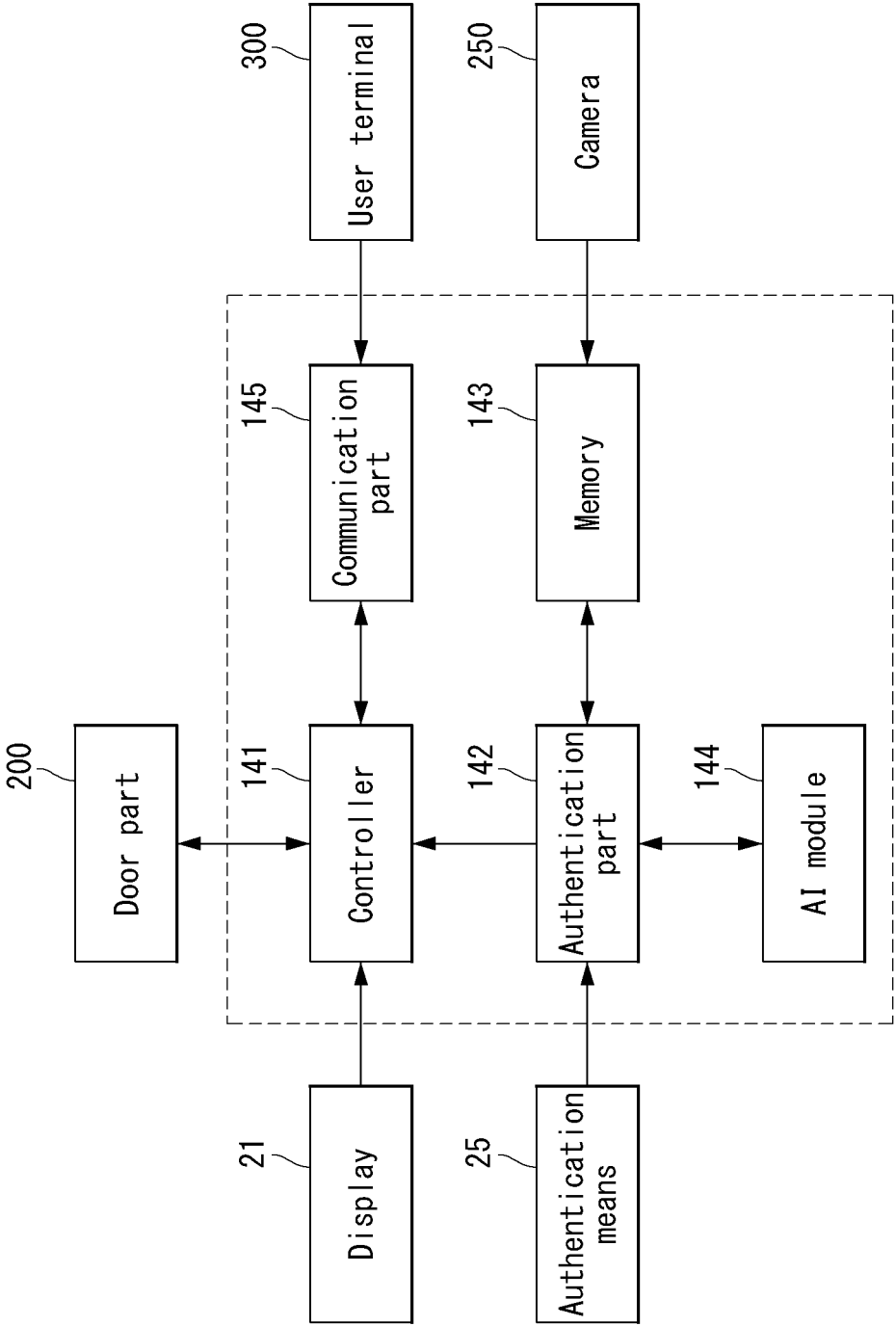


(A)

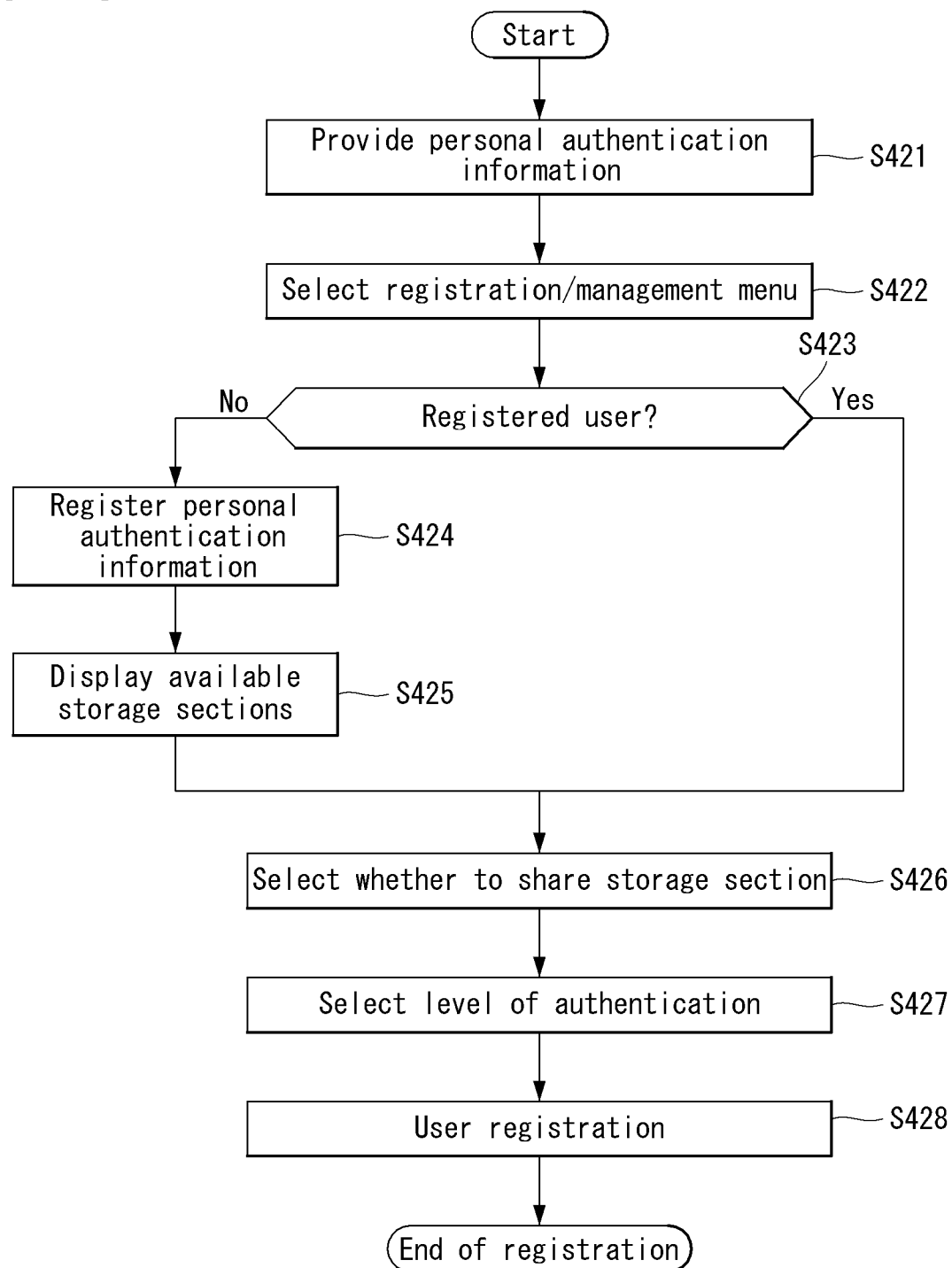


(B)

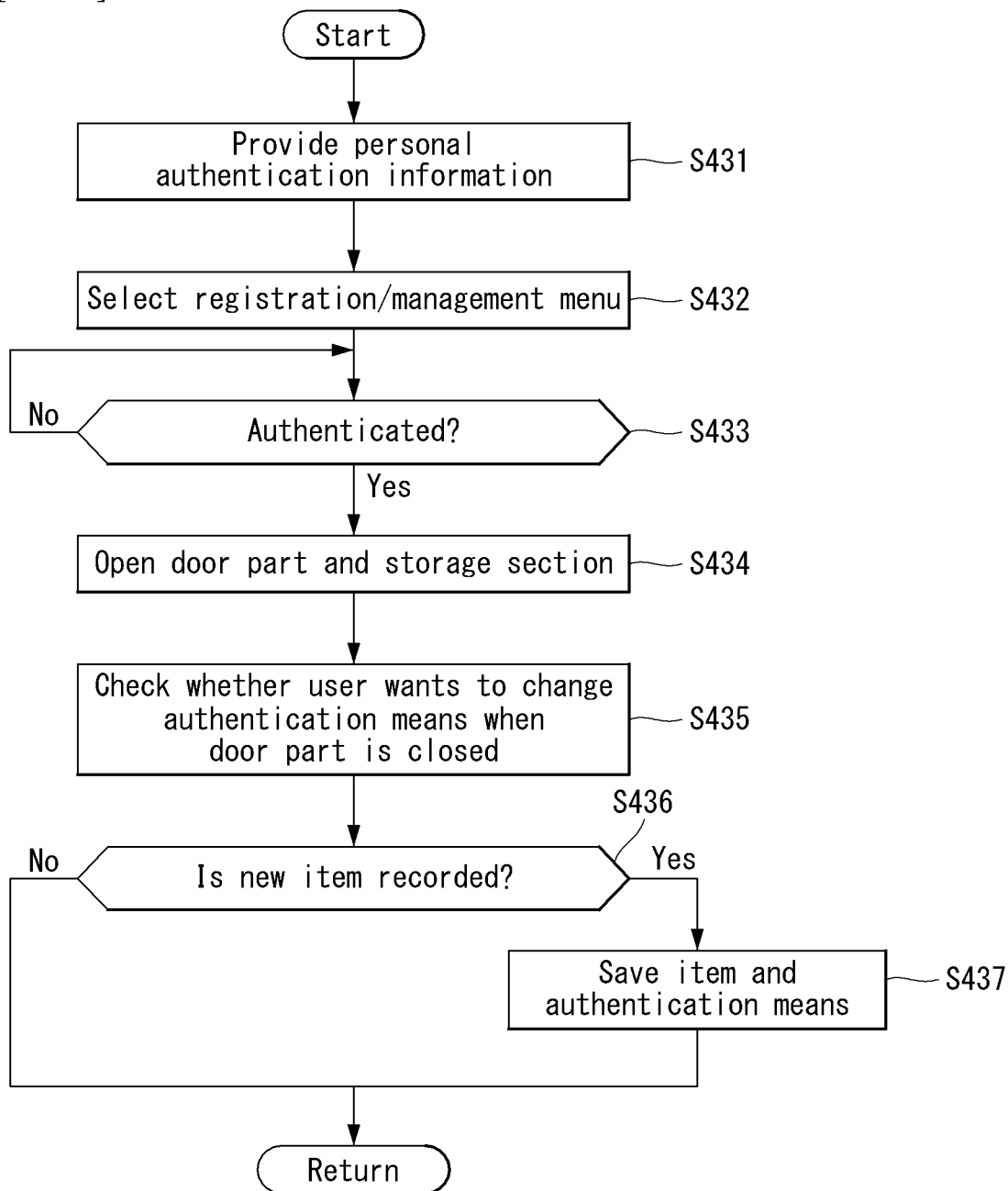
[FIG. 45]



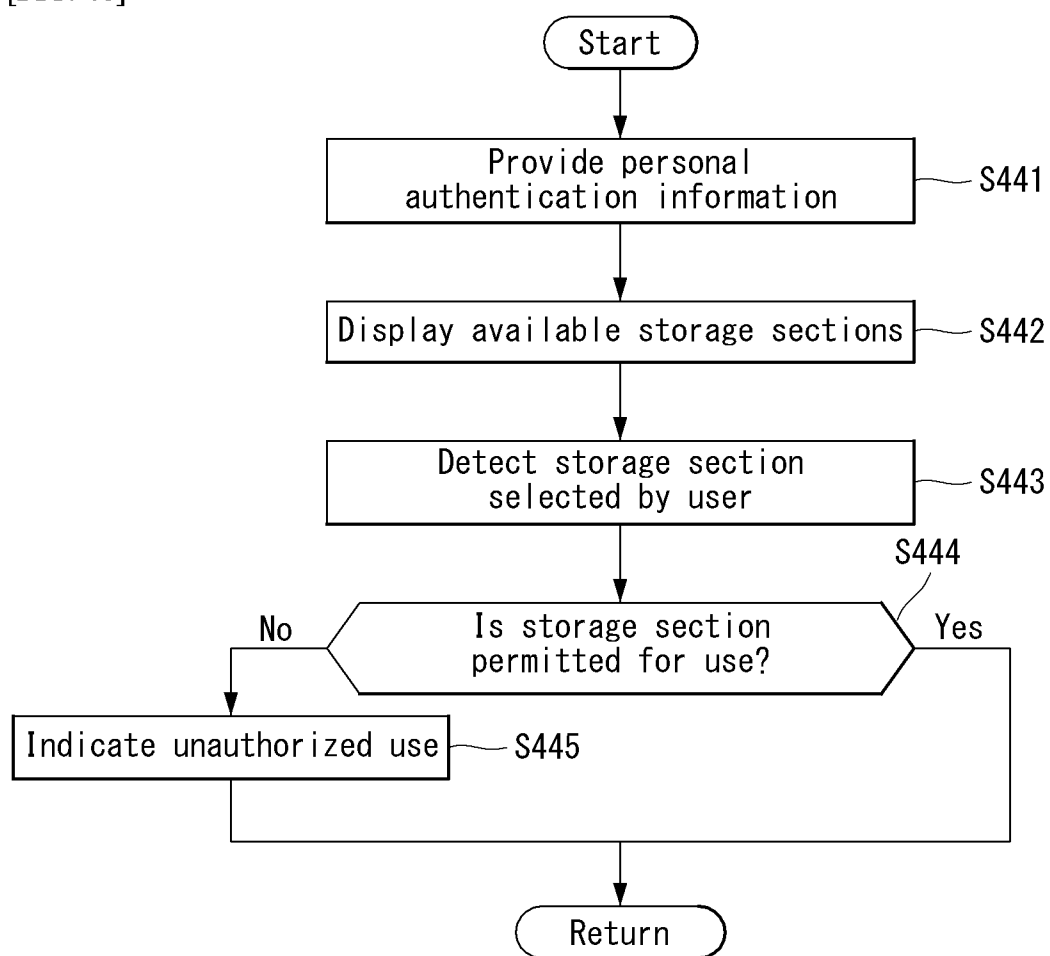
[FIG. 46]



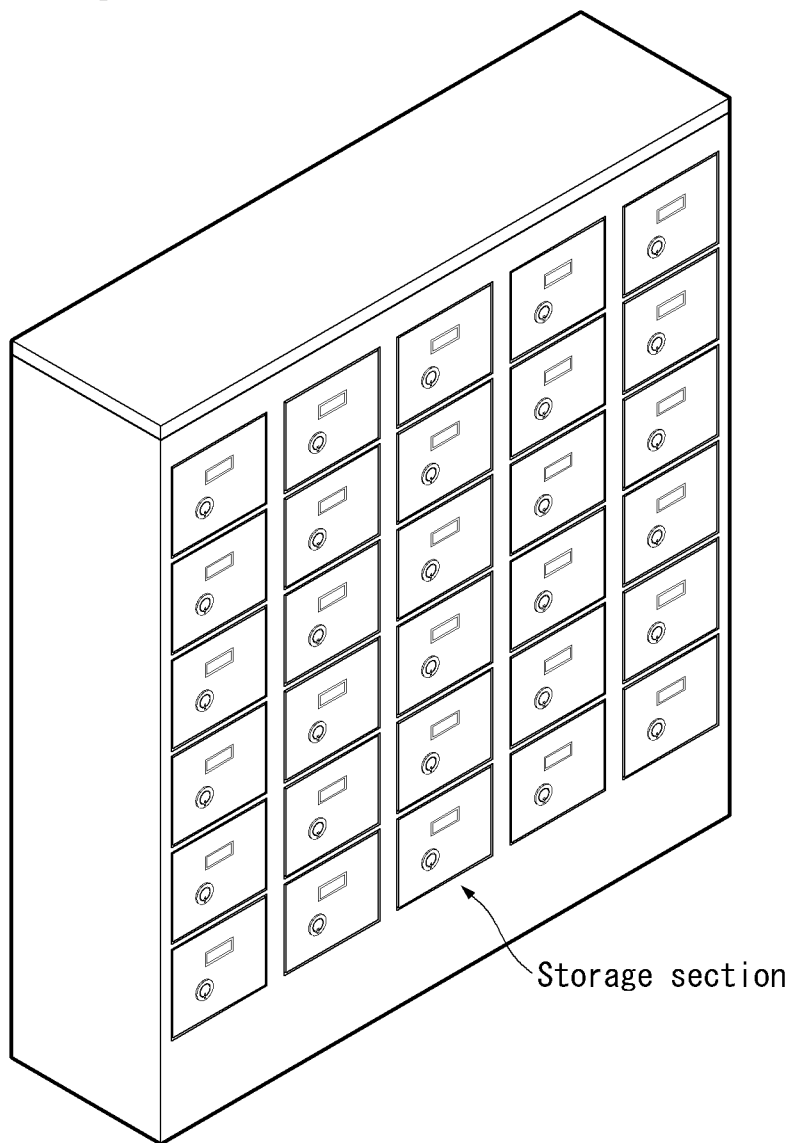
[FIG. 47]



[FIG. 48]



[FIG. 49]



ARTIFICIAL INTELLIGENT REFRIGERATOR AND CONTROL METHOD OF THE SAME

TECHNICAL FIELD

[0001] The present invention relates to an artificial intelligent refrigerator and a control method of the same.

BACKGROUND ART

[0002] In recent years, with the increase in single-person households and the rise of the sharing economy, related ventures such as WeWork are growing fast, and the market for home appliances targeting the sharing economy is expected to become more vigorous.

[0003] Regarding this, sharing of a single refrigerator among multiple users may be taken into consideration. The refrigerator is a type of storage equipment used to store items for a long period of time, in which a storage space is kept at a set temperature using cold air produced in a thermodynamic freezing cycle and therefore items stored in it are kept fresh for as long as possible.

[0004] However, traditional refrigerators are difficult to fit in with the sharing economy due to problems like loss of personal items and contamination.

DISCLOSURE

Technical Problem

[0005] An aspect of the present invention is to solve the above-described needs and/or problems.

[0006] Another aspect of the present invention is to allow multiple users to share the same refrigerator and ensure safe storage of personal items through user authentication.

[0007] Another aspect of the present invention is to learn a user's behavioral patterns and change their authentication means to fit the user's behavior patterns.

Technical Solution

[0008] An exemplary embodiment of the present invention provides an artificial intelligent refrigerator including: a main body; a storage compartment provided inside the main body and comprising a plurality of separate storage sections; a door part for opening and closing the storage compartment; a memory in which user authentication information corresponding to each of the storage sections is registered; and a controller that, once a user is authenticated through a predetermined authentication means, opens the door part and unlocks a storage section belonging to the authenticated user, among all of the storage sections, to make the corresponding storage section available. \

[0009] The storage compartment may comprise a refrigerating compartment and a freezing compartment.

[0010] The storage sections may comprise a plurality of personal storage sections that are locked so as to be matched individually to different registered users; and at least one shared storage section matched to a plurality of registered users.

[0011] The shared storage section may be unlocked to allow use by all users registered for authentication.

[0012] The shared storage section may be locked to allow use by only some users registered for authentication.

[0013] The artificial intelligent refrigerator may further comprise a display provided in the door part, wherein the display shows the occupancy status and locked status of the storage sections.

[0014] The display may display a personal or shared storage section available to a user registered for authentication differently from the way other storage sections are displayed.

[0015] The display may further comprise a light-emitting portion for displaying the storage sections in such a way as to make the storage sections visually distinct from one another, wherein, upon receiving an input of authentication request information from a user registered for authentication, the controller controls the operation of the light-emitting portion to display a personal or shared storage section available to the user in a different color from other storage sections.

[0016] The authentication means may be implemented as at least one of the following: a touch pattern recognition part that compares user-input touch pattern information to touch pattern information registered in the memory; a fingerprint recognition part that compares user-input fingerprint information to fingerprint information registered in the memory; a facial recognition part that compares user-input facial information to facial information registered in the memory; an iris recognition part that compares user-input iris information to iris information registered in the memory; and a voiceprint recognition part that compares user-input voiceprint information to voiceprint information registered in the memory.

[0017] The controller may analyze a user's behavioral patterns related to authentication and issue a notification to the user through a notification part, asking whether the user wants to change the authentication means.

[0018] The controller may further comprise an artificial intelligence module that learns a user's behavioral patterns related to authentication, wherein the authentication means is automatically changed to fit the user's behavioral patterns based on what the artificial intelligence module has learned.

[0019] The controller may apply different authentication means depending on the item to be stored.

[0020] In a case where an additional level of authentication is set for storing a specific item, the controller may automatically select an authentication means that meets the additional level of authentication.

[0021] The additional level of authentication may involve camera image recognition for the specific item.

[0022] The artificial intelligent refrigerator may further comprise a communication part that communicates with a plurality of user terminals, wherein, when a second user makes an unauthorized attempt to use a storage section belonging to a first user, the controller transmits a message to the first user's user terminal through the communication part, indicating that the second user has made an unauthorized attempt to use the storage section.

[0023] The storage compartment may further comprise: a first storage module and a second storage module which are matched to a registered user, are in an unlocked state, and are individually attachable and detachable; a detection sensor that detects whether the first storage module and the second storage module are attached or detached; and a lighting part that is activated only when the first storage module and the second storage module are mounted.

[0024] The artificial intelligent refrigerator may further comprise a communication part that communicates with a plurality of user terminals, wherein the controller transmits a message to the registered user's terminal through the communication part, indicating that an unregistered user has made an unauthorized attempt to use the first storage module or the second storage module.

[0025] The first storage module and the second storage module each are covered with an upper cover having a plurality of cold air through-holes.

[0026] The first storage module and the second storage module may have no upper cover.

[0027] Another exemplary embodiment of the present invention provides a control method of an artificial intelligent refrigerator including: a main body; a storage compartment provided inside the main body and comprising a plurality of separate storage sections; and a door part for opening and closing the storage compartment, the control method including: authenticating a user by comparing user information inputted through a predetermined authentication means to user authentication information registered in a memory to correspond to each of the storage sections; and, once the user is authenticated, opening the door part and unlocking a storage section belonging to the authenticated user, among all of the storage sections, to make the corresponding storage section available.

Advantageous Effects

[0028] The present invention can allow multiple users to share the same refrigerator and ensure safe storage of personal items through user authentication.

[0029] Furthermore, the present invention can increase user convenience by learning a user's behavior patterns and changing their authentication means to fit the user's behavior patterns.

[0030] The advantageous effects according to an embodiment of the present invention are not limited by what has been exemplified above, and more various advantageous effects are included in the present specification.

DESCRIPTION OF DRAWINGS

[0031] FIG. 1 is a block diagram of a wireless communication system to which the methods proposed herein may be applied.

[0032] FIG. 2 shows an example of a basic operation of an user equipment and a 5G network in a 5G communication system.

[0033] FIG. 3 illustrates an example of application operation of an user equipment and a 5G network in a 5G communication system.

[0034] FIGS. 4 to 7 show an example of an operation of an user equipment using 5G communication.

[0035] FIG. 8 is a diagram illustrating an example of a 3GPP signal transmission/reception method.

[0036] FIG. 9 illustrates an SSB structure and FIG. 10 illustrates SSB transmission.

[0037] FIG. 11 illustrates an example of a random access procedure.

[0038] FIG. 12 shows an example of an uplink grant.

[0039] FIG. 13 shows an example of a conceptual diagram of uplink physical channel processing.

[0040] FIG. 14 shows an example of an NR slot in which a PUCCH is transmitted.

[0041] FIG. 15 is a block diagram of a transmitter and a receiver for hybrid beamforming.

[0042] FIG. 16 shows an example of beamforming using an SSB and a CSI-RS.

[0043] FIG. 17 is a flowchart illustrating an example of a DL BM process using an SSB.

[0044] FIG. 18 shows another example of DL BM process using a CSI-RS.

[0045] FIG. 19 is a flowchart illustrating an example of a process of determining a reception beam of a UE.

[0046] FIG. 20 is a flowchart illustrating an example of a transmission beam determining process of a BS.

[0047] FIG. 21 shows an example of resource allocation in time and frequency domains related to an operation of FIG. 21.

[0048] FIG. 22 shows an example of a UL BM process using an SRS.

[0049] FIG. 23 is a flowchart illustrating an example of a UL BM process using an SRS.

[0050] FIG. 24 is a diagram showing an example of a method of indicating a pre-emption.

[0051] FIG. 25 shows an example of a time/frequency set of pre-emption indication.

[0052] FIG. 26 shows an example of a narrowband operation and frequency diversity.

[0053] FIG. 27 is a diagram illustrating physical channels that may be used for MTC and a general signal transmission method using the same.

[0054] FIG. 28 is a diagram illustrating an example of scheduling for each of MTC and legacy LTE.

[0055] FIG. 29 shows an example of a frame structure when a subcarrier spacing is 15 kHz.

[0056] FIG. 30 shows an example of a frame structure when a subscriber spacing is 3.75 kHz.

[0057] FIG. 31 shows an example of a resource grid for NB-IoT uplink.

[0058] FIG. 32 shows an example of an NB-IoT operation mode.

[0059] FIG. 33 is a diagram illustrating an example of physical channels that may be used for NB-IoT and a general signal transmission method using the same.

[0060] FIG. 34 is a front view of a refrigerator according to an exemplary embodiment of the present invention.

[0061] FIG. 35 is a perspective view of a refrigerator according to an exemplary embodiment of the present invention.

[0062] FIG. 36 is a perspective view of storage sections provided in a storage compartment of a refrigerator according to an exemplary embodiment of the present invention.

[0063] FIG. 37 shows an example of personal storage sections matched to different users, in a refrigerator according to an exemplary embodiment of the present invention.

[0064] FIG. 38 shows a display provided in a refrigerator according to an exemplary embodiment of the present invention.

[0065] FIG. 39 shows an example in which the display of FIG. 38 displays available storage sections differently from the way it displays other storage sections.

[0066] FIG. 40 shows an example in which the display of FIG. 38 displays available storage sections differently from the way it displays other storage sections.

[0067] FIG. 41 is a perspective view of storage modules, etc. that are attachable to and detachable from a storage

compartment of a refrigerator according to an exemplary embodiment of the present invention.

[0068] FIGS. 42 to 44 show various examples of the storage modules.

[0069] FIG. 45 shows a control board and its peripheral components, in a refrigerator according to an exemplary embodiment of the present invention.

[0070] FIG. 46 shows a process of registering by matching a user and a storage section according to an exemplary embodiment of the present invention.

[0071] FIG. 47 shows a process of using a locked storage section through authentication according to an exemplary embodiment of the present invention.

[0072] FIG. 48 shows a process of using an unlocked storage section through authentication according to another exemplary embodiment of the present invention.

[0073] FIG. 49 is a perspective view of lockers to which the technical idea of the present invention is applicable.

MODE FOR INVENTION

[0074] Hereinafter, embodiments of the disclosure will be described in detail with reference to the attached drawings. The same or similar components are given the same reference numbers and redundant description thereof is omitted. The suffixes “module” and “unit” of elements herein are used for convenience of description and thus may be used interchangeably and do not have any distinguishable meanings or functions. Further, in the following description, if a detailed description of known techniques associated with the present invention would unnecessarily obscure the gist of the present invention, detailed description thereof will be omitted. In addition, the attached drawings are provided for easy understanding of embodiments of the disclosure and do not limit technical spirits of the disclosure, and the embodiments should be construed as including all modifications, equivalents, and alternatives falling within the spirit and scope of the embodiments.

[0075] While terms, such as “first”, “second”, etc., may be used to describe various components, such components must not be limited by the above terms. The above terms are used only to distinguish one component from another.

[0076] When an element is “coupled” or “connected” to another element, it should be understood that a third element may be present between the two elements although the element may be directly coupled or connected to the other element. When an element is “directly coupled” or “directly connected” to another element, it should be understood that no element is present between the two elements.

[0077] The singular forms are intended to include the plural forms as well, unless the context clearly indicates otherwise.

[0078] In addition, in the specification, it will be further understood that the terms “comprise” and “include” specify the presence of stated features, integers, steps, operations, elements, components, and/or combinations thereof, but do not preclude the presence or addition of one or more other features, integers, steps, operations, elements, components, and/or combinations.

A. Example of Autonomous Vehicle and 5G Network

[0079] FIG. 1 is a block diagram of a wireless communication system to which methods proposed in the disclosure are applicable.

[0080] Referring to FIG. 1, a device including an autonomous driving module is defined as a first communication device (910 of FIG. 1 and see paragraph N for detailed description), and a processor 911 may perform detailed autonomous driving operations.

[0081] Another vehicle or a 5G network communicating with the autonomous driving device is defined as a second communication device (920 of FIG. 1, and see paragraph N for details), and a processor 921 may perform detailed autonomous driving operations.

[0082] Details of a wireless communication system, which is defined as including a first communication device, which is an autonomous vehicle, and a second communication device, which is a 5G network, may refer to paragraph N.

B. AI Operation Using 5G Communication

[0083] FIG. 2 shows an example of a basic operation of a user equipment and a 5G network in a 5G communication system.

[0084] The UE transmits the specific information transmission to the 5G network (S1).

[0085] Then, the 5G network performs 5G processing on the specific information (S2).

[0086] In this connection, the 5G processing may include AI processing.

[0087] Then, the 5G network transmits a response including the AI processing result to the UE (S3).

[0088] FIG. 3 shows an example of application operation of a user terminal and a 5G network in a 5G communication system.

[0089] The UE performs an initial access procedure with the 5G network (S20). The initial connection procedure will be described in more detail in paragraph F.

[0090] Then, the UE performs a random access procedure with the 5G network (S21). The random access procedure will be described in more detail in paragraph G.

[0091] The 5G network transmits an UL grant for scheduling transmission of specific information to the UE (S22). The process of the UE receiving the UL grant will be described in more detail in the UL transmission/reception operation in paragraph H.

[0092] Then, the UE transmits specific information to the 5G network based on the UL grant (S23).

[0093] Then, the 5G network performs 5G processing on the specific information (S24).

[0094] In this connection, the 5G processing may include AI processing.

[0095] Then, the 5G network transmits a DL grant for scheduling transmission of the 5G processing result of the specific information to the UE (S25).

[0096] Then, the 5G network transmits a response including the AI processing result to the UE based on the DL grant (S26).

[0097] In FIG. 3, an example in which the AI operation and the initial connection process, or the random access process and the DL grant reception process are combined with each other has been exemplarily described using the S20 to S26. However, the present invention is not limited thereto.

[0098] For example, the initial connection process and/or the random access process may be performed using the process of S20, S22, S23, S24, and S24. In addition, the initial connection process and/or the random access process may be performed using, for example, the process of S21,

S22, S23, S24, and S26. Further, the AI operation and the downlink grant reception procedure may be combined with each other using the process of S23, S24, S25, and S26.

C. UE Operation Using 5G Communication

[0099] FIG. 4 to FIG. 7 show an example of the operation of the UE using 5G communication.

[0100] Referring first to FIG. 4, the UE performs an initial access procedure with the 5G network based on SSB to obtain DL synchronization and system information (S30).

[0101] Then, the UE performs a random access procedure with the 5G network for UL synchronization acquisition and/or UL transmission (S31).

[0102] Then, the UE receives an UL grant to the 5G network to transmit specific information (S32).

[0103] Then, the UE transmits the specific information to the 5G network based on the UL grant (S33).

[0104] Then, the UE receives a DL grant for receiving a response to the specific information from the 5G network (S34).

[0105] Then, the UE receives a response including the AI processing result from the 5G network based on the DL grant (S35).

[0106] A beam management (BM) process may be added to S30. A beam failure recovery process may be added to S31. A quasi-co location relationship may be added to S32 to S35. A more detailed description thereof will be described in more detail in paragraph I.

[0107] Next, referring to FIG. 5, the UE performs an initial access procedure with the 5G network based on SSB to obtain DL synchronization and system information (S40).

[0108] Then, the UE performs a random access procedure with the 5G network for UL synchronization acquisition and/or UL transmission (S41).

[0109] Then, the UE transmits the specific information to the 5G network based on a configured grant (S42). A procedure for configuring the grant in place of receiving the UL grant from the 5G network will be described in more detail in paragraph H.

[0110] Then, the UE receives a DL grant for receiving a response to the specific information from the 5G network (S43).

[0111] Then, the UE receives the response including the AI processing result from the 5G network based on the DL grant (S44).

[0112] Next, referring to FIG. 6, the UE performs an initial access procedure with the 5G network based on the SSB to obtain DL synchronization and system information (S50).

[0113] Then, the UE performs a random access procedure with the 5G network for UL synchronization acquisition and/or UL transmission (S51).

[0114] Then, the UE receives a DownlinkPreemption IE from the 5G network (S52).

[0115] The UE receives a DCI format 2_1 including a preamble indication from the 5G network based on the DownlinkPreemption IE (S53).

[0116] Then, the UE does not perform (or expect or assume) the reception of the eMBB data using a resource (PRB and/or OFDM symbol) indicated by the pre-emption indication (S54).

[0117] The operation related to the preemption indication is described in more detail in paragraph J.

[0118] Then, the UE receives an UL grant to the 5G network to transmit the specific information (S55).

[0119] Then, the UE transmits the specific information to the 5G network based on the UL grant (S56).

[0120] Then, the UE receives a DL grant for receiving a response to the specific information from the 5G network (S57).

[0121] Then, the UE receives a response including the AI processing result from the 5G network based on the DL grant (S58).

[0122] Next, referring to FIG. 7, the UE performs an initial access procedure with the 5G network based on SSB to obtain DL synchronization and system information (S60).

[0123] Then, the UE performs a random access procedure with the 5G network for UL synchronization acquisition and/or UL transmission (S61).

[0124] Then, the UE receives an UL grant to the 5G network to transmit the specific information (S62).

[0125] The UL grant includes information on the number of repetitions of transmission of the specific information. The specific information is repeatedly transmitted based on the information on the repetition number (S63).

[0126] The UE transmits the specific information to the 5G network based on the UL grant.

[0127] Then, the iterative transmission of the specific information is performed using the frequency hopping. The first transmission of the specific information may be done using a first frequency resource, and the second transmission of the specific information may be done using a second frequency resource.

[0128] The specific information may be transmitted over a narrow band of 6RB (Resource Block) or 1RB (Resource Block).

[0129] Then, the UE receives a DL grant for receiving a response to the specific information from the 5G network (S64).

[0130] Then, the UE receives a response including the AI processing result from the 5G network based on the DL grant (S65).

[0131] The mMTC described in FIG. 7 will be described in more detail in the paragraph K.

D. Introduction

[0132] Hereinafter, downlink (DL) refers to communication from a base station (BS) to user equipment (UE), and uplink (UL) refers to communication from a UE to a BS. In the downlink, a transmitter may be part of the BS and a receiver may be part of the UE. In the uplink, a transmitter may be part of the UE and a receiver may be part of the BS. Herein, the UE may be represented as a first communication device and the BS may be represented as a second communication device. The BS may be replaced with a term such as a fixed station, a Node B, an evolved NodeB (eNB), a next generation nodeB (gNB), a base transceiver system (BTS), an access point (AP), a network or a 5G (5th generation), artificial intelligence (AI) system, a road side unit (RSU), robot, and the like. Also, the UE may be replaced with a terminal, a mobile station (MS), a user terminal (UT), a mobile subscriber station (MSS), a subscriber station (SS), an advanced mobile station (AMS), a wireless terminal (WT), a machine-type communication (MTC) device, a machine-to-machine (M2M) device, a device-to-device (D2D) device, a vehicle, a robot, an AI module, and the like.

[0133] Techniques described herein may be used in a variety of wireless access systems such as Code Division Multiple Access (CDMA), Frequency Division Multiple

Access (FDMA), Time Division Multiple Access (TDMA), Orthogonal Frequency Division Multiple Access (OFDMA), Single Carrier Frequency Division Multiple Access (SC-FDMA), etc. CDMA may be implemented as a radio technology such as Universal Terrestrial Radio Access (UTRA) or CDMA2000. TDMA may be implemented as a radio technology such as Global System for Mobile communications (GSM)/General Packet Radio Service (GPRS)/Enhanced Data Rates for GSM Evolution (EDGE). OFDMA may be implemented as a radio technology such as IEEE 802.11 (Wi-Fi), IEEE 802.16 (WiMAX), IEEE 802.20, Evolved-UTRA (E-UTRA) etc. UTRA is a part of Universal Mobile Telecommunications System (UMTS). 3rd Generation Partnership Project (3GPP) Long Term Evolution (LTE) is a part of Evolved UMTS (E-UMTS) using E-UTRA. LTE-Advanced (LTE-A)/LTE-A pro is an evolution of 3GPP LTE. 3GPP NR (New Radio or New Radio Access Technology) is an evolution of 3GPP LTE/LTE-A/LTE-A pro.

[0134] For clarity, the following description focuses on a 3GPP communication system (e.g., LTE-A, NR), but technical features of the present invention is not limited thereto. LTE refers to technology after 3GPP TS 36.xxx Release 8. In detail, LTE technology after 3GPP TS 36.xxx Release 10 is referred to as LTE-A, and LTE technology after 3GPP TS 36.xxx Release 13 is referred to as LTE-A pro. 3GPP 5G (5th generation) technology refers to technology after TS 36.xxx Release 15 and technology after TS 38.XXX Release 15. The technology after TS 38.xxx Release 15 may be referred to as 3GPP NR, and technology after TS 36.xxx Release 15 may be referred to as enhanced LTE. “xxx” refers to a standard document detail number. LTE/NR may be collectively referred to as a 3GPP system.

[0135] In this disclosure, a node refers to a fixed point capable of transmitting/receiving a radio signal through communication with a UE. Various types of BSs may be used as nodes irrespective of the terms thereof. For example, a BS, a node B (NB), an e-node B (eNB), a pico-cell eNB (PeNB), a home eNB (HeNB), a relay, a repeater, etc. may be a node. In addition, the node may not be a BS. For example, the node may be a radio remote head (RRH) or a radio remote unit (RRU). The RRH or RRU generally has a power level lower than a power level of a BS. At least one antenna is installed per node. The antenna may refer to a physical antenna or refer to an antenna port, a virtual antenna, or an antenna group. A node may be referred to as a point.

[0136] In this specification, a cell refers to a prescribed geographical area to which one or more nodes provide a communication service. A “cell” of a geographic region may be understood as coverage within which a node can provide a service using a carrier and a “cell” of a radio resource is associated with bandwidth (BW) which is a frequency range configured by the carrier. Since DL coverage, which is a range within which the node is capable of transmitting a valid signal, and UL coverage, which is a range within which the node is capable of receiving the valid signal from the UE, depends upon a carrier carrying the signal, coverage of the node may be associated with coverage of “cell” of a radio resource used by the node. Accordingly, the term “cell” may be used to indicate service coverage by the node sometimes, a radio resource at other times, or a range that a signal using a radio resource can reach with valid strength at other times.

[0137] In this specification, communicating with a specific cell may refer to communicating with a BS or a node which provides a communication service to the specific cell. In addition, a DL/UL signal of a specific cell refers to a DL/UL signal from/to a BS or a node which provides a communication service to the specific cell. A node providing UL/DL communication services to a UE is called a serving node and a cell to which UL/DL communication services are provided by the serving node is especially called a serving cell. Furthermore, channel status/quality of a specific cell refers to channel status/quality of a channel or communication link formed between a BS or node which provides a communication service to the specific cell and a UE.

[0138] Meanwhile, a “cell” associated with radio resource may be defined as a combination of DL resources and UL resources, that is, a combination of a DL component carrier (CC) and a UL CC. A cell may be configured to be a DL resource alone or a combination of DL resources and UL resources. If carrier aggregation is supported, a linkage between a carrier frequency of a DL resource (or DL CC) and a carrier frequency of a UL resource (or UL CC) may be indicated by system information transmitted through a corresponding cell. Here, the carrier frequency may be the same as or different from a center frequency of each cell or CC. Hereinafter, a cell operating at a primary frequency will be referred to as a primary cell (Pcell) or a PCC, and a cell operating at a secondary frequency will be referred to as a secondary cell (Scell) or SCC. The Scell may be configured after the UE performs a radio resource control (RRC) connection establishment with the BS to establish an RRC connection therebetween, that is, after the UE is RRC CONNECTED. Here, RRC connection may refer to a channel through which an RRC of the UE and an RRC of the BS may exchange RRC messages with each other. The Scell may be configured to provide additional radio resources to the UE. Depending on the capabilities of the UE, the Scell may form a set of serving cells for the UE together with the Pcell. In the case of a UE which is in the RRC CONNECTED state but is not configured in carrier aggregation or does not support carrier aggregation, there is only one serving cell that is only configured as the Pcell.

[0139] Cells support unique wireless access technologies. For example, transmission/reception according to LTE radio access technology (RAT) is performed on an LTE cell, and transmission/reception according to 5G RAT is performed on a 5G cell.

[0140] A carrier aggregation (CA) system refers to a system for supporting a wide bandwidth by aggregating a plurality of carriers each having a narrower bandwidth than a target bandwidth. A CA system is different from OFDMA technology in that DL or UL communication is performed using a plurality of carrier frequencies each of which forms a system bandwidth (or a channel bandwidth), whereas the OFDM system carries a base frequency band divided into a plurality of orthogonal subcarriers on a single carrier frequency to perform DL or UL communication. For example, in the case of OFDMA or orthogonal frequency division multiplexing (OFDM), one frequency band having a constant system bandwidth is divided into a plurality of subcarriers having a certain subscriber spacing, and information/data is mapped in the plurality of subcarriers, and the frequency band to which the information/data is mapped is unconverted and transmitted as a carrier frequency of the frequency band. In the case of wireless carrier aggregation,

frequency bands having their own system bandwidth and carrier frequency may be simultaneously used for communication, and each frequency band used for carrier aggregation may be divided into a plurality of subcarriers having a predetermined subcarrier spacing.

[0141] The 3GPP-based communication standard defines DL physical channels corresponding to resource elements carrying information derived from a higher layer of a physical layer (e.g., a medium access control (MAC) layer, a radio link control (RLC) layer, a packet data convergence protocol (PDCP) layer, a radio resource control (RRC) layer, a service data adaptation protocol (SDAP), and a non-access stratum (NAS) layer and DL physical signals corresponding to resource elements which are used by a physical layer but which do not carry information derived from a higher layer. For example, a physical downlink shared channel (PDSCH), a physical broadcast channel (PBCH), a physical multicast channel (PMCH), a physical control format indicator channel (PCFICH), and a physical downlink control channel (PDCCH) are defined as the DL physical channels, and a reference signal and a synchronization signal are defined as the DL physical signals. A reference signal (RS), also called a pilot, refers to a special waveform of a predefined signal known to both a BS and a UE. For example, a cell-specific RS (CRS), a UE-specific RS, a positioning RS (PRS), channel state information RS (CSI-RS), and a demodulation reference signal (DMRS) may be defined as DL RSs. Meanwhile, the 3GPP-based communication standards define UL physical channels corresponding to resource elements carrying information derived from a higher layer and UL physical signals corresponding to resource elements which are used by a physical layer but which do not carry information derived from a higher layer. For example, a physical uplink shared channel (PUSCH), a physical uplink control channel (PUCCH), and a physical random access channel (PRACH) are defined as the UL physical channels, and a demodulation reference signal (DMRS) for a UL control/data signal and a sounding reference signal (SRS) used for UL channel measurement are defined as the UL physical signals.

[0142] In this specification, a physical downlink control channel (PDCCH) and a physical downlink shared channel (PDSCH) may refer to a set of a time-frequency resources or a set of resource elements carrying downlink control information (DCI) and downlink data, respectively. In addition, a physical uplink control channel, a physical uplink shared channel (PUSCH), and a physical random access channel refer to a set of a time-frequency resources or a set of resource elements carrying uplink control information (UCI), uplink data and random access signals, respectively. Hereinafter, UE's transmitting an uplink physical channel (e.g., PUCCH, PUSCH, or PRACH) means transmitting UCI, uplink data, or a random access signal on the corresponding uplink physical channel or through then uplink physical channel. BS's receiving an uplink physical channel may refer to receiving DCI, uplink data, or random access signal on or through the uplink physical channel. BS's transmitting a downlink physical channel (e.g., PDCCH and PDSCH) has the same meaning as transmitting DCI or downlink data on or through the corresponding downlink physical channel. UE's receiving a downlink physical channel may refer to receiving DCI or downlink data on or through the corresponding downlink physical channel.

[0143] In this specification, a transport block is a payload for a physical layer. For example, data given to a physical layer from an upper layer or a medium access control (MAC) layer is basically referred to as a transport block.

[0144] In this specification, HARQ (Hybrid Automatic Repeat and reQuest) is a kind of error control method. HARQ-acknowledgement (HARQ-ACK) transmitted through the downlink is used for error control on uplink data, and HARQ-ACK transmitted on the uplink is used for error control on downlink data. A transmitter that performs the HARQ operation transmits data (e.g., a transport block, a codeword) and waits for an acknowledgment (ACK). A receiver that performs the HARQ operation sends an acknowledgment (ACK) only when data is properly received, and sends a negative acknowledgment (NACK) if an error occurs in the received data. The transmitter may transmit (new) data if ACK is received, and retransmit data if NACK is received. After the BS transmits scheduling information and data according to the scheduling information, a time delay occurs until the ACK/NACK is received from the UE and retransmission data is transmitted. This time delay occurs due to channel propagation delay and a time taken for data decoding/encoding. Therefore, when new data is sent after the current HARQ process is finished, a blank space occurs in the data transmission due to the time delay. Therefore, a plurality of independent HARQ processes are used to prevent generation of the blank space in data transmission during the time delay period. For example, if there are seven transmission occasions between an initial transmission and retransmission, the communication device may operate seven independent HARQ processes to perform data transmission without a blank space. Utilizing the plurality of parallel HARQ processes, UL/DL transmissions may be performed continuously while waiting for HARQ feedback for a previous UL/DL transmission.

[0145] In this specification, channel state information (CSI) refers to information indicating quality of a radio channel (or a link) formed between a UE and an antenna port. The CSI may include at least one of a channel quality indicator (CQI), a precoding matrix indicator (PMI), a CSI-RS resource indicator (CRI), an SSB resource indicator (SSBRI), a layer indicator (LI), a rank indicator (RI), or a reference signal received power (RSRP).

[0146] In this specification, frequency division multiplexing (FDM) may refer to transmission/reception of signals/channels/users at different frequency resources, and time division multiplexing (TDM) may refer to transmission/reception of signals/channels/users at different time resources.

[0147] In the present invention, a frequency division duplex (FDD) refers to a communication scheme in which uplink communication is performed on an uplink carrier and downlink communication is performed on a downlink carrier wave linked to the uplink carrier, and time division duplex (TDD) refers to a communication scheme in which uplink and downlink communications are performed by dividing time on the same carrier.

[0148] For background information, terms, abbreviations, etc. used in the present specification, may refer to those described in standard documents published before the present invention. For example, the following document may be referred:

[0149] 3GPP LTE

- [0150]** 3GPP TS 36.211: Physical channels and modulation
- [0151]** 3GPP TS 36.212: Multiplexing and channel coding
- [0152]** 3GPP TS 36.213: Physical layer procedures
- [0153]** 3GPP TS 36.214: Physical layer; Measurements
- [0154]** 3GPP TS 36.300: Overall description
- [0155]** 3GPP TS 36.304: User Equipment (UE) procedures in idle mode
- [0156]** 3GPP TS 36.314: Layer 2—Measurements
- [0157]** 3GPP TS 36.321: Medium Access Control (MAC) protocol
- [0158]** 3GPP TS 36.322: Radio Link Control (RLC) protocol
- [0159]** 3GPP TS 36.323: Packet Data Convergence Protocol (PDCP)
- [0160]** 3GPP TS 36.331: Radio Resource Control (RRC) protocol
- [0161]** 3GPP TS 23.303: Proximity-based services (ProSe); Stage 2
- [0162]** 3GPP TS 23.285: Architecture enhancements for V2X services
- [0163]** 3GPP TS 23.401: General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access
- [0164]** 3GPP TS 23.402: Architecture enhancements for non-3GPP accesses
- [0165]** 3GPP TS 23.286: Application layer support for V2X services; Functional architecture and information flows
- [0166]** 3GPP TS 24.301: Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3
- [0167]** 3GPP TS 24.302: Access to the 3GPP Evolved Packet Core (EPC) via non-3GPP access networks; Stage 3
- [0168]** 3GPP TS 24.334: Proximity-services (ProSe) User Equipment (UE) to ProSe function protocol aspects; Stage 3
- [0169]** 3GPP TS 24.386: User Equipment (UE) to V2X control function; protocol aspects;

[0170] Stage 3**[0171]** 3 GPP NR

- [0172]** 3GPP TS 38.211: Physical channels and modulation
- [0173]** 3GPP TS 38.212: Multiplexing and channel coding
- [0174]** 3GPP TS 38.213: Physical layer procedures for control
- [0175]** 3GPP TS 38.214: Physical layer procedures for data
- [0176]** 3GPP TS 38.215: Physical layer measurements
- [0177]** 3GPP TS 38.300: NR and NG-RAN Overall Description
- [0178]** 3GPP TS 38.304: User Equipment (UE) procedures in idle mode and in RRC inactive state
- [0179]** 3GPP TS 38.321: Medium Access Control (MAC) protocol
- [0180]** 3GPP TS 38.322: Radio Link Control (RLC) protocol
- [0181]** 3GPP TS 38.323: Packet Data Convergence Protocol (PDCP)

- [0182]** 3GPP TS 38.331: Radio Resource Control (RRC) protocol
- [0183]** 3GPP TS 37.324: Service Data Adaptation Protocol (SDAP)
- [0184]** 3GPP TS 37.340: Multi-connectivity; Overall description
- [0185]** 3GPP TS 23.287: Application layer support for V2X services; Functional architecture and information flows
- [0186]** 3GPP TS 23.501: System Architecture for the 5G System
- [0187]** 3GPP TS 23.502: Procedures for the 5G System
- [0188]** 3GPP TS 23.503: Policy and Charging Control Framework for the 5G System; Stage 2
- [0189]** 3GPP TS 24.501: Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3
- [0190]** 3GPP TS 24.502: Access to the 3GPP 5G Core Network (5GCN) via non-3GPP access networks
- [0191]** 3GPP TS 24.526: User Equipment (UE) policies for 5G System (5GS); Stage 3

E. 3GPP Signal Transmission/Reception Method

[0192] FIG. 8 is a diagram illustrating an example of a 3GPP signal transmission/reception method.

[0193] Referring to FIG. 8, when a UE is powered on or enters a new cell, the UE performs an initial cell search operation such as synchronization with a BS (**S201**). For this operation, the UE can receive a primary synchronization channel (P-SCH) and a secondary synchronization channel (S-SCH) from the BS to synchronize with the BS and acquire information such as a cell ID. In LTE and NR systems, the P-SCH and S-SCH are respectively called a primary synchronization signal (PSS) and a secondary synchronization signal (SSS). The initial cell search procedure is described in detail in paragraph F. below.

[0194] After initial cell search, the UE can acquire broadcast information in the cell by receiving a physical broadcast channel (PBCH) from the BS. Further, the UE can receive a downlink reference signal (DL RS) in the initial cell search step to check a downlink channel state.

[0195] After initial cell search, the UE can acquire more detailed system information by receiving a physical downlink shared channel (PDSCH) according to a physical downlink control channel (PDCCH) and information included in the PDCCH (**S202**).

[0196] Meanwhile, when the UE initially accesses the BS or has no radio resource for signal transmission, the UE can perform a random access procedure (RACH) for the BS (steps **S203** to **S206**). To this end, the UE can transmit a specific sequence as a preamble through a physical random access channel (PRACH) (**S203** and **S205**) and receive a random access response (RAR) message for the preamble through a PDCCH and a corresponding PDSCH (**S204** and **S206**). In the case of a contention-based RACH, a contention resolution procedure may be additionally performed. The random access procedure is described in detail in paragraph G. below.

[0197] After the UE performs the above-described process, the UE can perform PDCCH/PDSCH reception (**S207**) and physical uplink shared channel (PUSCH)/physical uplink control channel (PUCCH) transmission (**S208**) as normal uplink/downlink signal transmission processes. Particularly, the UE receives downlink control information (DCI) through the PDCCH

[0198] The UE monitors a set of PDCCH candidates in monitoring occasions set for one or more control element sets (CORESET) on a serving cell according to corresponding search space configurations. A set of PDCCH candidates to be monitored by the UE is defined in terms of search space sets, and a search space set may be a common search space set or a UE-specific search space set. CORESET includes a set of (physical) resource blocks having a duration of one to three OFDM symbols. A network can configure the UE such that the UE has a plurality of CORESETs. The UE monitors PDCCH candidates in one or more search space sets. Here, monitoring means attempting decoding of PDCCH candidate(s) in a search space. When the UE has successfully decoded one of PDCCH candidates in a search space, the UE determines that a PDCCH has been detected from the PDCCH candidate and performs PDSCH reception or PUSCH transmission on the basis of DCI in the detected PDCCH.

[0199] The PDCCH can be used to schedule DL transmissions over a PDSCH and UL transmissions over a PUSCH. Here, the DCI in the PDCCH includes downlink assignment (i.e., downlink grant (DL grant)) related to a physical downlink shared channel and including at least a modulation and coding format and resource allocation information, or an uplink grant (UL grant) related to a physical uplink shared channel and including a modulation and coding format and resource allocation information.

F. Initial Access (IA) Process

[0200] Synchronization Signal Block (SSB) Transmission and Related Operation

[0201] FIG. 9 illustrates an SSB structure. The UE can perform cell search, system information acquisition, beam alignment for initial access, and DL measurement on the basis of an SSB. The SSB is interchangeably used with a synchronization signal/physical broadcast channel (SS/PBCH) block.

[0202] Referring to FIG. 9, the SSB includes a PSS, an SSS and a PBCH. The SSB is configured in four consecutive OFDM symbols, and a PSS, a PBCH, an SSS/PBCH or a PBCH is transmitted for each OFDM symbol. Each of the PSS and the SSS includes one OFDM symbol and 127 subcarriers, and the PBCH includes 3 OFDM symbols and 576 subcarriers. The PBCH is encoded/decoded on the basis of a polar code and modulated/demodulated according to quadrature phase shift keying (QPSK). The PBCH in the OFDM symbol includes data resource elements (REs) to which a complex modulation value of a PBCH is mapped and DMRS REs to which a demodulation reference signal (DMRS) for the PBCH is mapped. There are three DMRS REs per resource block of the OFDM symbol, and there are three data REs between the DMRS REs.

[0203] Cell Search

[0204] Cell search refers to a process in which a UE acquires time/frequency synchronization of a cell and detects a cell identifier (ID) (e.g., physical layer cell ID (PCI)) of the cell. The PSS is used to detect a cell ID in a cell ID group and the SSS is used to detect a cell ID group. The PBCH is used to detect an SSB (time) index and a half-frame.

[0205] The cell search procedure of the UE may be summarized as shown in Table 1 below.

TABLE 1

	Type of Signals	Operations
1st step	PSS	SS/PBCH block (SSB) symbol timing acquisition Cell ID detection within a cell ID group(3 hypothesis)
2nd Step	SSS	Cell ID group detection (336 hypothesis)
3rd Step	PBCH	SSB index and Half frame (HF) index (Slot and frame boundary detection)
4th Step	DMRS	Time information (80 ms, System Frame Number (SFN), SSB index, HF)
	PBCH	Remaining Minimum System Information (RMSI) Control resource set (CORESET)/Search space configuration
5th Step	PDCCH and PDSCH	Cell access information RACH configuration

[0206] There are 336 cell ID groups and there are 3 cell IDs per cell ID group. A total of 1008 cell IDs are present. Information on a cell ID group to which a cell ID of a cell belongs is provided/acquired through an SSS of the cell, and information on the cell ID among 336 cell ID groups is provided/acquired through a PSS.

[0207] FIG. 10 illustrates SSB transmission.

[0208] The SSB is periodically transmitted in accordance with SSB periodicity. A default SSB periodicity assumed by a UE during initial cell search is defined as 20 ms. After cell access, the SSB periodicity can be set to one of {5 ms, 10 ms, 20 ms, 40 ms, 80 ms, 160 ms} by a network (e.g., a BS). An SSB burst set is configured at a start portion of the SSB period. The SSB burst set includes a 5 ms time window (i.e., half-frame), and the SSB may be transmitted up to N times within the SS burst set. The maximum transmission number L of the SSB may be given as follows according to a frequency band of a carrier wave. One slot includes a maximum of two SSBs.

[0209] For frequency range up to 3 GHz, $L=4$

[0210] For frequency range from 3 GHz to 6 GHz, $L=8$

[0211] For frequency range from 6 GHz to 52.6 GHz, $L=64$

[0212] A time position of an SSB candidate in the SS burst set may be defined according to a subscriber spacing. The SSB candidate time position is indexed from 0 to $L-1$ (SSB index) in time order within the SSB burst set (i.e., half-frame).

[0213] A plurality of SSBs may be transmitted within a frequency span of a carrier wave. Physical layer cell identifiers of these SSBs need not be unique, and other SSBs may have different physical layer cell identifiers.

[0214] The UE may acquire the DL synchronization by detecting the SSB. The UE may identify a structure of the SSB burst set on the basis of the detected SSB (time) index and thus detect a symbol/slot/half-frame boundary. The number of the frame/half-frame to which the detected SSB belongs may be identified using system frame number (SFN) information and half-frame indication information.

[0215] Specifically, the UE may acquire a 10-bit SFN for a frame to which the PBCH belongs from the PBCH. Next, the UE may acquire 1-bit half-frame indication information. For example, if the UE detects a PBCH with a half-frame indication bit set to 0, it may determine that the SSB, to which the PBCH belongs, belongs to a first half-frame in the frame, and if the UE detects a PBCH with a half-frame indication bit set to 1, it may determine that the SSB, to

which the PBCH belongs, belongs to a second half-frame in the frame. Finally, the UE may acquire an SSB index of the SSB to which the PBCH belongs on the basis of a DMRS sequence and PBCH payload carried by the PBCH.

[0216] Acquisition of System Information (SI)

[0217] SI is divided into a master information block (MIB) and a plurality of system information blocks (SIBs). The SI other than the MIB may be referred to as remaining minimum system information (RMSI). Details thereof may be referred to the following:

[0218] The MIB includes information/parameters for monitoring the PDCCH scheduling PDSCH carrying system information block1 (SIB1) and is transmitted by the BS through the PBCH of the SSB. For example, the UE may check whether a control resource set (CORESET) exists for the Type 0-PDCCH common search space on the basis of the MIB. The Type 0-PDCCH common search space is a kind of PDCCH search space and is used to transmit a PDCCH for scheduling an SI message. If the Type 0-PDCCH common search space is present, the UE may determine (i) a plurality of contiguous resource blocks and one or more consecutive resource blocks constituting a CORESET on the basis of information in the MIB (e.g., pdcch-ConfigSIB1) and (ii) a PDCCH occasion (e.g., time domain position for PDCCH reception). If no Type 0-PDCCH common search space exists, pdcch-ConfigSIB1 provides information on a frequency location where SSB/SIB1 exists and information on a frequency range where SSB/SIB1 does not exist.

[0219] SIB1 includes information related to availability and scheduling (e.g., transmission periodicity and SI-window size) of the remaining SIBs (hereinafter, SIBx, x is an integer equal to or greater than 2). For example, SIB1 may indicate whether the SIBx is periodically broadcast or provided according to a request from the UE on an on-demand basis. If SIBx is provided on the on-demand basis, SIB1 may include information necessary for the UE to perform the SI request. The SIB1 is transmitted through the PDSCH, the PDCCH for scheduling the SIB1 is transmitted through the Type 0-PDCCH common search space, and the SIB1 is transmitted through the PDSCH indicated by the PDCCH.

[0220] The SIBx is included in the SI message and transmitted via the PDSCH. Each SI message is transmitted within a time window (i.e., SI-window) that occurs periodically.

G. Random Access Procedure

[0221] The random access procedure of the UE may be summarized as shown in Table 2 and FIG. 11.

TABLE 2

	Signal type	Acquired operation/information
First step	PRACH preamble in UL	Acquire initial beam Random selection of random access preamble ID
Second step	Random access response on PDSCH	Timing advance information Random access preamble ID Initial UL grant, temporary C-RNTI

TABLE 2-continued

	Signal type	Acquired operation/information
Third step	UL transmission on PUSCH	RRC connection request UE identifier
Fourth step	Contention resolution on DL	Temporary C-RNTI on PDCCH for initial access C-RNTI on PDCCH for RRC_CONNECTED UE

[0222] The random access procedure is used for various purposes. For example, the random access procedure can be used for network initial access, handover, and UE-triggered UL data transmission. A UE can acquire UL synchronization and UL transmission resources through the random access procedure. The random access procedure is classified into a contention-based random access procedure and a contention-free random access procedure.

[0223] FIG. 11 illustrates an example of a random access procedure. In particular, FIG. 11 illustrates a contention-based random access procedure.

[0224] First, a UE can transmit a random access preamble through a PRACH as Msg1 of a random access procedure in UL.

[0225] Random access preamble sequences having different two lengths are supported. A long sequence length **839** is applied to subcarrier spacings of 1.25 kHz and 5 kHz and a short sequence length **139** is applied to subcarrier spacings of 15 kHz, 30 kHz, 60 kHz and 120 kHz.

[0226] Multiple preamble formats are defined by one or more RACH OFDM symbols and different cyclic prefixes (and/or guard time). RACH configuration for a cell is included in the system information of the cell and is provided to the UE. The RACH configuration includes information on a subcarrier spacing of the PRACH, available preambles, preamble format, and the like. The RACH configuration includes association information between SSBs and RACH (time-frequency) resources. The UE transmits a random access preamble in the RACH time-frequency resource associated with the detected or selected SSB.

[0227] A threshold value of the SSB for the RACH resource association may be set by the network, and RACH preamble is transmitted or retransmitted on the basis of the SSB in which reference signal received power (RSRP) measured on the basis of the SSB satisfies the threshold value. For example, the UE may select one of the SSB (s) satisfying the threshold value and may transmit or retransmit the RACH preamble on the basis of the RACH resource associated with the selected SSB.

[0228] When a BS receives the random access preamble from the UE, the BS transmits a random access response (RAR) message (Msg2) to the UE. A PDCCH that schedules a PDSCH carrying a RAR is CRC masked by a random access (RA) radio network temporary identifier (RNTI) (RA-RNTI) and transmitted. Upon detection of the PDCCH masked by the RA-RNTI, the UE can receive a RAR from the PDSCH scheduled by DCI carried by the PDCCH. The UE checks whether the RAR includes random access response information with respect to the preamble transmitted by the UE, that is, Msg1. Presence or absence of random access information with respect to Msg1 transmitted by the UE can be determined according to presence or absence of a random access preamble ID with respect to the preamble transmitted by the UE. If there is no response to Msg1, the

UE can retransmit the RACH preamble less than a predetermined number of times while performing power ramping. The UE calculates PRACH transmission power for preamble retransmission on the basis of most recent pathloss and a power ramping counter.

[0229] When the random access response information includes timing advance information for UL synchronization and an UL grant, and when a temporary UE receives a random response information regarding the UE itself on the PDSCH, the UE may know timing advance information for UL synchronization, an initial UL grant, and a UE temporary cell RNTI (cell RNTI, C-RNTI). The timing advance information is used to control uplink signal transmission timing. In order to ensure that the PUSCH/PUCCH transmission by the UE is better aligned with the subframe timing at a network end, the network (e.g. BS) may measure a time difference between the PUSCH/PUCCH/SRS reception and subframes and send timing advance information on the basis of the time difference. The UE can perform UL transmission through Msg3 of the random access procedure over a physical uplink shared channel on the basis of the random access response information. Msg3 can include an RRC connection request and a UE ID. The network can transmit Msg4 as a response to Msg3, and Msg4 can be handled as a contention resolution message on DL. The UE can enter an RRC connected state by receiving Msg4.

[0230] Meanwhile, the contention-free random access procedure may be performed when the UE performs handover to another cell or BS or when the contention-free random access procedure is requested by a BS command. A basic process of the contention-free random access procedure is similar to the contention-based random access procedure. However, unlike the contention-based random access procedure in which the UE randomly selects a preamble to be used among a plurality of random access preambles, in the case of the contention-free random access procedure, a preamble (hereinafter referred to as a dedicated random access preamble) to be used by the UE is allocated by the BS to the UE. Information on the dedicated random access preamble may be included in an RRC message (e.g., a handover command) or may be provided to the UE via a PDCCH order. When the random access procedure is started, the UE transmits a dedicated random access preamble to the BS. When the UE receives the random access procedure from the BS, the random access procedure is completed.

[0231] As mentioned above, the UL grant in the RAR schedules PUSCH transmission to the UE. The PUSCH carrying initial UL transmission based on the UL grant in the RAR will be referred to as Msg3 PUSCH. The content of the RAR UL grant starts at an MSB and ends at a LSB and is given in Table 3.

TABLE 3

RAR UL grant field	Number of bits
Frequency hopping flag	1
Msg3 PUSCH frequency resource allocation	12
Msg3 PUSCH time resource allocation	4
Modulation and coding scheme (MCS)	4
Transmit power control (TPC) for Msg3 PUSCH	3
CSI request	1

[0232] The TPC command is used to determine transmission power of the Msg3 PUSCH and is interpreted, for example, according to Table 4.

TABLE 4

TPC command	value [dB]
0	-6
1	-4
2	-2
3	0
4	2
5	4
6	6
7	8

[0233] In the contention-free random access procedure, the CSI request field in the RAR UL grant indicates whether the UE includes an aperiodic CSI report in the corresponding PUSCH transmission. A subcarrier spacing for the Msg3 PUSCH transmission is provided by an RRC parameter. The UE will transmit the PRACH and Msg3 PUSCH on the same uplink carrier of the same service providing cell. AUL BWP for Msg3 PUSCH transmission is indicated by SIB1 (SystemInformationBlock1).

H. DL and UL Transmitting/Receiving Operations

[0234] DL Transmitting/Receiving Operation

[0235] A downlink grant (also referred to as a downlink assignment) may be divided into (1) dynamic grant and (2) configured grant. The dynamic grant, which is intended to maximize resource utilization, refers to a method of data transmission/reception on the basis of dynamic scheduling by the BS.

[0236] The BS schedules downlink transmission through a DCI. The UE receives on the PDCCH the DCI for downlink scheduling (i.e., including scheduling information of the PDSCH) from the BS. DCI format 1_0 or 1_1 may be used for downlink scheduling. The DCI format 1_1 for downlink scheduling may include, for example, the following information: an identifier for DCI format, a bandwidth part indicator, a frequency domain resource assignment, time domain resource assignment, MCS.

[0237] The UE may determine a modulation order, a target code rate, and a transport block size for the PDSCH on the basis of the MCS field in the DCI. The UE may receive the PDSCH in time-frequency resource according to frequency domain resource allocation information and time domain resource allocation information.

[0238] The DL grant is also referred to as semi-persistent scheduling (SPS). The UE may receive an RRC message including a resource configuration for transmission of DL data from the BS. In the case of the DL SPS, an actual DL configured grant is provided by the PDCCH and is activated or deactivated by the PDCCH. If the DL SPS is configured, at least the following parameters are provided to the UE via RRC signaling from the BS: a configured scheduling RNTI (CS-RNTI) for activation, deactivation and retransmission; and cycle. The actual DL grant of the DL SPS is provided to the UE by the DCI in the PDCCH addressed to the CS-RNTI. The UE activates an SPS associated with the CS-RNTI if specific fields of the DCI in the PDCCH addressed to the CS-RNTI are set to specific values for scheduling activation. The UE may receive downlink data through the PDSCH on the basis of the SPS.

[0239] UL Transmitting/Receiving Operation

[0240] The BS transmits a DCI including uplink scheduling information to the UE. The UE receives on the PDCCH the DCI for uplink scheduling (i.e., including scheduling information of the PUSCH) from the BS. DCI format 0_0 or 0_1 may be used for uplink scheduling. The DCI format 0_1 for uplink scheduling may include the following information: an identifier for DCI format, a bandwidth part indicator, a frequency domain resource assignment, a time domain resource assignment, MCS.

[0241] The UE transmits uplink data on the PUSCH on the basis of the DCI. For example, when the UE detects the PDCCH including the DCI format 0_0 or 0_1, the UE transmits the PUSCH according to an instruction based on the DCI. Two transmission schemes are supported for PUSCH transmission: codebook-based transmission and non-codebook-based transmission.

[0242] When an RRC parameter 'txConfig' receives an RRC message set to 'codebook', the UE is configured to a codebook-based transmission. Meanwhile, when an RRC message in which the RRC parameter 'txConfig' is set to 'nonCodebook' is received, the UE is configured to a non-codebook-based transmission. The PUSCH may be semi-statically scheduled by the DCI format 0_0, by the DCI format 0_1, or by RRC signaling.

[0243] The uplink grant may be divided into (1) a dynamic grant and (2) a configured grant.

[0244] FIG. 12 shows an example of an uplink grant. FIG. 12(a) illustrates an UL transmission process based on the dynamic grant, and FIG. 12(b) illustrates an UL transmission process based on the configured grant.

[0245] A dynamic grant, which is to maximize utilization of resources, refers to a data transmission/reception method based on dynamic scheduling by a BS. This means that when the UE has data to be transmitted, the UE requests uplink resource allocation from the BS and transmits the data using only uplink resource allocated by the BS. In order to use the uplink radio resource efficiently, the BS must know how much data each UE transmits on the uplink. Therefore, the UE may directly transmit information on uplink data to be transmitted to the BS, and the BS may allocate uplink resources to the UE on the basis of the information. In this case, the information on the uplink data transmitted from the UE to the BS is referred to as a buffer status report (BSR), and the BSR relates to the amount of uplink data stored in a buffer of the UE.

[0246] Referring to FIG. 12(a), an uplink resource allocation process for actual data when the UE does not have an uplink radio resource available for transmission of the BSR is illustrated. For example, since the UE which does not have a UL grant cannot available for UL data transmission cannot transmit the BSR through a PUSCH, the UE must request resource for uplink data must by starting transmission of a scheduling request via a PUCCH, and in this case, an uplink resource allocation process of five steps is used.

[0247] Referring to FIG. 12(a), if there is no PUSCH resource for transmitting a BSR, the UE first transmits a scheduling request (SR) to the BS in order to be allocated a PUSCH resource. The SR is used by the UE to request the BS for PUSCH resources for uplink transmission when a reporting event occurs but there is no PUSCH resource available to the UE. Depending on whether there is a valid PUCCH resource for the SR, the UE transmits the SR via the PUCCH or initiates a random access procedure. When the

UE receives the UL grant from the BS, it transmits the BSR to the BS via the PUSCH resource allocated by the UL grant. The BS checks the amount of data to be transmitted by the UE on the uplink on the basis of the BSR and transmits a UL grant to the UE. The UE receiving the UL grant transmits actual uplink data to the BS through the PUSCH on the basis of the UL grant.

[0248] Referring to FIG. 12(b), the UE receives an RRC message including a resource configuration for transmission of UL data from the BS. There are two types of UL-configured grants in the NR system: Type 1 and Type 2. In the case of UL-configured grant type 1, an actual UL grant (e.g., time resource, frequency resource) is provided by RRC signaling, and in the case of Type 2, an actual UL grant is provided by the PDCCH and is activated or deactivated by the PDCCH. If the grant type 1 is configured, at least the following parameters are provided to the UE via RRC signaling from the BS: CS-RNTI for retransmission; periodicity of the configured grant type 1; information about a start symbol index S and a symbol length L for an intra-slot PUSCH; time domain offset representing an offset of the resource for SFN=0 in the time domain; MCS index indicating modulation order, target code rate, and transport block size. If the grant type 2 is configured, at least the following parameters are provided to the UE via RRC signaling from the BS: CS-RNTI for activation, deactivation and retransmission; periodicity of configured grant type 2. The actual UL grant of the configured grant type 2 is provided to the UE by the DCI in the PDCCH addressed to the CS-RNTI. If the specific fields of the DCI in the PDCCH addressed to the CS-RNTI are set to a specific value for scheduling activation, the UE activates the configured grant type 2 associated with the CS-RNTI.

[0249] The UE may perform uplink transmission via the PUSCH on the basis of the configured grant according to the type 1 or type 2.

[0250] Resources for initial transmission by the configured grant may or may not be shared by one or more UEs.

[0251] FIG. 13 shows an example of a conceptual diagram of uplink physical channel processing.

[0252] Each of the blocks shown in FIG. 13 may be performed in each module in the physical layer block of a transmission device. More specifically, the uplink signal processing in FIG. 13 may be performed in the processor of the UE/BS described in this specification. Referring to FIG. 13, the uplink physical channel processing may be performed through scrambling, modulation mapping, layer mapping, transform precoding, precoding, resource element mapping, and SC-FDMA signal generation (SC-FDMA signal generation). Each of the above processes may be performed separately or together in each module of the transmission device. The transform precoding is spreading UL data in a special way to reduce a peak-to-average power ratio (PAPR) of a waveform, and is a kind of discrete Fourier transform (DFT). OFDM using a CP together with the transform precoding that performs DFT spreading is called DFT-s-OFDM, and OFDM using a CP without DFT spreading is called CP-OFDM. Transform precoding may optionally be applied if it is enabled for the UL in an NR system. That is, the NR system supports two options for UL waveforms, one of which is CP-OFDM and the other is DFT-s-OFDM. Whether the UE must use the CP-OFDM as a UL transmit waveform or the DFT-s-OFDM as a UL transmit waveform is provided from the BS to the UE via RRC

parameters. FIG. 13 is a conceptual diagram of uplink physical channel processing for DFT-s-OFDM. In the case of CP-OFDM, the transform precoding among the processes of FIG. 13 is omitted.

[0253] More specifically, the transmission device scrambles coded bits in a codeword by a scrambling module, and then transmits the coded bits through a physical channel. Here, the codeword is acquired by encoding a transport block. The scrambled bits are modulated by a modulation mapping module into complex-valued modulation symbols. The modulation mapping module may modulate the scrambled bits according to a predetermined modulation scheme and arrange the modulated bits as complex-valued modulation symbols representing a position on a signal constellation. $\pi/2$ -BPSK ($\pi/2$ -Binary Phase Shift Keying), m-PSK (m-Phase Shift Keying) or m-QAM (m-Quadrature Amplitude Modulation) may be used for modulating the coded data. The complex-valued modulation symbols may be mapped to one or more transport layers by a layer mapping module. The complex-valued modulation symbols on each layer may be precoded by a precoding module for transmission on an antenna port. If the transform precoding is enabled, the precoding module may perform precoding after performing transform precoding on the complex-valued modulation symbols as shown in FIG. 13. The precoding module may process the complex-valued modulation symbols in a MIMO manner according to multiple transmission antennas to output antenna-specific symbols, and distribute the antenna-specific symbols to a corresponding resource element mapping module. An output z of the precoding module may be acquired by multiplying an output y of the layer mapping module by a precoding matrix W of $N \times M$. Here, N is the number of antenna ports and M is the number of layers. The resource element mapping module maps the complex-valued modulation symbols for each antenna port to an appropriate resource element in the resource block allocated for transmission. The resource element mapping module may map the complex-valued modulation symbols to appropriate subcarriers and multiplex the same according to users. The SC-FDMA signal generation module (CP-OFDM signal generation module if the transform precoding is disabled) modulates the complex-valued modulation symbol according to a specific modulation scheme, for example, an OFDM scheme, to generate a complex-valued time domain OFDM (Orthogonal Frequency Division Multiplexing) symbol signal. The signal generation module may perform Inverse Fast Fourier Transform (IFFT) on the antenna specific symbol, and a CP may be inserted into the time domain symbol on which the IFFT has been performed. The OFDM symbol undergoes digital-to-analog conversion, upconverting, and the like, and transmitted to a reception device through each transmission antenna. The signal generation module may include an IFFT module and a CP inserter, a digital-to-analog converter (DAC), and a frequency uplink converter.

[0254] A signal processing procedure of a reception device may be the reverse of the signal processing procedure of the transmission device. Details thereof may be referred to the above contents and FIG. 13.

[0255] Next, the PUCCH will be described.

[0256] The PUCCH supports a plurality of formats, and the PUCCH formats may be classified according to symbol duration, payload size, multiplexing, and the like. Table 5 below illustrates PUCCH formats.

TABLE 5

Format	PUCCH length in OFDM symbols	Number of bits	Usage	Etc.
0	1-2	≤ 2	1	Sequence selection
1	4-14	≤ 2	2	Sequence modulation
2	1-2	> 2	4	CP-OFDM
3	4-14	> 2	8	DFT-s-OFDM(no UE multiplexing)
4	4-14	> 2	16	DFT-s-OFDM(Pre DFT orthogonal cover code(OCC))

[0257] The PUCCH formats shown in Table 5 may be divided into (1) a short PUCCH and (2) a long PUCCH. PUCCH formats 0 and 2 may be included in the short PUCCH, and PUCCH formats 1, 3 and 4 may be included in the long PUCCH.

[0258] FIG. 14 shows an example of an NR slot in which a PUCCH is transmitted.

[0259] The UE transmits one or two PUCCHs through serving cells in different symbols in one slot. When the UE transmits two PUCCHs in one slot, at least one of the two PUCCHs has a structure of the short PUCCH.

1. eMBB (Enhanced Mobile Broadband Communication)

[0260] In the case of the NR system, a massive multiple input multiple output (MIMO) environment in which the transmit/receive antennas are significantly increased may be considered. That is, as the large MIMO environment is considered, the number of transmit/receive antennas may increase to several tens or hundreds or more. Meanwhile, the NR system supports communication in above 6 GHz band, that is, the millimeter frequency band. However, the millimeter frequency band has a frequency characteristic in which signal attenuation according to a distance is very sharp due to the use of a frequency band which is too high. Therefore, an NR system using the band of 6 GHz or higher uses a beamforming technique in which energy is collected and transmitted in a specific direction, not in all directions, in order to compensate for sudden propagation attenuation characteristics. In the massive MIMO environment, a hybrid type beamforming technique combining an analog beamforming technique and a digital beamforming technique is required depending on a position to which a beamforming weight vector/precoding vector is applied, to reduce complexity of hardware implementation, increase performance using multiple antennas, obtain flexibility of resource allocation, and facilitate beam control for each frequency.

[0261] Hybrid Beamforming

[0262] FIG. 15 illustrates an example of a block diagram of a transmitter and a receiver for hybrid beamforming.

[0263] As a method for forming a narrow beam in a millimeter frequency band, a beam forming scheme in which energy is increased only in a specific direction by transmitting the same signal using a phase difference suitable for a large number of antennas in a BS or a UE is mainly considered. Such beamforming scheme includes digital beamforming to create a phase difference in a digital baseband signal, analog beamforming to create a phase difference in a modulated analog signal using time delay (i.e., cyclic shift), and hybrid beamforming using both digital beamforming and analog beamforming, or the like. If each antenna element has an RF unit (or transceiver unit (TXRU))

to adjust transmission power and phase, independent beamforming is possible for each frequency resource. However, it is not effective in terms of price to install an RF unit in all 100 antenna elements. That is, since the millimeter frequency band requires a large number of antennas to compensate for the sudden attenuation characteristics and digital beamforming requires an RF component (e.g., a digital-to-analog converter (DAC), a mixer, a power amplifier, a linear amplifier, and the like), implementation of digital beamforming in the millimeter frequency band causes the price of the communication device to increase. Therefore, when a large number of antennas are required such as in the millimeter frequency band, the use of analog beamforming or hybrid beamforming is considered. In the analog beamforming scheme, a plurality of antenna elements are mapped to one TXRU and a direction of a beam is adjusted by an analog phase shifter. Such an analog beamforming scheme may generate only one beam direction in the entire band, and thus, it cannot perform frequency selective beamforming (BF). Hybrid BF is an intermediate form of digital BF and analog BF and has B RF units fewer than Q antenna elements. In the case of the hybrid BF, directions of beams that may be transmitted at the same time is limited to B or less, although there is a difference depending on a method of connecting the B RF units and Q antenna elements.

[0264] Beam Management (BM)

[0265] The BM process includes processes for acquiring and maintaining a set of BS (or a transmission and reception point (TRP)) and/or UE beams that may be used for downlink (DL) and uplink (UL) transmission/reception and may include the following processes and terms.

[0266] beam measurement: operation for BS or UE to measure characteristic of received beamforming signal.

[0267] beam determination: operation for BS or UE to select its own Tx beam/Rx beam.

[0268] beam sweeping: an operation to cover spatial domain using transmission and/or reception beam during a predetermined time interval in a predetermined manner.

[0269] beam report: an operation for UE to report information of beamformed signal on the basis of beam measurement.

[0270] The BM process may be classified into (1) DL BM process using SSB or CSI-RS and (2) UL BM process using SRS (sounding reference signal). Also, each BM process may include Tx beam sweeping to determine Tx beam and Rx beam sweeping to determine Rx beam.

[0271] DL BM Process

[0272] The DL BM process may include (1) transmission of beamformed DL RSs (e.g., CSI-RS or SSB) by the BS, and (2) beam reporting by the UE.

[0273] Here, the beam report may include a preferred DL RS ID(s) and a corresponding reference signal received power (RSRP). The DL RS ID may be an SSBRI (SSB Resource Indicator) or a CRI (CSI-RS Resource Indicator).

[0274] FIG. 16 shows an example of beamforming using SSB and CSI-RS.

[0275] As shown in FIG. 16, the SSB beam and the CSI-RS beam may be used for beam measurement. The measurement metric is an RSRP per resource/block. The SSB may be used for coarse beam measurement, and the CSI-RS may be used for fine beam measurement. SSB may be used for both Tx beam sweeping and Rx beam sweeping. Rx beam sweeping using the SSB may be performed by

attempting to receive the SSB while the UE changes the Rx beam for the same SSBRI across multiple SSB bursts. Here, one SS burst may include one or more SSBs, and one SS burst set includes one or more SSB bursts.

[0276] 1. DL BM Using SSB

[0277] FIG. 17 is a flowchart illustrating an example of a DL BM process using SSB.

[0278] A configuration for beam report using the SSB is performed at the time of channel state information (CSI)/beam configuration in RRC CONNECTED.

[0279] The UE receives from the BS a CSI-ResourceConfig IE including a CSI-SSB-ResourceSetList for the SSB resources used for the BM (**S410**). The RRC parameter csi-SSB-ResourceSetList represents a list of SSB resources used for beam management and reporting in one resource set. Here, the SSB resource set may be configured to {SSBx1, SSBx2, SSBx3, SSBx4}. The SSB index may be defined from 0 to 63.

[0280] The UE receives signals on the SSB resources from the BS on the basis of the CSI-SSB-ResourceSetList (**S420**).

[0281] If the CSI-RS reportConfig associated with reporting on the SSBRI and reference signal received power (RSRP) is configured, the UE reports the best SSBRI and its corresponding RSRP to the BS (**S430**). For example, if the reportQuantity of the CSI-RS reportConfig IE is set to ssb-Index-RSRP, the UE reports the best SSBRI and a corresponding RSRP to the BS.

[0282] When the CSI-RS resource is configured in the same OFDM symbol (s) as the SSB and 'QCL-Type D' is applicable, the UE may assume that the CSI-RS and the SSB are quasi co-located (QCL-ed) in terms of 'QCL-TypeD'. Here, QCL-TypeD may refer to QCL-ed between antenna ports in terms of spatial Rx parameter. The same receive beam may be applied when the UE receives signals of a plurality of DL antenna ports in the QCL-TypeD relationship. Details of QCL may refer to a section 4. QCL below.

[0283] 2. DL BM Using CSI-RS

[0284] Referring to the use of CSI-RS, i) if a repetition parameter is set for a specific CSI-RS resource set and TRS_info is not configured, CSI-RS is used for beam management. ii) If the repetition parameter is not set and TRS_info is set, the CSI-RS is used for a tracking reference signal (TRS). Iii) If the repetition parameter is not set and TRS_info is not set, the CSI-RS is used for CSI acquisition.

[0285] (RRC Parameter) If the repetition is set to 'ON', it relates to a Rx beam sweeping process of the UE. If the repetition is set to 'ON', the UE may assume that if NZP-CSI-RS-ResourceSet is configured, signals of at least one CSI-RS resource in the NZP-CSI-RS-ResourceSet are transmitted in the same downlink space domain filter. That is, at least one CSI-RS resource in the NZP-CSI-RS-ResourceSet is transmitted through the same Tx beam. Here, signals of at least one CSI-RS resource in the NZP-CSI-RS-ResourceSet may be transmitted in different OFDM symbols.

[0286] Meanwhile, if the repetition is set to 'OFF', it relates to a Tx beam sweeping process of the BS. If the repetition is set to 'OFF', the UE does not assume that signals of at least one CSI-RS resource in the NZP-CSI-RS-ResourceSet are transmitted in the same downlink spatial domain transmission filter. That is, the signals of at least one CSI-RS resource in the NZP-CSI-RS-ResourceSet are trans-

mitted through different Tx beams. FIG. 18 shows another example of the DL BM process using CSI-RS.

[0287] FIG. 18(a) shows a process of Rx beam determination (or refinement) of the UE, and FIG. 18(b) shows a Tx beam sweeping process of the BS. FIG. 18(a) shows a case where the repetition parameter is set to 'ON', and FIG. 18(b) shows a case where the repetition parameter is set to 'OFF'.

[0288] A process of determining the Rx beam of the UE will be described with reference to FIGS. 18(a) and 19.

[0289] FIG. 19 is a flowchart illustrating an example of a process of determining a reception beam of a UE.

[0290] The UE receives an NZP CSI-RS resource set IE including the RRC parameter regarding 'repetition' from the BS through RRC signaling (S610). Here, the RRC parameter 'repetition' is set to 'ON'.

[0291] The UE repeatedly receives signals on the resource(s) in the CSI-RS resource in which the RRC parameter 'repetition' is set to 'ON' in different OFDM (s) through the same Tx beam (or DL space domain transmission filter) of the BS (S620).

[0292] The UE determines its own Rx beam (S630).

[0293] The UE omits the CSI reporting (S640). That is, the UE may omit CSI reporting when the uplink RRC parameter 'repetition' is set to 'ON'.

[0294] A Tx beam determining process of the BS will be described with reference to FIGS. 18(b) and 20.

[0295] FIG. 20 is a flowchart illustrating an example of a transmission beam determining process of the BS.

[0296] The UE receives an NZP CSI-RS resource set IE including an RRC parameter regarding 'repetition' from the BS through RRC signaling (S710). Here, the RRC parameter 'repetition' is set to 'OFF' and is related to the Tx beam sweeping process of the BS.

[0297] The UE receives signals on the resources in the CSI-RS resource in which the RRC parameter 'repetition' is set to 'OFF' through different Tx beams (DL spatial domain transmission filters) of the BS (S720).

[0298] The UE selects (or determines) the best beam (S730).

[0299] The UE reports an ID (e.g., CRI) for the selected beam and related quality information (e.g., RSRP) to the BS (S740). That is, the UE reports the CRI and the RSRP to the BS when the CSI-RS is transmitted for the BM.

[0300] FIG. 21 shows an example of resource allocation in time and frequency domains related to the operation of FIG. 18.

[0301] When repetition 'ON' is set in the CSI-RS resource set, a plurality of CSI-RS resources are repeatedly used by applying the same transmission beam, and when repetition 'OFF' is set in the CSI-RS resource set, different CSI-RS resources may be transmitted in different transmission beams.

[0302] 3. DL BM-Related Beam Indication

[0303] The UE may receive a list of up to M candidate transmission configuration indication (TCI) states for at least a quasi co-location (QCL) indication via RRC signaling. Here, M depends on UE capability and may be 64.

[0304] Each TCI state may be configured with one reference signal (RS) set. Table 6 shows an example of a TCI-State IE. The TCI-State IE is associated with a quasi co-location (QCL) type corresponding to one or two DL reference signals (RSs).

TABLE 6

-- ASN1START		
-- TAG-TCI-STATE-START		
TCI-State ::=	SEQUENCE {	
tci-StateId		TCI-StateId,
qcl-Type1		QCL-Info,
qcl-Type2		QCL-Info
...	OPTIONAL,	-- Need R
}		
QCL-Info ::=	SEQUENCE {	
cell		
ServCellIndex		
bwp-Id	OPTIONAL,	-- Need R
referenceSignal	OPTIONAL, -- Cond CSI-RS-Indicated	BWP-Id
csi-rs	CHOICE {	
NZP-CSI-RS-ResourceId,		
ssb		
SSB-Index		
},		
qcl-Type		
ENUMERATED (typeA, typeB, typeC, typeD),		
...		
}		
-- TAG-TCI-STATE-STOP		
-- ASN1STOP		

[0305] In Table 6, 'bwp-Id' denotes a DL BWP where RS is located, 'cell' denotes a carrier where RS is located, 'referenceSignal' denotes a reference antenna port(s) which is a QCL-ed source for target antenna port(s) or a reference signal including the same. The target antenna port(s) may be CSI-RS, PDCCH DMRS, or PDSCH DMRS.

[0306] 4. QCL(Quasi-Co Location)

[0307] The UE may receive a list including up to M TCI-state configurations to decode the PDSCH according to the detected PDCCH having an intended DCI for the UE and a given cell. Here, M depends on the UE capability.

[0308] As illustrated in Table 6, each TCI-State includes a parameter for establishing a QCL relationship between one or two DL RSs and the DM-RS port of the PDSCH. The QCL relationship is configured with a RRC parameter qcl-Type1 for the first DL RS and a qcl-Type2 (if set) for the second DL RS.

[0309] The QCL type corresponding to each DL RS is given by the parameter 'qcl-Type' in QCL-Info and may have one of the following values:

[0310] 'QCL-TypeA': {Doppler shift, Doppler spread, average delay, delay spread}

[0311] 'QCL-TypeB': {Doppler shift, Doppler spread}

[0312] 'QCL-TypeC': {Doppler shift, average delay}

[0313] 'QCL-TypeD': {Spatial Rx parameter}

[0314] For example, when a target antenna port is a specific NZP CSI-RS, corresponding NZP CSI-RS antenna ports may be instructed/configured to be QCL-ed with a specific TRS in terms of QCL-Type A and QCL-ed with a specific SSB in terms of QCL-Type D. The thusly instructed/configured UE may receive the corresponding NZP CSI-RS using a Doppler and delay value measured by the QCL-TypeA TRS and apply a reception beam used for receiving the QCL-TypeD SSB to the corresponding NZP CSI-RS reception.

[0315] UL BM Process

[0316] In the UL BM, a Tx beam-Rx beam reciprocity (or beam correspondence) may be or may not be established depending on UE implementation. If the Tx beam-Rx beam reciprocity is established in both the BS and the UE, a UL

beam pair may be matched through a DL beam pair. However, if the Tx beam-Rx beam reciprocity is not established in either the BS or the UE, a UL beam pair determining process is required, apart from DL beam pair determination.

[0317] In addition, even when the BS and the UE maintain beam correspondence, the BS may use the UL BM process for DL Tx beam determination without requesting the UE to report a preferred beam.

[0318] The UL BM may be performed through beamformed UL SRS transmission and whether to apply the UL BM of the SRS resource set is configured by the RRC parameter in a (RRC parameter) usage. If the usage is configured as 'BeamManagement (BM)', only one SRS resource may be transmitted for each of a plurality of SRS resource sets at a given time instant.

[0319] The UE may be configured with one or more sounding reference signal (SRS) resource sets (through RRC signaling, etc.) set by the (RRC parameter) SRS-ResourceSet. For each SRS resource set, $K \geq 1$ SRS resources may be set for the UE. Here, K is a natural number, and a maximum value of K is indicated by SRS_capability.

[0320] Like the DL BM, the UL BM process may also be divided into Tx beam sweeping of the UE and Rx beam sweeping of the BS.

[0321] FIG. 22 shows an example of a UL BM process using SRS.

[0322] FIG. 22(a) shows a process of determining Rx beamforming of a BS, and FIG. 22(b) shows a process of sweeping Tx beam of the UE.

[0323] FIG. 23 is a flowchart illustrating an example of a UL BM process using SRS.

[0324] The UE receives RRC signaling (e.g., SRS-Config IE) including an (RRC parameter) usage parameter set to 'beam management' from the BS (S1010). An SRS-Config IE is used for configuration of SRS transmission. The SRS-Config IE includes a list of SRS-Resources and a list of SRS-ResourceSets. Each SRS resource set refers to a set of SRS-resources.

[0325] The UE determines Tx beamforming for the SRS resource to be transmitted on the basis of SRS-SpatialRelation Info included in the SRS-Config IE (S1020). Here, the SRS-SpatialRelation Info is configured for each SRS resource and indicates whether to apply the same beamforming as that used in SSB, CSI-RS, or SRS for each SRS resource.

[0326] If SRS-SpatialRelationInfo is configured in the SRS resource, the same beamforming as that used in SSB, CSI-RS, or SRS is applied and transmitted. However, if SRS-SpatialRelationInfo is not configured in the SRS resource, the UE randomly determines the Tx beamforming and transmits the SRS through the determined Tx beamforming (S1030).

[0327] More specifically, regarding P-SRS in which 'SRS-ResourceConfigType' is set to 'periodic':

[0328] i) If the SRS-SpatialRelationInfo is set to SSB/PBCH, the UE transmits the corresponding SRS by applying the same spatial domain transmission filter (or generated from the corresponding filter) as the spatial domain Rx filter used for receiving SSB/PBCH; or

[0329] ii) If the SRS-SpatialRelationInfo is set to 'CSI-RS', the UE transmits the SRS by applying the same spatial domain transmission filter used for receiving the CSI-RS; or

[0330] iii) When SRS-SpatialRelationInfo is set to 'SRS', the UE transmits the corresponding SRS by applying the same spatial domain transmission filter used for transmitting the SRS.

[0331] In addition, the UE may receive or may not receive a feedback on the SRS from the BS as in the following three cases (S1040).

[0332] i) When Spatial_Relation_Info is set for all SRS resources in the SRS resource set, the UE transmits the SRS to the beam indicated by the BS. For example, if Spatial_Relation_Info indicates SSB, CRI, or SRI in which Spatial_Relation_Info is the same, the UE repeatedly transmits the SRS on the same beam.

[0333] ii) Spatial_Relation_Info may not be set for all SRS resources in the SRS resource set. In this case, the UE may freely transmit while changing the SRS beamforming.

[0334] iii) Spatial_Relation_Info may only be set for some SRS resources in the SRS resource set. In this case, the SRS is transmitted on the indicated beam for the set SRS resource, and for an SRS resource in which Spatial_Relation_Info is not set, the UE may transmit the SRS resource by randomly applying Tx beamforming.

[0335] A Beam Failure Recovery (BFR) Process

[0336] In a beamformed system, a radio link failure (RLF) may occur frequently due to rotation, movement, or beamforming blockage of the UE. Therefore, BFR is supported in NR to prevent frequent occurrence of the RLFs. The BFR is similar to the radio link failure recovery process and may be supported if the UE knows the new candidate beam(s).

[0337] For beam failure detection, the BS configures beam failure detection reference signals for the UE, and if the number of times of beam failure indications from the physical layer of the UE reaches a threshold set by the RRC signaling within a period set by the RRC signaling of the BS, the UE declares beam failure.

[0338] After the beam failure is detected, the UE triggers a beam failure recovery by initiating a random access procedure on the PCell; and performs beam failure recovery by selecting a suitable beam (If the BS provides dedicated random access resources for certain beams, they are prioritized by the UE). Upon completion of the random access procedure, beam failure recovery is considered to be completed.

J. URLLC (Ultra-Reliable and Low Latency Communication)

[0339] The URLLC transmission defined by the NR may refer to transmission for (1) a relatively low traffic size, (2) a relatively low arrival rate, (3) an extremely low latency requirement (e.g., 0.5, 1 ms), (4) relatively short transmission duration (e.g., 2 OFDM symbols), and (5) urgent service/message, etc.

[0340] In the case of UL, transmission for a particular type of traffic (e.g., URLLC) needs to be multiplexed with other previously scheduled transmissions (e.g., eMBB) to meet a more stringent latency requirement. In this regard, one method is to give information indicating that a scheduled UE will be preempted for a specific resource, and allow the URLLC UE to use the resource for UL transmission.

[0341] Pre-Emption Indication

[0342] In the case of NR, dynamic resource sharing between eMBB and URLLC is supported. eMBB and URLLC services may be scheduled on non-overlapping time/frequency resources and URLLC transmission may

occur on scheduled resources for ongoing eMBB traffic. The eMBB UE may not know whether PDSCH transmission of the UE is partially punctured and the UE may not be able to decode the PDSCH due to corrupted coded bits. In consideration of this, NR provides a preemption indication.

[0343] The preemption indication may also be referred to as an interrupted transmission indication.

[0344] With respect to the preamble indication, the UE receives DownlinkPreemption IE through RRC signaling from the BS. Table 7 below shows an example of the DownlinkPreemption IE.

TABLE 7

-- ASN1 START	
-- TAG-DOWNLINKPREEMPTION-START	
DownlinkPreemption ::=	SEQUENCE {
int-RNTI	RNTI-Value,
timeFrequencySet	ENUMERATED {set0, set1},
dci-PayloadSize	INTEGER (0..maxINT-DCI-PayloadSize),
int-ConfigurationPerServingCell	SEQUENCE (SIZE (1..maxNrofServingCells))
OF INT-ConfigurationPerServingCell	
...	
}	
INT-ConfigurationPerServingCell ::=	SEQUENCE {
servingCellId	ServCellIndex,
positionInDCI	INTEGER (0..maxINT-DCI-PayloadSize-1)
}	
-- TAG-DOWNLINKPREEMPTION-STOP	
-- ASN1STOP	

[0345] If the UE is provided with the DownlinkPreemption IE, the UE is configured with an INT-RNTI provided by a parameter int-RNTI in the DownlinkPreemption IE to monitor a PDCCH conveying the DCI format 2_1. The UE is further configured with a set of serving cells and a corresponding set of locations for fields in the DCI format 2_1 by positionInDCI by an INT-ConfigurationPerServingCell including a set of serving cell indices provided by a servingCellId, is configured with an information payload size for DCI format 2_1 by dci-PayloadSize, and is configured with granularity of time-frequency resources by time-FrequencySet.

[0346] The UE receives the DCI format 2_1 from the BS on the basis of the DownlinkPreemption IE.

[0347] If the UE detects the DCI format 2_1 for a serving cell in the set of serving cells, the UE may assume there is no transmission to the UE in PRBs and symbols indicated by the DCI format 2_1 among sets of PRBs and sets of symbols in the last monitoring period before a monitoring period to which the DCI format 2_1 belongs. For example, referring to FIG. 9A, the UE determines that a signal in the time-frequency resource indicated by pre-emption is not a DL transmission scheduled for the UE itself and decodes data on the basis of signals received in the remaining resource area.

[0348] FIG. 24 is a diagram showing an example of an preemption indication method.

[0349] A combination of {M,N} is set by the RRC parameter timeFrequencySet. {M, N}={14,1}, {7,2}.

[0350] FIG. 25 shows an example of a time/frequency set of a preemption indication.

[0351] A 14-bit bitmap for a preemption indication indicates one or more frequency parts ($N \geq 1$) and/or one or more time domain parts ($M \geq 1$). In the case of {M, N}={14, 1}, as shown in FIG. 25(a), 14 parts in the time domain correspond one-to-one to 14 bits of the 14-bit bit map, and a part corresponding to a bit set to 1, among the 14 bits, is

part including pre-empted resources. In the case of {M, N}={7,2}, as shown in FIG. 25(b), the time-frequency resources of the monitoring period is divided into seven parts in the time domain and two parts in the frequency domain, so as to be divided into a total of 14 time-frequency parts. The total of 14 time-frequency parts correspond one-to-one to the 14 bits of the 14-bit bitmap, and the part corresponding to the bit set to 1 among the 14 bits includes the pre-empted resources.

K. MMTC (Massive MTC)

[0352] The massive machine type communication (mMTC) is one of the 5G scenarios for supporting a hyper-connection service that simultaneously communicates with a large number of UEs. In this environment, the UE intermittently performs communication with a very low transfer rate and mobility. Therefore, mMTC is aimed at how low cost and for how long the UE can be driven. In this regard, MTC and NB-IoT, which are dealt with in 3GPP will be described.

[0353] Hereinafter, a case where a transmission time interval of a physical channel is a subframe will be described as an example. For example, a case where a minimum time interval from a start of transmission of one physical channel (e.g., MPDCCH, PDSCH, PUCCH, PUSCH) to a start of transmission of a next physical channel is one subframe will be described as an example. In the following description, the subframe may be replaced by a slot, a mini-slot, or multiple slots.

[0354] MTC (Machine Type Communication)

[0355] MTC (Machine Type Communication), which is an application that does not require much throughput applicable to M2M (Machine-to-Machine) or IoT (Internet-of-Things), refers to a communication technology adopted to meet the requirements of the IoT service in 3GPP (3rd Generation Partnership Project).

[0356] The MTC may be implemented to meet the criteria of (1) low cost & low complexity, (2) enhanced coverage, and (3) low power consumption.

[0357] In 3GPP, MTC has been applied since release 10 (3GPP standard document version 10.x.x.) and features of MTC added for each release of 3GPP will be briefly described.

[0358] First, the MTC described in 3GPP Release 10 and Release 11 relates to a load control method. The load control method is to prevent IoT (or M2M) devices from suddenly

loading the BS. More specifically, 3GPP Release 10 relates to a method of controlling a load by disconnecting IoT devices when the load occurs, and Release 11 relates to a method of preventing connection of the UE in advance by informing the UE about connection to a cell later through system information of the cell. In Release 12, features for low cost MTC are added, for which UE category 0 is newly defined. The UE category is an indicator indicating how much data the UE may handle at a communication modem. A UE in UE category 0 is a UE with a reduced peak data rate and relaxed radio frequency (RF) requirements, thus reducing baseband and RF complexity. In Release 13, a technology called eMTC (enhanced MTC) was introduced, which allows the UE to operate only at 1.08 MHz, a minimum frequency bandwidth supported by legacy LTE, thereby lowering the price and power consumption of the UE.

[0359] The contents described hereinafter is features mainly related to eMTC but may also be equally applicable to the MTC, eMTC, 5G (or NR) unless otherwise mentioned. Hereinafter, for convenience of explanation, MTC will be collectively described.

[0360] Therefore, the MTC described below may referred to as the enhanced MTC (eMTC), the LTE-M1/M2, BL (bandwidth reduced low complexity/CE (coverage enhanced), non-BL UE (in enhanced coverage), NR MTC, enhanced BL/CE, and the like. That is, the term MTC may be replaced with terms to be defined in the 3GPP standard in the future.

[0361] MTC General Features

[0362] (1) MTC operates only within a specific system bandwidth (or channel bandwidth).

[0363] MTC may use six resource blocks (RBs) in the system band of the legacy LTE as shown in FIG. 26 or use a specific number of RBs in the system band of the NR system. The frequency bandwidth in which the MTC operates may be defined in consideration of a frequency range of NR and subcarrier spacing. Hereinafter, a specific system or frequency bandwidth in which the MTC operates is referred to as an MTC narrowband (NB). In the NR, the MTC may operate in at least one bandwidth part (BWP) or in a specific band of BWP.

[0364] MTC follows a narrowband operation to transmit and receive physical channels and signals, and a maximum channel bandwidth in which the MTC UE is operable is reduced to 1.08 MHz or six (LTE) RBs.

[0365] The narrowband may be used as a reference unit in resource allocation units of some downlink and uplink channels, and a physical location of each narrowband in the frequency domain may be defined to be different depending on the system bandwidth.

[0366] The bandwidth of 1.08 MHz defined in MTC is defined for the MTC UE to follow the same cell search and random access procedure as the legacy UE.

[0367] MTC may be supported by cells having a bandwidth (e.g., 10 MHz) much larger than 1.08 MHz but the physical channels and signals transmitted and received by the MTC are always limited to 1.08 MHz. The system with having much larger bandwidth may be legacy LTE, NR systems, 5G systems, and the like.

[0368] A narrowband is defined as six non-overlapping consecutive physical resource blocks in the frequency domain.

[0369] FIG. 26(a) is a diagram showing an example of a narrowband operation, and FIG. 26(b) is a diagram showing an example of repetition having RF retuning.

[0370] Frequency diversity by RF retuning will be described with reference to FIG. 26(b).

[0371] Due to narrowband RF, single antenna and limited mobility, the MTC supports limited frequency, space and time diversity. In order to reduce fading and outage, frequency hopping is supported by MTC between different narrow bands by RF retuning.

[0372] In MTC, frequency hopping is applied to different uplink and downlink physical channels when repetition is possible. For example, if 32 subframes are used for PDSCH transmission, first 16 subframes may be transmitted on a first narrowband. Here, the RF front end is retuned to another narrow band, and the remaining 16 subframes are transmitted on the second narrow band.

[0373] The narrowband of MTC may be set to the UE via system information or DCI (downlink control information) transmitted by the BS.

[0374] (2) The MTC operates in a half duplex mode and uses a limited (or reduced) maximum transmit power. The half duplex mode refers to a mode in which a communication device operates only in an uplink or a downlink at one frequency at one time point and operates in a downlink or an uplink at another frequency at another time point. For example, when the communication device operates in the half-duplex mode, the communication device performs communication using the uplink frequency and the downlink frequency, and the communication device may not use the uplink frequency and the downlink frequency at the same time. The communication device divides time to perform uplink transmission through the uplink frequency and the downlink reception by re-tuning to the downlink frequency for another predetermined time.

[0375] (3) MTC does not use channels (defined in legacy LTE or NR) that must be distributed over the entire system bandwidth of the legacy LTE or NR. For example, in the MTC, the PDCCH of the legacy LTE is not used because the PDCCH is distributed over the entire system bandwidth. Instead, a new control channel, MPDCCH (MTC PDCCH), is defined in the MTC. The MPDCCH is transmitted/received within a maximum of 6 RBs in the frequency domain.

[0376] (4) MTC uses the newly defined DCI format. For example, DCI formats 6-0A, 6-0B, 6-1A, 6-1B, 6-2, etc., may be used as a DCI format for MTC (see 3GPP TS 36.212).

[0377] (5) In the case of MTC, a physical broadcast channel (PBCH), a physical random access channel (PRACH), an MTC physical downlink control channel (M-PDCCH), a physical downlink shared channel (PDSCH), a physical uplink control channel (PUCCH), and a physical uplink shared channel (PUSCH) may be repeatedly transmitted. Due to the MTC repeated transmission, an MTC channel may be decoded even when signal quality or power is very poor, such as in an inadequate environment such as a basement, thereby increasing a cell radius and increasing a penetration effect.

[0378] (6) In MTC, PDSCH transmission based on PDSCH scheduling (DCI) and PDSCH scheduling may occur in different subframes (cross-subframe scheduling).

[0379] (7) In the LTE system, the PDSCH carrying a general SIB1 is scheduled by the PDCCH, whereas all the

resource allocation information (e.g., subframe, transport block size, narrowband index) for SIB1 decoding is determined by a parameter of the MIB and no control channel is used for SIB1 decoding of the MTC.

[0380] (8) All resource allocation information (subframe, TBS, subband index) for SIB2 decoding is determined by several SIB1 parameters and no control channel for SIB2 decoding of MTC is used.

[0381] (9) The MTC supports an extended paging (DRX) cycle. Here, the paging period refers to a period during which the UE must be wake up to check whether there is a paging from a network even when the UE is in a discontinuous reception (DRX) mode in which it does not attempt to receive a downlink signal for power saving.

[0382] (10) MTC may use the same PSS (Primary Synchronization Signal)/SSS (Secondary Synchronization Signal)/CRS (Common Reference Signal) used in legacy LTE or NR. In the case of NR, the PSS/SSS is transmitted on an SSB basis, and a tracking RS (TRS) is a cell-specific RS and may be used for frequency/time tracking.

[0383] MTC Operation Mode and Level

[0384] Next, an MTC operation mode and level will be described. MTC is classified into two operation modes (first mode, second mode) and four different levels for coverage improvement as shown in Table 8 below.

[0385] The MTC operation mode is referred to as a CE (Coverage Enhancement) mode. In this case, the first mode may be referred to as a CE mode A, and the second mode may be referred to as a CE mode B.

TABLE 8

Mode	Level	Description
Mode A	Level 1	No repetition for PRACH
	Level 2	Small Number of Repetition for PRACH
Mode B	Level 3	Medium Number of Repetition for PRACH
	Level 4	Large Number of Repetition for PRACH

[0386] The first mode is defined for small coverage enhancement to support full mobility and CSI (channel state information, in which there is no repetition or fewer repetition times. The second mode is defined for UEs with extremely poor coverage conditions that support CSI feedback and limited mobility, in which a large number of repetitive transmissions is defined. The second mode provides a coverage improvement of up to 15 dB. Each level of MTC is defined differently in the random access procedure and the paging process.

[0387] The MTC operation mode is determined by the BS, and each level is determined by the MTC UE. Specifically, the BS transmits RRC signaling including information on the MTC operation mode to the UE. Here, the RRC signaling may be an RRC connection setup message, an RRC connection reconfiguration message or an RRC connection reestablishment message.

[0388] Thereafter, the MTC UE determines a level in each operation mode and transmits the determined level to the BS. Specifically, the MTC UE determines a level in an operation mode on the basis of measured channel quality (e.g., reference signal received power (RSRP), reference signal received quality (RSRQ), or signal to interference plus noise ratio (SINR), and transmits an RACH preamble using a PRACH resource (e.g., frequency, time, preamble

resource for PRACH) corresponding to the determined level, thereby informing the BS about the determined level.

[0389] MTC Guard Period

[0390] As discussed above, MTC operates in narrow band. The location of the narrow band used in the MTC may be different for each particular time unit (e.g., subframe or slot). The MTC UE may tune to different frequencies depending on the time units. A certain amount of time is required for frequency retuning, and certain amount of time is defined as a guard period of MTC. That is, a guard period is required when frequency retuning is performed while transitioning from one time unit to the next time unit, and transmission and reception do not occur during the guard period.

[0391] MTC Signal Transmission/Reception Method

[0392] FIG. 27 is a diagram illustrating physical channels that may be used for MTC and a general signal transmission method using the same.

[0393] In step S1001, the MTC UE, which is powered on again or enters a new cell, performs an initial cell search operation such as synchronizing with the BS. To this end, the MTC UE receives a primary synchronization signal (PSS) and a secondary synchronization signal (SSS) from the BS, adjusts synchronization with the BS, and acquires information such as a cell ID. The PSS/SSS used in the initial cell search operation of the MTC may be a PSS/SSS, a resynchronization signal (RSS), or the like of an legacy LTE.

[0394] Thereafter, the MTC UE may receive a physical broadcast channel (PBCH) signal from the BS to acquire broadcast information in a cell.

[0395] Meanwhile, the MTC UE may receive a downlink reference signal (DL RS) in an initial cell search step to check a downlink channel state. The broadcast information transmitted through the PBCH is a master information block (MIB), and in the LTE, the MIB is repeated by every 10 ms.

[0396] Among the bits of the MIB of the legacy LTE, reserved bits are used in MTC to transmit scheduling for a new SIB1-BR (system information block for bandwidth reduced device) including a time/frequency location and a transport block size. The SIB-BR is transmitted directly on the PDSCH without any control channel (e.g., PDCCH, MPDCCH) associated with the SIB-BR.

[0397] Upon completion of the initial cell search, the MTC UE may receive an MPDCCH and a PDSCH according to the MPDCCH information to acquire more specific system information in step S1002. The MPDCCH may be transmitted only once or repeatedly. The maximum number of repetitions of the MPDCCH is set to the UE by RRC signaling from the BS.

[0398] Thereafter, the MTC UE may perform a random access procedure such as steps S1003 to S1006 to complete the connection to the BS. A basic configuration related to the RACH process of the MTC UE is transmitted by SIB2. In addition, SIB2 includes parameters related to paging. In the 3GPP system, a paging occasion (PO) refers to a time unit in which the UE may attempt to receive paging. The MTC UE attempts to receive the MPDCCH on the basis of a P-RNTI in the time unit corresponding to its PO on the narrowband (PNB) set for paging. The UE that has successfully decoded the MPDCCH on the basis of the P-RNTI may receive a PDSCH scheduled by the MPDCCH and check a paging message for itself. If there is a paging message for itself, the UE performs a random access procedure to access a network.

[0399] For the random access procedure, the MTC UE transmits a preamble through a physical random access channel (PRACH) (S1003), and receives a response message (RAR) for the preamble through the MPDCCH and the corresponding PDSCH. (S1004). In the case of a contention-based random access, the MTC UE may perform a contention resolution procedure such as transmission of an additional PRACH signal (S1005) and reception of the MPDCCH signal and corresponding PDSCH signal (S1006). The signals and/or messages Msg 1, Msg 2, Msg 3, and Msg 4 transmitted in the RACH process in the MTC may be repeatedly transmitted, and the repeat pattern is set to be different according to the CE level. Msg1 denotes a PRACH preamble, Msg2 denotes a random access response (RAR), Msg3 denotes UL transmission on the basis of a UL grant included in the RAR, and Msg4 denotes a DL transmission of the BS to Msg3.

[0400] For random access, PRACH resources for the different CE levels are signaled by the BS. This provides the same control of a near-far effect on the PRACH by grouping together UEs experiencing similar path loss. Up to four different PRACH resources may be signaled to the MTC UE.

[0401] The MTC UE estimates RSRP using a downlink RS (e.g., CRS, CSI-RS, TRS, and the like), and selects one of different PRACH resources (e.g., frequency, time, and preamble resources for PRACH) for the random access on the basis of the measurement result. The RAR for the PRACH and search spaces for the contention resolution messages for PRACH are also signaled at the BS via system information.

[0402] The MTC UE that has performed the above-described process may then receive an MPDCCH signal and/or a PDSCH signal (S1007) and transmit a physical uplink shared channel (PUSCH) signal and/or a physical uplink control channel (PUCCH) (S1108) as a general uplink/downlink signal transmission process. The MTC UE may transmit uplink control information (UCI) to the BS through the PUCCH or PUSCH. The UCI may include HARQ-ACK/NACK, scheduling request (SR), and/or CSI.

[0403] When RRC connection to the MTC UE is established, the MTC UE monitors the MPDCCH in a search space set to acquire uplink and downlink data allocation and attempts to receive the MDCCH.

[0404] In the case of MTC, the MPDCCH and the PDSCH scheduled by the MDCCH are transmitted/received in different subframes. For example, the MPDCCH having the last repetition in subframe #*n* schedules the PDSCH starting at subframe #*n*+2. The DCI transmitted by the MPDCCH provides information on how many times the MPDCCH is repeated so that the MTC UE may know when the PDSCH transmission is started. For example, when the DCI in the MPDCCH started to be transmitted from the subframe #*n* includes information that the MPDCCH is repeated 10 times, a last subframe in which the MPDCCH is transmitted is the subframe #*n*+9 and transmission of the PDSCH may start at subframe #*n*+11.

[0405] The PDSCH may be scheduled in the same as or different from a narrow band in which the MPDCCH scheduling the PDSCH is present. If the MPDCCH and the corresponding PDSCH are located in different narrow bands, the MTC UE needs to retune the frequency to the narrow band in which the PDSCH is present before decoding the PDSCH.

[0406] For uplink data transmission, scheduling may follow the same timing as legacy LTE. For example, the MPDCCH which is lastly transmitted at subframe #*n* may schedule PUSCH transmission starting at subframe #*n*+4.

[0407] FIG. 28 shows an example of scheduling for MTC and legacy LTE, respectively.

[0408] In the legacy LTE, the PDSCH is scheduled using the PDCCH, which uses the first OFDM symbol(s) in each subframe, and the PDSCH is scheduled in the same subframe as the subframe in which the PDCCH is received.

[0409] In contrast, the MTC PDSCH is cross-subframe scheduled, and one subframe between the MPDCCH and the PDSCH is used as a time period for MPDCCH decoding and RF retuning. The MTC control channel and data channel may be repeated over a large number of subframes including up to 256 subframes for the MPDCCH and up to 2048 subframes for the PDSCH so that they may be decoded under extreme coverage conditions.

[0410] NB-IoT (Narrowband-Internet of Things)

[0411] The NB-IoT may refer to a system for supporting low complexity, low power consumption through a system bandwidth (system BW) corresponding to one resource block (RB) of a wireless communication system.

[0412] Here, NB-IoT may be referred to as other terms such as NB-LTE, NB-IoT enhancement, enhanced NB-IoT, further enhanced NB-IoT, NB-NR. That is, NB-IoT may be replaced with a term defined or to be defined in the 3GPP standard, and hereinafter, it will be collectively referred to as 'NB-IoT' for convenience of explanation.

[0413] The NB-IoT is a system for supporting a device (or UE) such as machine-type communication (MTC) in a cellular system so as to be used as a communication method for implementing IoT (i.e., Internet of Things). Here, one RB of the existing system band is allocated for the NB-IoT, so that the frequency may be efficiently used. Also, in the case of NB-IoT, each UE recognizes a single RB as a respective carrier, so that RB and carrier referred to in connection with NB-IoT in the present specification may be interpreted to have the same meaning.

[0414] Hereinafter, a frame structure, a physical channel, a multi-carrier operation, an operation mode, and general signal transmission/reception related to the NB-IoT in the present specification are described in consideration of the case of the legacy LTE system, but may also be extendedly applied to a next generation system (e.g., an NR system, etc.). In addition, the contents related to NB-IoT in this specification may be extendedly applied to MTC (Machine Type Communication) oriented for similar technical purposes (e.g., low-power, low-cost, coverage enhancement, etc.).

[0415] Hereinafter, a case where a transmission time interval of a physical channel is a subframe are described as an example. For example, a case where a minimum time interval from the start of transmission of one physical channel (e.g., NPDCCH, NPDSCH, NPUCCH, NPUSCH) to the start of transmission of a next physical channel is one subframe will be described, but in the following description, the subframe may be replaced by a slot, a mini-slot, or multiple slots.

[0416] Frame Structure and Physical Resource of NB-IoT

[0417] First, the NB-IoT frame structure may be configured to be different according to subcarrier spacing. Specifically, FIG. 29 shows an example of a frame structure when a subscriber spacing is 15 kHz, and FIG. 30 shows an

example of a frame structure when a subscriber spacing is 3.75 kHz. However, the NB-IoT frame structure is not limited thereto, and NB-IoT for other subscriber spacings (e.g., 30 kHz) may be considered with different time/frequency units.

[0418] In addition, although the NB-IoT frame structure on the basis of the LTE system frame structure has been exemplified in the present specification, it is merely for the convenience of explanation and the present invention is not limited thereto. The method described in this disclosure may also be extendedly applied to NB-IoT based on a frame structure of a next-generation system (e.g., NR system).

[0419] Referring to FIG. 29, the NB-IoT frame structure for a 15 kHz subscriber spacing may be configured to be the same as the frame structure of the legacy system (e.g., LTE system) described above. For example, a 10 ms NB-IoT frame may include ten 1 ms NB-IoT subframes, and the 1 ms NB-IoT subframe may include two 0.5 ms NB-IoT slots. Further, each 0.5 ms NB-IoT may include 7 OFDM symbols.

[0420] Alternatively, referring to FIG. 30, the 10 ms NB-IoT frame may include five 2 ms NB-IoT subframes, the 2 ms NB-IoT subframe may include seven OFDM symbols and one guard period (GP). Also, the 2 ms NB-IoT subframe may be represented by an NB-IoT slot or an NB-IoT RU (resource unit).

[0421] Next, physical resources of the NB-IoT for each of downlink and uplink will be described.

[0422] First, the physical resources of the NB-IoT downlink may be configured by referring to physical resources of other wireless communication system (e.g., LTE system, NR system, etc.), except that a system bandwidth is limited to a certain number of RBs (e.g., one RB, i.e., 180 kHz). For example, when the NB-IoT downlink supports only the 15-kHz subscriber spacing as described above, the physical resources of the NB-IoT downlink may be configured as resource regions limiting a resource grid of the LTE system shown in FIG. 31 to one RB in the frequency domain.

[0423] Next, in the case of the NB-IoT uplink physical resource, the system bandwidth may be limited to one RB as in the case of downlink. For example, if the NB-IoT uplink supports 15 kHz and 3.75 kHz subscriber spacings as described above, a resource grid for the NB-IoT uplink may be expressed as shown in FIG. 31. In this case, the number of subcarriers NULsc and the slot period Tslot in the uplink band in FIG. 31 may be given as shown in Table 9 below.

TABLE 9

Subcarrier spacing	NULsc	Tslot
$\Delta f = 3.75 \text{ kHz}$	48	$6144 \cdot T_s$
$\Delta f = 15 \text{ kHz}$	12	$15360 \cdot T_s$

[0424] In NB-IoT, resource units (RUs) are used for mapping the PUSCH for NB-IoT (hereinafter referred to as NPUSCH) to resource elements. RU may include NULsymbol*NULslot SC-FDMA symbols in the time domain and include NRUs number of consecutive subcarriers in the frequency domain. For example, NRUs and NULsymbol may be given by Table 10 below for frame structure type 1, which is a frame structure for FDD, and may be given by Table 11 below for frame structure type 2, which is frame structure for TDD.

TABLE 10

NPUSCH format	Δf	NRUs	NULslots	NULsymbol
1	3.75 kHz 15 kHz	1	16	7
		1	16	
		3	8	
		6	4	
		12	2	
2	3.75 kHz 15 kHz	1	4	
		1	4	

TABLE 11

NPUSCH format	Δf	Supported uplink-downlink configurations	NRUs	NULslots	NULsymbol
1	3.75 kHz 15 kHz	1, 4	1	16	7
		1, 2, 3, 4, 5	1	16	
			3	8	
			6	4	
			12	2	
2	3.75 kHz 15 kHz	1, 4	1	4	
		1, 2, 3, 4, 5	1	4	

[0425] Physical Channel of NB-IoT

[0426] A BS and/or a UE supporting the NB-IoT may be configured to transmit/receive physical channels and/or physical signals configured separately from the legacy system. Hereinafter, specific contents related to physical channels and/or physical signals supported by the NB-IoT will be described.

[0427] An orthogonal frequency division multiple access (OFDMA) scheme may be applied to the NB-IoT downlink on the basis of a subscriber spacing of 15 kHz. Through this, co-existence with other systems (e.g., LTE system, NR system) may be efficiently supported by providing orthogonality between subcarriers. A downlink physical channel/signal of the NB-IoT system may be represented by adding 'N (Narrowband)' to distinguish it from the legacy system. For example, a downlink physical channel may be referred to as an NPBCH (narrowband physical broadcast channel), an NPDCCH (narrowband physical downlink control channel), or an NPDSCH (narrowband physical downlink shared channel), and a downlink physical signal may be referred to as an NPSS (narrowband primary synchronization signal), an NSSS (narrowband secondary synchronization signal), an NRS (narrowband reference signal), an NPRS (narrowband positioning reference signal), an NWUS (narrowband wake up signal), and the like. Generally, the downlink physical channels and physical signals of the NB-IoT may be configured to be transmitted on the basis of a time domain multiplexing scheme and/or a frequency domain multiplexing scheme. In the case of NPBCH, NPDCCH, NPDSCH, etc., which are the downlink channels of the NB-IoT system, repetition transmission may be performed for coverage enhancement. In addition, the NB-IoT uses a newly defined DCI format. For example, the DCI format for NB-IoT may be defined as DCI format NO, DCI format N1, DCI format N2, and the like.

[0428] In the NB-IoT uplink, a single carrier frequency division multiple access (SC-FDMA) scheme may be applied on the basis of a subscriber spacing of 15 kHz or 3.75 kHz. As mentioned in the downlink section, the physi-

cal channel of the NB-IoT system may be expressed by adding 'N (Narrowband)' to distinguish it from the existing system. For example, the uplink physical channel may be represented by a narrowband physical random access channel (NPRACH) or a narrowband physical uplink shared channel (NPUSCH), and the uplink physical signal may be represented by a narrowband demodulation reference signal (NDMRS), or the like. NPUSCH may be divided into NPUSCH format 1 and NPUSCH format 2. In one example, NPUSCH Format 1 may be used for uplink shared channel (UL-SCH) transmission (or transport), and NPUSCH Format 2 may be used for uplink control information transmission such as HARQ ACK signaling. In the case of NPRACH, which is an uplink channel of the NB-IoT system, repetition transmission may be performed for coverage enhancement. In this case, repetition transmission may be performed by applying frequency hopping.

[0429] Multi-Carrier Operation of NB-IoT

[0430] Next, a multi-carrier operation of the NB-IoT will be described. The multicarrier operation may refer to that multiple carriers set for different uses (i.e., different types) are used for transmitting/receiving channels and/or signals between the BS and/or UE in the NB-IoT.

[0431] The NB-IoT may operate in a multi-carrier mode. Here, in the NB-IoT, a carrier wave in the N-IoT may be classified as an anchor type carrier (i.e., an anchor carrier, an anchor PRB) and a non-anchor type carrier a non-anchor type carrier (i.e., non-anchor carrier).

[0432] The anchor carrier may refer to a carrier that transmits NPSS, NSSS, NPBCH, and NPDSCH for a system information block (N-SIB) for initial access from a point of view of the BS. That is, in NB-IoT, the carrier for initial access may be referred to as an anchor carrier and the other(s) may be referred to as a non-anchor carrier. Here, only one anchor carrier wave may exist in the system, or there may be a plurality of anchor carrier waves.

[0433] Operation Mode of NB-IoT

[0434] Next, an operation mode of the NB-IoT will be described. In the NB-IoT system, three operation modes may be supported. FIG. 32 shows an example of operation modes supported in the NB-IoT system. Although the operation mode of the NB-IoT is described herein on the basis of an LTE band, this is for convenience of explanation and may be extendedly applied to other system bands (e.g. NR system band).

[0435] Specifically, FIG. 32(a) shows an example of an in-band system, FIG. 32 (b) shows an example of a guard-band system, and FIG. 32(c) Represents an example of a stand-alone system. In this case, the in-band system may be expressed as an in-band mode, the guard-band system may be expressed as a guard-band mode, and the stand-alone system may be expressed in a stand-alone mode.

[0436] The in-band system may refer to a system or mode that uses a specific RB in the (legacy) LTE band. The in-band system may be operated by allocating some resource blocks of the LTE system carrier.

[0437] A guard-band system may refer to a system or mode that uses NB-IoT in a space reserved for a guard-band of the legacy LTE band. The guard-band system may be operated by allocating a guard-band of an LTE carrier not used as a resource block in the LTE system. For example, the (legacy) LTE band may be configured to have a guard-band

of at least 100 kHz at the end of each LTE band, and with two non-contiguous guard-bands for 200 kHz for NB-IoT may be used.

[0438] As described above, the in-band system and the guard-band system may be operated in a structure in which NB-IoT coexists in the (legacy) LTE band.

[0439] By contrast, the stand-alone system may refer to a system or mode that is configured independently of the legacy LTE band. The stand-alone system may be operated by separately allocating frequency bands (e.g., reassigned GSM carriers in the future) used in a GERAN (GSM EDGE radio access network).

[0440] The three operation modes described above may be operated independently of each other, or two or more operation modes may be operated in combination.

[0441] NB-IoT Signal Transmission/Reception Process

[0442] FIG. 33 is a diagram illustrating an example of physical channels that may be used for NB-IoT and a general signal transmission method using the same. In a wireless communication system, an NB-IoT UE may receive information from a BS through a downlink (DL) and the NB-IoT UE may transmit information to the BS through an uplink (UL). In other words, in the wireless communication system, the BS may transmit information to the NB-IoT UE through the downlink and the BS may receive information from the NB-IoT UE through the uplink.

[0443] The information transmitted/received by the BS and the NB-IoT UE includes data and various control information, and various physical channels may exist depending on the type/purpose of the information transmitted/received by the BS and NB-IoT UE. The signal transmission/reception method of the NB-IoT may be performed by the above-described wireless communication devices (e.g., BS and UE).

[0444] The NB-IoT UE, which is powered on again or enters a new cell, may perform an initial cell search operation such as adjusting synchronization with the BS, or the like (S11). To this end, the NB-IoT UE receives NPSS and NSSS from the BS, performs synchronization with the BS, and acquires cell identity information. Also, the NB-IoT UE may receive the NPBCH from the BS and acquire the in-cell broadcast information. In addition, the NB-IoT UE may receive a DL RS (downlink reference signal) in the initial cell search step to check a downlink channel state.

[0445] After completion of the initial cell search, the NB-IoT UE may receive the NPDCCH and the corresponding NPDSCH to acquire more specific system information (S12). In other words, the BS may transmit more specific system information by transmitting the NPDCCH and corresponding NPDSCH to the NB-IoT UE after completion of the initial cell search.

[0446] Thereafter, the NB-IoT UE may perform a random access procedure to complete connection to the BS (S13 to S16).

[0447] Specifically, the NB-IoT UE may transmit a preamble to the BS via the NPRACH (S13). As described above, the NPRACH may be configured to be repeatedly transmitted on the basis of frequency hopping or the like to enhance coverage or the like. In other words, the BS may (repeatedly) receive a preamble through the NPRACH from the NB-IoT UE.

[0448] Thereafter, the NB-IoT UE may receive a random access response (RAR) for the preamble from the BS through the NPDCCH and the corresponding NPDSCH

(S14). In other words, the BS may transmit the RAR for the preamble to the NB-IoT UE through the NPDCCH and the corresponding NPDSCH.

[0449] Thereafter, the NB-IoT UE transmits the NPUSCH to the BS using scheduling information in the RAR (S15), and may perform a contention resolution procedure such as the NPDCCH and the corresponding NPDSCH (S16). In other words, the BS may receive the NPUSCH from the UE using the scheduling information in the NB-IoT RAR, and perform the contention resolution procedure.

[0450] The NB-IoT UE that has performed the above-described process may perform NPDCCH/NPDSCH reception (S17) and NPUSCH transmission (S18) as a general uplink/downlink signal transmission process. In other words, after performing the above-described processes, the BS may perform NPDCCH/NPDSCH transmission and NPUSCH reception as a general signal transmission/reception process to the NB-IoT UE.

[0451] In the case of NB-IoT, as mentioned above, NPBCH, NPDCCH, NPDSCH, and the like may be repeatedly transmitted for coverage improvement and the like. In the case of NB-IoT, UL-SCH (i.e., general uplink data) and uplink control information may be transmitted through the NPUSCH. Here, the UL-SCH and the uplink control information (UCI) may be configured to be transmitted through different NPUSCH formats (e.g., NPUSCH format 1, NPUSCH format 2, etc.).

[0452] Also, the UCI may include HARQ ACK/NACK (Hybrid Automatic Repeat and reQuest Acknowledgement/Negative-ACK), SR (Scheduling Request), CSI(Channel State Information), and the like. As described above, the UCI in the NB-IoT may generally be transmitted via the NPUSCH. Also, in response to a request/instruction from the network (e.g., BS), the UE may transmit the UCI via the NPUSCH in a periodic, aperiodic, or semi-persistent manner.

[0453] Hereinafter, the wireless communication system block diagram shown in FIG. 1 will be described in detail.

N. Wireless Communication Device

[0454] Referring to FIG. 1, a wireless communication system includes a first communication device 910 and/or a second communication device 920. 'A and/or B' may be interpreted to have the same meaning as 'includes at least one of A or B.' The first communication device may represent a BS and the second communication device may represent a UE (alternatively, the first communication device may represent a UE and the second communication device may represent a BS).

[0455] The first and second communication devices may include processors 911 and 921, memories 914 and 924, one or more Tx/Rx RF modules 915 and 925, Tx processors 912 and 922, Rx processors 913 and 923, and antennas 916 and 926, respectively. The Tx/Rx module is also called a transceiver. The processor implements the functions, procedures and/or methods discussed above. More specifically, in the DL (communication from the first communication device to the second communication device), a higher layer packet from the core network is provided to the processor 911. The processor implements the function of a layer 2 (i.e., L2) layer. In the DL, the processor multiplexes a logical channel and a transport channel, provides radio resource allocation to the second communication device 920, and is responsible for signaling to the second communication device. A trans-

mission (TX) processor 912 implements various signal processing functions for the L1 layer (i.e., the physical layer). The signal processing function facilitates forward error correction (FEC) in the second communication device, and includes coding and interleaving. The encoded and interleaved signals are scrambled and modulated into complex-valued modulation symbols. For modulation, BPSK (Quadrature Phase Shift Keying), QPSK (Quadrature Phase Shift Keying), 16QAM (quadrature amplitude modulation), 64QAM, 256QAM, and the like may be used. The complex-valued modulation symbols (hereinafter referred to as modulation symbols) are divided into parallel streams, each stream being mapped to an OFDM subcarrier and multiplexed with a reference signal (RS) in the time and/or frequency domain, and combined together using IFFT (Inverse Fast Fourier Transform) to create a physical channel carrying a time domain OFDM symbol stream. The OFDM symbol stream is spatially precoded to produce multiple spatial streams. Each spatial stream may be provided to a different antenna 916 via a separate Tx/Rx module (or transceiver, 915). Each Tx/Rx module may upconvert each spatial stream into an RF carrier for transmission. In the second communication device, each Tx/Rx module (or transceiver, 925) receives a signal of the RF carrier via each antenna 926 of each Tx/Rx module. Each Tx/Rx module restores the RF carrier signal to a baseband signal and provides it to the reception (RX) processor 923. The RX processor implements various signal processing functions of the L1 (i.e., the physical layer). The RX processor may perform spatial processing on the information to recover any spatial stream directed to the second communication device. If multiple spatial streams are directed to the second communication device, they may be combined into a single OFDMA symbol stream by multiple RX processors. The RX processor transforms the OFDM symbol stream, which is a time domain signal, into a frequency domain signal using a fast Fourier transform (FFT). The frequency domain signal includes a separate OFDM symbol stream for each subcarrier of the OFDM signal. The modulation symbols and the reference signal on each subcarrier are recovered and demodulated by determining the most likely signal constellation points sent by the first communication device. These soft decisions may be based on channel estimate values. Soft decisions are decoded and deinterleaved to recover data and control signals originally transmitted by the first communication device on the physical channel. The corresponding data and control signals are provided to the processor 921.

[0456] The UL (communication from the second communication device to the first communication device) is processed in the first communication device 910 in a manner similar to that described in connection with a receiver function in the second communication device 920. Each Tx/Rx module 925 receives a signal via each antenna 926. Each Tx/Rx module provides an RF carrier and information to RX processor 923. The processor 921 may be related to the memory 924 that stores program code and data. The memory may be referred to as a computer-readable medium.

[0457] The 5G communication technology discussed above may be applied in combination with the methods proposed in the present disclosure to be described later with reference to FIGS. 34 to 49, or may be used as a supplement to embody or clarify the technical features of the methods proposed in the present disclosure.

[0458] FIG. 34 is a front view of a refrigerator according to an exemplary embodiment of the present invention. FIG. 35 is a perspective view of a refrigerator according to an exemplary embodiment of the present invention.

[0459] Referring to FIGS. 34 and 35, an artificial intelligent refrigerator 1 according to an exemplary embodiment of the present invention may include a main body 11 forming a storage space and doors 20 and 30 for opening and closing the storage space.

[0460] A control board 14 for controlling the overall operation of the refrigerator 1 may be positioned on one side of the main body 10. The control board 14 may include a controller and control overall operations related to authentication registration and use, as well as cooling operation. The control board 14 may include an authentication part for authentication registration and use and a memory.

[0461] The storage space may be a storage compartment that includes a refrigerating compartment 12 and a freezing compartment 13. The positions of the refrigerating compartment 12 and freezing compartment 13 are not limited to what is shown in the drawings, but may vary. The refrigerating compartment 12 and/or freezing compartment 13 may include a plurality of storage sections separated from each other.

[0462] The storage sections may include a plurality of personal storage sections and at least one shared storage section, so as to fit in with the concept of the sharing economy. The personal storage sections may be locked so that only one user registered for authentication can use each of them. The shared storage section may be locked so that only some users registered for authentication can use them, or may not be locked so that all users registered for authentication can use them.

[0463] The doors 20 and 30 may include refrigerating compartment doors 20 for opening and closing the refrigerating compartment 12 and freezing compartment doors 30 for opening and closing the freezing compartment 13. A pair of refrigerating compartment doors 20 may be provided to open and close two separate left and right refrigerating compartments 12, but the number of refrigerating compartment doors 20 is not limited thereto. Likewise, a pair of freezing compartment doors 30 may be provided to open and close two separate left and right freezing compartments 13, but the number of freezing compartment doors 30 is not limited thereto. In other words, the refrigerating compartment doors 20 and the freezing compartment doors 30 may vary freely in position and number according to the configuration of the storage space.

[0464] Recessed handles 201 and 301 may be formed on, but not limited to, the bottom edges of the refrigerating compartment doors 20 and the top edges of the freezing compartment doors 30. The user may put a hand on the recessed handles 201 and 301 to open and close the refrigerating compartment doors 20 and the freezing compartment doors 30.

[0465] A display 21 may be provided on the outer side of either a refrigerating compartment door 20 or freezing compartment door 30. Although the drawings depict that the display 21 is provided on one side of a refrigerating compartment door 20, the technical idea of the present invention is not limited to this. The display 21 may show the occupancy status, locked status, etc. of the storage sections by colors or by indicators. The display 21 serves to show to the user which storage sections are available through authentication,

by making the display status (color or indicator) of the storage sections visually different depending on their occupancy status and locked status.

[0466] The display 21 may have various authentication means for user authentication.

[0467] FIG. 36 is a perspective view of storage sections provided in a storage compartment of a refrigerator according to an exemplary embodiment of the present invention. FIG. 37 shows an example of personal storage sections matched to different users, in a refrigerator according to an exemplary embodiment of the present invention.

[0468] Referring to FIGS. 36 and 37, the refrigerating compartment 12 may include a first storage compartment and a second storage compartment, and the freezing compartment 13 may include a third storage compartment and a fourth storage compartment.

[0469] The first storage compartment may include a plurality of first storage sections A1, B1, and C1 separate from each other, and the second storage compartment may include a plurality of second storage sections D1, E1, and F1 separate from each other. The first storage sections A1, B1, and C1 and second storage sections D1, E1, and F1 may be shielded by inside doors that can be locked. The inside doors may be implemented in various well-known ways—for example, they may be implemented as pull-down doors, lift-up doors, folding doors, or sliding doors.

[0470] The first storage sections A1, B1, and C1 may be implemented as personal and shared sections that are locked, and may further include a shared section that is not locked. Likewise, the second storage sections D1, E1, and F1 may be implemented as personal and shared sections that are locked, and may further include a shared section that is not locked.

[0471] The third storage compartment may include a plurality of third storage sections A2, B2, and C2 separate from each other, and the fourth storage compartment may include a plurality of fourth storage sections D2, E2, and F2 separate from each other. The third storage sections A2, B2, and C2 and fourth storage sections D2, E2, and F2 may be shielded by inside doors that can be locked. The inside doors may be implemented in various well-known ways—for example, they may be implemented as pull-down doors, lift-up doors, folding doors, or sliding doors.

[0472] The third storage sections A2, B2, and C2 may be implemented as personal and shared sections that are locked, and may further include a shared section that is not locked. Likewise, the fourth storage sections D2, E2, and F2 may be implemented as personal and shared sections that are locked, and may further include a shared section that is not locked.

[0473] Each of the above-described first to fourth storage sections may be matched to at least one user through authentication. If a corresponding storage section is a personal storage section, this storage section may be matched to a single user. In an example, as shown in FIG. 37, of the first storage sections A1, B1, and C1, the storage section A1 may be matched to a first user, the storage section B1 may be matched to a second user, and the storage section C1 may be matched to a third user. On the other hand, if a corresponding storage section is a shared storage section, this storage section may be matched to multiple users.

[0474] Meanwhile, the refrigerating compartment door 20 includes a first door (right door) for opening and closing the first storage compartment and a second door (left door) for opening and closing the second storage compartment. The

first door may be kept closed as first locking units **42** and **55** are held together, and may be kept open as the first locking units **42** and **55** are separated from each other. An upper hinge **501** and a lower hinge **502** may be rotatably mounted to the top and bottom edges of the first door, respectively, thereby causing the first door to smoothly open and close. The second door has a similar configuration to the first door.

[0475] Moreover, the freezing compartment door **30** includes a third door (right door) for opening and closing the third storage compartment and a fourth door (left door) for opening and closing the fourth storage compartment. The third door and the fourth door have a similar configuration to the first door.

[0476] FIG. **38** shows a display provided in a refrigerator according to an exemplary embodiment of the present invention. FIGS. **39** and **40** show various examples in which the display of FIG. **38** displays available storage sections differently from the way it displays other storage sections.

[0477] Referring to FIG. **38**, the display **21** may include a first display part **DP1** and a second display part **DP2** which show the occupancy status and locked status of the storage sections.

[0478] The first display part **DP1** corresponds to the refrigerating compartment **12** having the first storage compartment and second storage compartment. The first display part **DP1** may display first storage section status images **I-A1**, **I-B1**, and **I-C1** corresponding to the first storage sections **A1**, **B1**, and **C1** and second storage section status images **I-D1**, **I-E1**, and **I-F1** corresponding to the second storage sections **D1**, **E1**, and **F1**.

[0479] The first display part **DP1** may include a first light-emitting portion for producing the first storage section status images **I-A1**, **I-B1**, and **I-C1** and a second light-emitting portion for producing the second storage section status images **I-D1**, **I-E1**, and **I-F1**. The first light-emitting portion and the second light-emitting portion may be formed to correspond to the entire first display part **DP1** (see FIG. **39**), or may be formed to correspond to a portion (edge portion that makes the status image of each storage section distinct) of the first display part **DP1** (see FIG. **40**). Besides, the positions where the first light-emitting portion and second light-emitting portion are formed may vary.

[0480] The second display part **DP2** corresponds to the freezing compartment **13** having the third storage compartment and fourth storage compartment. The second display part **DP2** may display third storage section status images **I-A2**, **I-B2**, and **I-C2** corresponding to the third storage sections **A2**, **B2**, and **C2** and second storage section status images **I-D2**, **I-E2**, and **I-F2** corresponding to the second storage sections **D2**, **E2**, and **F2**.

[0481] The second display part **DP2** may include a third light-emitting portion for producing the third storage section status images **I-A2**, **I-B2**, and **I-C2** and a fourth light-emitting portion for producing the fourth storage section status images **I-D2**, **I-E2**, and **I-F2**. The third light-emitting portion and the fourth light-emitting portion may be formed to correspond to the entire second display part **DP2**, or may be formed to correspond to a portion (edge portion that makes the status image of each storage section distinct) of the second display part **DP2**. Besides, the positions where the first light-emitting portion and second light-emitting portion are formed may vary.

[0482] Such a display **21** may display a personal or shared storage section available to a user registered for authentication

differently from the way it displays other storage sections. In an example, the display **21** may display the storage sections **A1** and **E1** available to specific users registered for authentication differently from the way it displays the other storage sections **B1**, **C1**, **D1**, and **F1**, as shown in FIGS. **39** and **40**, in synchronization with authentication request information input from the specific users. In other words, the display **21** may display the status images **I-A** and **I-E1** of the available storage sections **A1** and **E1** in a different color from the status images **I-B1**, **I-C1**, **I-D1**, and **I-F1** of the other storage sections **B1**, **C1**, **D1**, and **F1**.

[0483] Referring to FIG. **38**, the display **21** may further include an authentication means for user authentication. The authentication means may be implemented as at least one among a touch pattern recognition part **AM1**, an iris recognition part **AM2**, a fingerprint recognition part **AM3**, a facial recognition part **AM4**, and a voiceprint recognition part **AM5**.

[0484] The touch pattern recognition part **AM1** works in conjunction with a touch sensing device. The touch pattern recognition part **AM1** acquires user-input touch pattern information through the touch sensing device and provides it to an authentication part. The authentication part then performs user authentication by comparing the acquired touch pattern information to touch pattern information registered in the memory.

[0485] The iris recognition part **AM2** works in conjunction with an iris imaging device. The iris recognition part **AM2** acquires the user's iris information through the iris imaging device and provides it to the authentication part. The authentication part then performs user authentication by comparing the acquired iris information to iris information registered in the memory.

[0486] The fingerprint recognition part **AM3** works in conjunction with a fingerprint sensing device. The fingerprint recognition part **AM3** acquires the user's fingerprint recognition information through the fingerprint sensing device and provides it to the authentication part. The authentication part then performs user authentication by comparing the acquired fingerprint information to fingerprint information registered in the memory.

[0487] The facial recognition part **AM4** works in conjunction with a facial imaging device. The facial recognition part **AM4** acquires the user's facial information through the facial imaging device and provides it to the authentication part. The authentication part then performs user authentication by comparing the acquired facial information to facial information registered in the memory.

[0488] The voiceprint recognition part **AM5** works in conjunction with a voiceprint analyzing device. The voiceprint recognition part **AM5** acquires the user's voiceprint information through the voiceprint analyzing device and provides it to the authentication part. The authentication part then performs user authentication by comparing the acquired voiceprint information to voiceprint information registered in the memory.

[0489] Such an authentication means may be selected by the user in a user registration process. One or a plurality of authentication means may be selected depending on the level of authentication. Through user registration, the user's biometric information (touch pattern information, iris information, facial information, fingerprint information, voiceprint information, etc.) may be stored in the memory.

[0490] Moreover, if an additional level of authentication is preset for camera image recognition required for storing a specific item, an authentication means that meets the additional level of authentication may be automatically selected.

[0491] In addition, the authentication means may be automatically changed to fit the user's behavioral patterns related to authentication.

[0492] FIG. 41 is a perspective view of storage modules, etc. that are attachable to and detachable from a storage compartment of a refrigerator according to an exemplary embodiment of the present invention. FIGS. 42 to 44 show various examples of the storage modules.

[0493] Referring to FIG. 41, a storage compartment of a refrigerator according to an exemplary embodiment of the present invention may further include a plurality of storage modules registered with a user ID, a detection sensor, and a lighting part.

[0494] The storage modules may include a first storage module MD1 and a second storage module MD2 which are matched to a registered user, are in an unlocked state, and are individually attachable and detachable. The detection sensor is for detecting whether the first storage module MD1 and the second storage module MD2 are attached or detached. The detection sensor may be implemented as, but not limited to, a proximity sensor, a current sensor, etc. The proximity sensor senses the position of a corresponding storage module that is attachable to and detachable from the storage compartment. The current sensor may output an on signal when the corresponding storage module is mounted to the storage compartment and an off signal when the corresponding storage module is removed from the storage compartment.

[0495] Whether the first storage module MD1 and the second storage module MD2 are attached or detached and their occupancy status may be shown on the display.

[0496] If a storage module remains detached for more than a certain amount of time, the controller issues an alarm to the user or manager, asking whether they want to permit its use by other people, thereby increasing the utilization efficiency of the storage compartment.

[0497] The lighting part may be activated only when the first storage module MD1 and the second storage module MD2 are mounted, and may be otherwise deactivated. This way, power consumption can be reduced easily. The lighting part may be implemented as, but not limited to, a light-emitting diode LED.

[0498] Referring to FIGS. 42 to 44, the storage modules MD1 and MD2 may come in a box shape. The box-shaped storage modules may be covered with an upper cover UPC. In this case, the upper cover UPC may have a plurality of cold air through-holes HL in order to increase refrigeration efficiency. To further increase refrigeration efficiency, the box-shaped storage modules MD1 and MD2 may come without the upper cover UPC in such a way that the inside of the modules is exposed to the storage compartment. Meanwhile, for easier mounting, a handle HD may be provided on the front surfaces of the box-shaped storage modules MD1 and MD2.

[0499] FIG. 45 shows a control board and its peripheral components, in a refrigerator according to an exemplary embodiment of the present invention.

[0500] Referring to FIG. 45, the control board 14 may be connected to the display 21, an authentication means 25, a door part 200, a camera 250, and a user terminal 300.

[0501] The control board 14 may include a controller 141, an authentication part 142, a memory 143, an AI module 144, and a communication part 145.

[0502] Upon receiving an input of authentication request information from a user through the authentication means 25, the controller 141 controls the operation of the display 21 to display storage sections available to the user differently from the way other storage sections are displayed. This makes using storage sections quicker and more reliable, and can prevent unauthorized use.

[0503] Once the user is authenticated through the authentication means 25, the controller 141 opens the door part 200 and unlocks a storage section belonging to the authenticated user, among all of the storage sections, to make this storage section available. This way, the concept of the sharing economy can be easily realized without side effects.

[0504] User authentication may be done by the authentication part 142, and the authentication part 142 may be integrated into the controller 141. That is, the authentication part 142 and the controller 141 may form a single unit. The authentication part 142 performs user authentication by comparing user authentication information (touch pattern information, iris information, facial information, fingerprint information, voiceprint information, etc.) inputted through the authentication means 25 to information registered in the memory 143. The level of user authentication may be set in the user registration process. For a specific item, a plurality of authentication means 25 may be used to increase the level of user authentication. The memory 143 stores the user's biometric information, etc. corresponding to a preset level of user authentication. A higher level of authentication allows for more reliable storage and use of items.

[0505] The controller 141 may increase user convenience by analyzing a user's behavioral patterns related to authentication and issuing a notification to the user through a notification part, asking whether they want to change the authentication means 25. In other words, if there are repeated attempts to perform user authentication in a different method than the set authentication means, the controller 141 may issue a notification to the user, asking whether they want to change to an authentication means corresponding to the different method. The notification part may come in various forms such as a voice output device, an image output device (display 21), etc.

[0506] The AI module 144 may learn a user's behavioral patterns and provide what it has learned to the controller 141. The AI module 144 may learn a user's behavioral patterns related to authentication by using various well-known deep learning models—for example, a convolutional neural network (CNN). Then, the controller 141 may automatically change the authentication means according to the learned user behavioral patterns. If the learned user behavioral patterns do not conform to the set authentication means, the controller 141 may automatically change the authentication means according to the learned user behavioral patterns, thereby further increasing user convenience.

[0507] The controller 141 may apply different authentication means depending on the item to be stored, so that the higher the importance of the item, the higher the corresponding level of authentication. Meanwhile, in a case where an additional level of authentication is set for storing a specific item (for example, for image recognition through the camera 250), the controller 141 may automatically select an authentication means that meets the additional level of authentication.

cation each time the same or similar item is stored, thereby contributing significantly to user convenience.

[0508] The communication part **145** may be connected to a plurality of user terminals via a wireless communication interface. The wireless communication interface may include cellular communication using at least one of the following: IoT (Internet of Things), LTE (Long Term Evolution), LTE-A (LTE-Advance), CDMA (code division multiple access), WCDMA (wideband CDMA), UMTS (universal mobile telecommunications system), WiBro (Wireless Broadband), and GSM (Global System for Mobile Communications). In another example, the wireless communication interface may include at least one of the following: WiFi (wireless fidelity), Bluetooth, Bluetooth Low Energy (BLE), Zigbee, NFC (near field communication), Magnetic Secure Transmission), radio frequency (RF), and a body area network (BAN).

[0509] In a case where a personal storage section is not in a locked state, the controller **141** may detect unauthorized use of the personal storage section by a camera or a touch sensor and transmit a notification message related to unauthorized use to the terminal of the authorized user through the communication part **145**, thereby minimizing damage caused by improper use.

[0510] FIG. **46** shows a process of registering by matching a user and a storage section according to an exemplary embodiment of the present invention.

[0511] Referring to FIG. **46**, in the present invention, upon receiving an input of personal authentication information from a user through an authentication means, a registration/management menu is activated (**S421** and **S422**).

[0512] Subsequently, in the present invention, it is determined if the received personal authentication information is registered information (**S423**).

[0513] Subsequently, in the present invention, if it is determined that the received personal authentication information is registered information, the personal authentication information is registered, available storage sections are displayed, and the personal authentication information and one of the displayed storage sections are matched (**S424**).

[0514] Subsequently, in the present invention, the user may select whether to share the storage section matched to the personal authentication information or not and select a level of authentication, thus completing the user registration (**S425-S428**).

[0515] FIG. **47** shows a process of using a locked storage section through authentication according to an exemplary embodiment of the present invention.

[0516] Referring to FIG. **47**, in the present invention, upon receiving an input of personal authentication information from a user through an authentication means, available storage sections are displayed on the display (**S431** and **S432**).

[0517] Subsequently, in the present invention, once the user is authenticated, the door part is opened, and a storage section belonging to the authenticated user is made available (**S433** and **S434**).

[0518] Subsequently, in the present invention, when an item is stored in the storage section and the door part is closed, it is checked whether the user wants to change the authentication means (**S435**).

[0519] Subsequently, in the present invention, once a new, specific item is recorded, a notification is transmitted to the user, indicating that the new item has been recorded and

asking whether the user wants to change to its matching authentication means, and the authentication means is changed according to a command from the user (**S436** and **S437**).

[0520] FIG. **48** shows a process of using an unlocked storage section through authentication according to another exemplary embodiment of the present invention.

[0521] Referring to FIG. **48**, in the present invention, upon receiving an input of personal authentication information from a user through an authentication means, available storage sections are displayed on the display (**S441** and **S442**).

[0522] Subsequently, in the present invention, a storage section selected by the user is detected by a camera or a touch sensor (**S443**).

[0523] Subsequently, in the present invention, it is determined whether the storage section selected by the user is permitted for use or not. If not, a message indicating unauthorized use is sent to the terminal of the eligible user (**S444** and **S445**). On the other hand, if the storage section selected by the user is not permitted for use, the step **S445** is skipped.

[0524] FIG. **49** is a perspective view of lockers to which the technical idea of the present invention is applicable.

[0525] The technical idea of the present invention applied to the above-described artificial intelligent refrigerator may also be applied to the locker cabinet shown in FIG. **49**. The locker cabinet of FIG. **49** may include a plurality of lockers. Such a locker cabinet, too, may use: 1) the above-described method of identity verification; 2) the above-described method of displaying available sections; 3) the above-described method of modular assembling and disassembling; and 4) the above-described method of issuing a notification about unauthorized use.

[0526] As explained above, the present invention may allow a plurality of users to share the same refrigerator and ensure reliable storage of personal items through user authentication.

[0527] Furthermore, the present invention can increase user convenience by learning a user's behavioral patterns and changing their authentication means to fit the user's behavioral patterns.

[0528] Accordingly, the foregoing detailed description should not be interpreted as restrictive in all aspects, and should be considered as illustrative. The scope of the present invention should be determined by rational interpretation of the appended claims, and all changes within the equivalent scope of the present invention are included in the scope of the present invention.

What is claimed is:

1. An artificial intelligent refrigerator comprising:
 - a main body;
 - a storage compartment provided inside the main body and comprising a plurality of separate storage sections;
 - a door part for opening and closing the storage compartment;
 - a memory in which user authentication information corresponding to each of the storage sections is registered; and
 - a controller that, once a user is authenticated through a predetermined authentication means, opens the door part and unlocks a storage section belonging to the authenticated user, among all of the storage sections, to make the corresponding storage section available.

2. The artificial intelligent refrigerator of claim 1, wherein the storage compartment comprises a refrigerating compartment and a freezing compartment.

3. The artificial intelligent refrigerator of claim 1, wherein the storage sections comprise:

a plurality of personal storage sections that are locked so as to be matched individually to different registered users; and

at least one shared storage section matched to a plurality of registered users.

4. The artificial intelligent refrigerator of claim 3, wherein the shared storage section is unlocked to allow use by all users registered for authentication.

5. The artificial intelligent refrigerator of claim 3, wherein the shared storage section is locked to allow use by only some users registered for authentication.

6. The artificial intelligent refrigerator of claim 3, further comprising a display provided in the door part, wherein the display shows the occupancy status and locked status of the storage sections.

7. The artificial intelligent refrigerator of claim 6, wherein the display displays a personal or shared storage section available to a user registered for authentication differently from the way other storage sections are displayed.

8. The artificial intelligent refrigerator of claim 7, wherein the display further comprises a light-emitting portion for displaying the storage sections in such a way as to make the storage sections visually distinct from one another,

wherein, upon receiving an input of authentication request information from a user registered for authentication, the controller controls the operation of the light-emitting portion to display a personal or shared storage section available to the user in a different color from other storage sections.

9. The artificial intelligent refrigerator of claim 1, wherein the authentication means is implemented as at least one of the following:

a touch pattern recognition part that compares user-input touch pattern information to touch pattern information registered in the memory;

a fingerprint recognition part that compares user-input fingerprint information to fingerprint information registered in the memory;

a facial recognition part that compares user-input facial information to facial information registered in the memory;

an iris recognition part that compares user-input iris information to iris information registered in the memory; and

a voiceprint recognition part that compares user-input voiceprint information to voiceprint information registered in the memory.

10. The artificial intelligent refrigerator of claim 1, wherein the controller analyzes a user's behavioral patterns related to authentication and issues a notification to the user through a notification part, asking whether the user wants to change the authentication means.

11. The artificial intelligent refrigerator of claim 1, wherein the controller further comprises an artificial intelligence module that learns a user's behavioral patterns related to authentication,

wherein the authentication means is automatically changed to fit the user's behavioral patterns based on what the artificial intelligence module has learned.

12. The artificial intelligent refrigerator of claim 1, wherein the controller applies different authentication means depending on the item to be stored.

13. The artificial intelligent refrigerator of claim 1, wherein, in a case where an additional level of authentication is set for storing a specific item, the controller automatically selects an authentication means that meets the additional level of authentication.

14. The artificial intelligent refrigerator of claim 13, wherein the additional level of authentication involves camera image recognition for the specific item.

15. The artificial intelligent refrigerator of claim 1, further comprising a communication part that communicates with a plurality of user terminals,

wherein, when a second user makes an unauthorized attempt to use a storage section belonging to a first user, the controller transmits a message to the first user's user terminal through the communication part, indicating that the second user has made an unauthorized attempt to use the storage section.

16. The artificial intelligent refrigerator of claim 1, wherein the storage compartment further comprises:

a first storage module and a second storage module which are matched to a registered user, are in an unlocked state, and are individually attachable and detachable;

a detection sensor that detects whether the first storage module and the second storage module are attached or detached; and

a lighting part that is activated only when the first storage module and the second storage module are mounted.

17. The artificial intelligent refrigerator of claim 16, further comprising a communication part that communicates with a plurality of user terminals,

wherein the controller transmits a message to the registered user's terminal through the communication part, indicating that an unregistered user has made an unauthorized attempt to use the first storage module or the second storage module.

18. The artificial intelligent refrigerator of claim 16, wherein the first storage module and the second storage module each are covered with an upper cover having a plurality of cold air through-holes.

19. The artificial intelligent refrigerator of claim 16, wherein the first storage module and the second storage module have no upper cover.

20. A control method of an artificial intelligent refrigerator comprising:

a main body;

a storage compartment provided inside the main body and comprising a plurality of separate storage sections; and a door part for opening and closing the storage compartment,

the control method comprising:

authenticating a user by comparing user information inputted through a predetermined authentication means to user authentication information registered in a memory to correspond to each of the storage sections; and,

once the user is authenticated, opening the door part and unlocking a storage section belonging to the authenticated user, among all of the storage sections, to make the corresponding storage section available.